

UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF MICHIGAN  
SOUTHERN DIVISION

6 LEAGUE OF WOMEN VOTERS OF ) Case Number  
7 MICHIGAN, ROGER J. BRDAK, )  
8 FREDERICK C. DURHAL, JR., ) 17-cv-14148  
9 JACK E. ELLIS, DONNA E. )  
10 FARRIS, WILLIAM "BILL" J. )  
11 GRASHA, ROSA L. HOLLIDAY, )  
12 DIANA L. KETOLA, JON "JACK" )  
13 G. LASALLE, RICHARD "DICK" )  
14 W. LONG, LORENZO RIVERA )  
15 AND RASHIDA H. TLAIB, )  
16 )  
11 )  
12 Plaintiffs, )  
13 )  
14 vs. )  
15 )  
16 )  
13 )  
14 RUTH JOHNSON, in her )  
15 official capacity as )  
16 Michigan Secretary of State, )  
15 )  
16 )  
14 Defendant. )  
15 )  
16 )

18 DEPOSITION OF CHRISTOPHER WARSHAW, Ph.D.  
19 Washington, D.C.

20 Wednesday, August 8, 2018

24      Reported by: John L. Harmonson, RPR  
25      Job No. 145530

Page 2

1  
2  
3  
4  
5 August 8, 2018  
6 9:25 a.m.  
7  
8  
9 Deposition of CHRISTOPHER WARSHAW, Ph.D.,  
10 held at the offices of Faegre Baker Daniels LLP,  
11 1050 K Street, N.W., Washington, D.C., pursuant  
12 to the Federal Rules of Civil Procedure, subject  
13 to such stipulations as may be recited herein or  
14 attached hereto, before John L. Harmonson, a  
15 Registered Professional Reporter and Notary  
16 Public of the District of Columbia, who  
17 officiated in administering the oath to the  
18 witness.  
19  
20  
21  
22  
23  
24  
25

Page 4

1 C. WARSHAW  
2 -----  
3 P R O C E E D I N G S  
4 9:25 a.m.  
5 -----  
6 Whereupon,  
7 CHRISTOPHER WARSHAW, Ph.D.,  
8 after having been first duly sworn or affirmed,  
9 was examined and did testify under oath as  
10 follows:  
11 EXAMINATION  
12 BY MR. CARVIN:  
13 Q. Good morning, Professor. How are you?  
14 A. Good morning, sir.  
15 Q. My name is Mike Carvin. I'm  
16 representing the defendants in this case.  
17 Have you ever had your deposition  
18 taken before?  
19 A. I have not.  
20 Q. Okay. You were not deposed in the  
21 Pennsylvania litigation?  
22 A. I was not.  
23 Q. Did you provide in-court testimony in  
24 that case?  
25 A. I did.

Page 3

1 A P P E A R A N C E S  
2  
3 On behalf of the Plaintiffs:  
4 FAEGRE BAKER DANIELS  
5 300 North Meridian Street  
6 Indianapolis, IN 46204  
7 BY: JAY YEAGER, JR., ESQ.  
8  
9  
10  
11 On behalf of the Defendant:  
12 JONES DAY  
13 51 Louisiana Avenue, N.W.  
14 Washington, D.C. 20001  
15 BY: MICHAEL CARVIN, ESQ.  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25

Page 5

1 C. WARSHAW  
2 Q. Is there any reason, including  
3 medications, that you won't be able to testify  
4 fully and truthfully today?  
5 A. No.  
6 Q. Okay. Just so you understand the  
7 protocol, I'll need a verbal response to my  
8 questions rather than a nod or something like  
9 that so the court reporter can get it. Do you  
10 understand that?  
11 A. Thank you. I understand.  
12 Q. Okay. And if there's any confusion  
13 about the clarity of my questions or whatever,  
14 please ask me to clarify.  
15 A. I'll do that.  
16 Q. Thanks.  
17 You've been retained as an expert by  
18 the plaintiffs in this case?  
19 A. I have.  
20 Q. And when were you retained?  
21 A. I don't know exactly. I would have to  
22 look at my notes. Probably February, maybe March  
23 of this year. It was sometime in the spring of  
24 this year.  
25 Q. Okay. And who retained you?

Page 6

1 C. WARSHAW  
2

3 A. Mr. Yeager.  
4

5 Q. And how much are you being paid for  
6 your services?  
7

8 A. \$275 an hour.  
9

10 Q. \$275?  
11

12 A. Correct.  
13

14 Q. And you've put in a report in this  
15 case?  
16

17 A. I have, sir.  
18

19 Q. I'm handing you what's been marked  
20 Deposition Exhibit 1.  
21

22 (Exhibit 1 marked for identification  
23 and attached hereto.)  
24

25 BY MR. CARVIN:  
26

27 Q. I know you also provided an errata and  
28 a rebuttal report, but this is your initial  
29 principal report in this case?  
30

31 A. Correct.  
32

33 Q. And your CV is at the end of this  
34 report?  
35

36 A. I believe so, yes.  
37

38 Q. Okay. If you could turn to that,  
39 please.  
40

41 A. Sure.  
42

Page 8

1 C. WARSHAW  
2

3 Q. Why did you leave?  
4

5 A. My wife has a job with the federal  
6 government.  
7

8 Q. Okay.  
9

10 A. So it's personal reasons that brought  
11 us to D.C.  
12

13 Q. Okay.  
14

15 A. I was fortunate to find gainful  
16 employment here.  
17

18 Q. In reviewing your articles, I see  
19 you've done articles like the 2017 Election Law  
20 Journal about gerrymander's effect on roll call  
21 voting and the like. But have you ever done an  
22 article on how to best measure a partisan  
23 gerrymander or a partisan bias?  
24

25 A. I have not.  
26

27 Q. Have you ever done an article  
28 comparing various measures of partisan  
29 gerrymandering?  
30

31 A. I have not.  
32

33 Q. Have you done any articles or  
34 testimony about Democratic concentrations  
35 throughout a state and the potential effect that  
36 has on political advantage to Republicans?  
37

Page 6

Page 7

1 C. WARSHAW  
2

3 Q. And I see that you became an assistant  
4 professor at George Washington University in  
5 2017?  
6

7 A. Correct.  
8

9 Q. Prior to that, you were an associate  
10 professor at MIT?  
11

12 A. Correct. I was an associate professor  
13 without tenure.  
14

15 Q. And is there a higher ranking,  
16 associate versus assistant?  
17

18 A. In general, associate professor means  
19 with tenure. MIT had an unusual system where  
20 before tenure you could get promotion to  
21 associate professor. It's very unusual, and  
22 George Washington doesn't have that. So at  
23 George Washington, as at most other universities,  
24 before tenure you're just called an assistant  
25 professor.  
26

27 Q. Were you up for tenure at MIT?  
28

29 A. I would have been up this year at MIT,  
30 and I'll be up next year at George Washington.  
31

32 Q. Did anybody make a decision as to your  
33 tenure at MIT?  
34

35 A. No.  
36

Page 8

Page 9

1 C. WARSHAW  
2

3 A. I don't believe so, but it's possible  
4 that's a small part of one of my articles.  
5

6 Q. And the only other case you list is  
7 League of Women Voters v. Pennsylvania?  
8

9 A. Correct. That's the only other case  
10 in which I've provided an expert report.  
11

12 Q. Okay. Have you been involved in any  
13 way in any other litigation?  
14

15 A. I have not provided any expert reports  
16 in other litigation.  
17

18 Q. Right. But have you been involved in  
19 ways where you didn't provide an expert report?  
20

21 A. No. I may be involved -- I may in the  
22 future be involved and may provide expert reports  
23 in other cases but I have not been -- I have  
24 never been employed and then, like, not provided  
25 an expert report.  
26

27 Q. Okay. What other cases would those  
28 be?  
29

30 MR. YEAGER: Objection. I think we  
31 have a federal rules issue here because to  
32 the extent the professor has been engaged as  
33 a consulting expert in other cases, that  
34 would be confidential under the rules.  
35

Page 10

1 C. WARSHAW  
2

3 MR. CARVIN: That's perfectly fair.  
4 BY MR. CARVIN:

5 Q. I'm not asking you to reveal that.  
6 I'll just ask, without revealing the name of the  
7 case, have you been involved, retained as a  
consulting expert in any other litigation?

8 A. Yes.

9 Q. And when did that occur?

10 MR. YEAGER: Wait, wait, wait. I'm  
11 going to object to that question as well  
12 because given the run of the national cases,  
13 I think if he tells you when out loud you're  
14 going to be able to draw some inferences  
15 that would violate not only his obligations  
16 but the Federal Rule 26 boundaries.

17 MR. CARVIN: That's fine.  
18 BY MR. CARVIN:

19 Q. Really what I was getting at, I take  
20 it none of those have evolved to the situation  
21 where you have been identified as an expert in  
22 the litigation --

23 A. That's correct.

24 Q. -- is that correct?

25 A. That's correct, yeah.

Page 11

1 C. WARSHAW  
2

3 Q. And what was the topic or scope of  
4 your testimony in the Pennsylvania case?

5 A. The Pennsylvania case focused on their  
6 congressional districting plan. So it focused on  
7 the degree of partisan bias in the plan and the  
consequences for voters in Pennsylvania.

8 Q. And that was the topic of your  
9 testimony, the partisan bias of the plan?

10 A. Correct.

11 Q. And how did you calculate that?

12 A. I calculated that through the  
13 efficiency gap.

14 Q. Did you calculate the efficiency gap  
15 in the same way that you did in this case?

16 A. I did.

17 Q. You used endogenous selections?

18 A. I did.

19 Q. Okay.

20 A. Although in both cases I used  
21 exogenous selections; in other words,  
22 presidential elections as a robustness check and  
23 found very similar results, especially in the  
recent election cycles.

24 Q. Okay. I'll come back to that. So you

Page 12

1 C. WARSHAW  
2

3 used the presidential elections as a robustness  
4 check on the results that were produced through  
5 the endogenous election?

6 A. Yes.

7 Q. And endogenous in Pennsylvania was  
8 congressional elections?

9 A. Correct, sir.

10 Q. And did you supplement that analysis  
11 with either a mean-median or a declination  
12 analysis --

13 A. I did not.

14 Q. -- of partisan bias?

15 MR. YEAGER: If I could just --

16 MR. CARVIN: It's very --

17 MR. YEAGER: Please. you need to let  
18 him finish, then answer.

19 BY MR. CARVIN:

20 Q. This is typical. Obviously, you know  
21 what my question is going to be, but this poor  
22 court reporter has to do a Q and A. So if you  
23 could let me finish my question and then answer,  
I would much appreciate it. Okay?

24 A. All right. If you could turn to  
25 page 6 of your expert report, please. Beginning

Page 13

1 C. WARSHAW  
2

3 on the bottom of page 5 and top of page 6 you  
4 make the obvious point that the way to accomplish  
5 a gerrymander is through the classic  
6 gerrymandering techniques of packing and  
7 cracking. Is that a fair summary?

8 A. Yes.

9 Q. And packing is putting one party in  
10 districts where they constitute an overwhelming  
11 majority?

12 A. Yes.

13 Q. What percentage would constitute  
14 packing, in your mind?

15 A. I don't think there is a particular  
percentage that either I would -- there is no  
bright line in my head based on the literature.  
I think it's a little bit of a qualitative  
assessment.

16 Q. And would 75 percent constitute  
17 packing?

18 A. Yes. In my view, that would.

19 Q. And you say cracking. Would cracking  
20 constitute putting the disadvantaged party in any  
21 minority situation -- for example, a 49 percent  
22 district -- would that constitute cracking the

Page 14

1 C. WARSHAW

2 disadvantaged party?

3 A. I think it could. But I think that  
4 would be obviously a risky cracking procedure.  
5 So you would be unlikely to see that in the real  
6 world because there are obviously small swings in  
7 election results.

8 Q. What's a generally accepted definition  
9 in the academic community for what constitutes a  
10 competitive district?

11 A. I don't think there is a consensus on  
12 that in the academic literature. But I think to  
13 the extent -- the modal definition would be one  
14 between with around a ten point margin.

15 Q. Meaning 55 to 45?

16 A. Correct.

17 Q. And that's not the consensus view?

18 A. I don't think there is a single -- I  
19 don't think -- I haven't looked at this closely.  
20 But thinking about the literature that I can  
21 imagine, I think that would be the modal way of  
22 doing it.

23 Q. And I don't understand the word  
24 "modal."

25 A. Sorry. I think that would be the most

Page 15

1 C. WARSHAW

2 likely. That would be the most common way of  
3 doing it.

4 Q. Okay.

5 A. But I don't think there's a universal  
6 consensus in the literature in part because the  
7 notion of a competitive election is a little bit  
8 fuzzy by nature. Which I think is fine, but  
9 there can still be different ways of  
10 characterizing that that people use.

11 Q. So depending on the district or the  
12 election cycles, the definition of "competitive  
13 district" could extend beyond 55/45?

14 MR. YEAGER: Objection; incomplete  
15 hypothetical.

16 You may answer.

17 THE WITNESS: I don't think I have a  
18 view on that.

19 BY MR. CARVIN:

20 Q. Okay. Have you looked at whether  
21 representatives from competitive districts tend  
22 to be more moderate than representatives from  
23 safe districts?

24 A. I have looked at that, and in general  
25 they do not.

Page 16

1 C. WARSHAW

2 Q. In general --

3 A. They do not. They are not more  
4 moderate.

5 Q. They are not more moderate.

6 A. Yes. In general, the literature has  
7 found that representatives across a range of  
8 different district compositions take very similar  
9 ideological positions. That's especially true in  
10 state legislatures and to a slightly lesser  
11 degree it's true in Congress.

12 Q. And in Congress, for example, is there  
13 any correlation between being in a competitive  
14 district and --

15 A. It's a very small -- it's a very --

16 MR. YEAGER: Wait.

17 BY MR. CARVIN:

18 Q. -- and how moderate the representative  
19 is?

20 A. I apologize.

21 Yes, there is a slight correlation in  
22 Congress. I believe in state legislatures there  
23 is essentially -- I don't want to say no  
24 correlation, but a very small correlation in  
25 state legislatures. And in Congress there's

Page 17

1 C. WARSHAW

2 certainly a small correlation but the substantive  
3 size of the effect is very small.

4 Q. Okay. And is that something you've  
5 researched personally or is this a conclusion  
6 you've drawn from reading the literature?

7 A. That is something I have researched  
8 personally.

9 Q. And have you written an article on  
10 this?

11 A. I have. For state legislatures it's  
12 in my Election Law Journal article. And for  
13 members of Congress, I've looked -- a working  
14 paper of mine in the Senate that's not for the  
15 Senate that's not published. I don't think I  
16 have a published article that looks at this for  
17 Congress, but I think this is well known in the  
18 literature.

19 Q. Okay.

20 A. There is a very famous article by  
21 someone Lee which was published in an economics  
22 journal in 2008 that essentially argued there's  
23 no relationship between the competitiveness of a  
24 district and legislators' positions. I think  
25 that's probably not true. I think there's, as I

1 C. WARSHAW  
 2 said, I think a slight correlation. But the  
 3 consensus in the literature is the relationship  
 4 between the competitiveness of a district and the  
 5 positions that legislators take, particularly in  
 6 the modern era, is very small.

7 Q. And Lee is spelled L-e-e?

8 A. Yes. I would say that's the most  
 9 prominent article on the subject.

10 Q. If you could turn to your footnote 4  
 11 on page 6 of your report, please.

12 A. Sure.

13 Q. "The efficiency gap calculations here  
 14 focus on wasted votes in legislative elections,"  
 15 italicized, "since these results directly capture  
 16 voters' preferences in these elections."

17 Just to be clear, when you say  
 18 "legislative elections," that means when you're  
 19 calculating the efficiency gap for Congress you  
 20 looked at congressional elections; when you were  
 21 looking at elections for the state house you  
 22 looked at state house elections; and when you  
 23 were looking at the state senate you looked at  
 24 state senate elections?

25 A. Yes.

1 C. WARSHAW

2 Q. Okay. And those are known as  
 3 endogenous elections?

4 A. Yes. In the sense that they are  
 5 endogenous to the districting plan. Although  
 6 there is no guarantee that other statewide  
 7 elections aren't also endogenous in some way.  
 8 Any election that's measured after a districting  
 9 plan goes into place is in some way endogenous  
 10 since it's post-treatment.

11 Q. Okay. Well, I'm using the word  
 12 "endogenous" to mean elections for the office  
 13 that we're examining. Is that a generally  
 14 accepted definition? I want to avoid any  
 15 ambiguity.

16 A. No. A generally accepted definition  
 17 for endogenous is an outcome -- when the outcome  
 18 variable could be affecting the treatment.

19 Q. So how would you characterize  
 20 elections for statewide office such as president,  
 21 governor and senator that don't relate directly  
 22 to the offices that you're examining? Would you  
 23 call them --

24 A. I would just call them --

25 Q. Excuse me. Would you call those

1 C. WARSHAW  
 2 exogenous elections?

3 A. I would call them statewide elections  
 4 or non-legislative elections. I'm sorry. I  
 5 think there is nothing necessarily exogenous  
 6 about them if they're measured after the  
 7 redistricting plan. If they're measured before  
 8 the redistricting plan goes into place, then I  
 9 might call them exogenous. But then also  
 10 legislative elections would also be exogenous  
 11 that are measured before the districting plan  
 12 goes into place.

13 Q. All right. All I'm trying to do is  
 14 clarify the record on what we're talking about.  
 15 So if it's okay with you, I'm going to refer to  
 16 elections for the particular legislative offices  
 17 at issue as legislative elections and elections  
 18 other than that as statewide elections. Is that  
 19 okay?

20 A. Sure.

21 Q. Okay. And you cite -- Why did you  
 22 choose legislative elections to measure the  
 23 various measures -- Oh, let me ask you that  
 24 first.

25 Did you use legislative elections for

1 C. WARSHAW

2 efficiency gap, mean-median, and declination  
 3 analysis?

4 A. Yes, sir.

5 Q. And why did you do that?

6 A. Well, I believe the goal of a  
 7 gerrymander is to maximize a party's seats  
 8 relative to the number of votes they get. So I  
 9 think the target of a gerrymander is the  
 10 legislative elections. So I think in general, if  
 11 you're looking at the actual election results, it  
 12 makes the most sense to use the election that the  
 13 gerrymander is actually about, which is the  
 14 legislative elections.

15 Q. Okay. But nonetheless you say: "We  
 16 might also calculate the efficiency gap using  
 17 district-level results from presidential  
 18 elections or other statewide races. These have  
 19 the 'advantage of being mostly unaffected by  
 20 district-level candidate characteristics."

21 And then you cite Stephanopoulos and  
 22 McGhee's 2015 article in the Chicago Law Review  
 23 for that proposition. Is that right?

24 A. Yes.

25 Q. Okay. And why is it an advantage to

Page 22

## 1 C. WARSHAW

2 be mostly unaffected by candidate  
3 characteristics?4 A. Well, you might argue there's some  
5 idiosyncratic candidate characteristics that  
6 affect elections. So for instance, if one party  
7 nominates somebody who is particularly weak or  
8 strong, on the margin that could affect elections  
9 by a small amount.10 Q. Well, if they are affected by those  
11 district-level candidate characteristics, why  
12 would you want to ignore them?13 A. Well, I think if you're looking at the  
14 actual election results, in general you wouldn't  
15 because those are all a result of the districting  
16 plan. So for instance, if you have a gerrymander  
17 that cracks a party's voters across many  
18 districts where they're likely to lose, then the  
19 disadvantaged party is likely to nominate weak  
20 candidates in those districts. The best  
21 candidates are not going to run in districts  
22 where they think they're going to lose.23 So I think that it's not accidental,  
24 then, that you might get weak candidates and that  
25 the advantaged party would overperform in those

Page 23

## 1 C. WARSHAW

2 districts and the disadvantaged party would  
3 underperform. That's actually a direct result of  
4 the gerrymander.

5 Q. Did that occur in Michigan?

6 A. I haven't looked explicitly at the  
7 actual candidates that ran.

8 Q. But it's a plausible hypothesis?

9 A. Sure.

10 Q. Okay. And the district-level  
11 candidate characteristic that would probably have  
12 the most profound effect would be incumbency,  
13 right?14 A. Yes. Although the effect of  
15 incumbency is much smaller than it used to be.

16 Q. How much is it?

17 A. There's the general -- I think  
18 consensus in the literature is that it's around  
19 three or four percentage points now. There is an  
20 article by Gary Jacobson that was published in  
21 the Journal of Politics in I believe 2015 or '16  
22 that I view as the best recent article on this.23 Q. And what was the conventional wisdom  
24 prior to the Jacobson article about the effect of  
25 the incumbency advantage's magnitude --

Page 24

## 1 C. WARSHAW

2 A. Well, in the 19 -- I'm sorry, I  
3 interrupted you.4 In the 1970s and '80s the incumbency  
5 advantage peaked. Maybe the early '90s as well.  
6 The incumbency advantage peaked at probably  
7 around eight points. However, in the modern era,  
8 the incumbency advantage has gradually declined  
9 so that in recent House elections, you know, as I  
10 said, it's probably only three or four points.11 Q. And when you use the word "House," you  
12 mean congressional elections?

13 A. Correct.

14 Q. Okay. Have you analyzed the  
15 incumbency advantage in state legislative  
16 elections?17 A. I have actually in an unpublished  
18 paper. In general, the incumbency advantage for  
19 state legislative elections is very similar to  
20 congressional elections. I don't have an exact  
21 point percentage in my head for state  
22 legislatures, but I know both in my work and the  
23 literature it's very similar. So I would expect  
24 that what Jacobson found for congressional  
25 elections to be very similar for state

Page 25

## 1 C. WARSHAW

2 legislative elections.

3 Q. If I could direct your attention to  
4 the last sentence in footnote 4, please. You  
5 say: "The data indicate that the correlation  
6 between efficiency gap estimates based on  
7 congressional elections and presidential  
8 elections is approximately 0.8 for elections held  
9 after 2000 and 0.9 for elections held after the  
10 2011 redistricting cycle."

11 A. Uh-huh.

12 Q. Just to break this down into its  
13 component parts, you're talking about efficiency  
14 gap estimates that are based strictly on  
15 presidential elections versus those strictly  
16 based on congressional elections?

17 A. Correct, sir.

18 Q. And what does it mean to --

19 A. Well, one correction to that. I'm  
20 sorry. The only -- the only slight way the  
21 presidential election would influence the  
22 efficiency gap estimates for congressional  
23 elections is that the presidential election  
24 results are used to impute those shares in  
25 uncontested districts. But, for instance, in

## 1 C. WARSHAW

2 Michigan there is essentially -- there's almost  
 3 no uncontested elections in Michigan. Nationwide  
 4 there are some, but that's certainly a small part  
 5 of the data.

6 Q. Okay. But other than the uncontested  
 7 elections, you're saying that the correlation  
 8 between efficiency gap estimates based on  
 9 congressional elections and those based on  
 10 presidential elections is approximately 0.8 for  
 11 elections held after 2000, right?

12 A. That's correct.

13 Q. What does that mean?

14 A. Well, it means in -- you know, we can  
 15 disagree. And as I think I said in my rebuttal  
 16 report, I think it's reasonable for different  
 17 scholars to have different views on whether we  
 18 should use legislative or statewide elections,  
 19 particularly if we're using, say, simulations  
 20 versus actual election results.

21 But I think in reality the choice  
 22 isn't all that consequential in the modern era  
 23 because legislative elections are very similar,  
 24 you know, follow national presidential elections  
 25 very closely because voters tend to vote based on

## 1 C. WARSHAW

2 their partisanship, and that leads them to vote  
 3 very similarly across presidential and  
 4 congressional elections as these elections have  
 5 become more nationalized.

6 Q. But I'm just trying to figure out what  
 7 does it mean that there is a 0.8 correlation.

8 A. It means that the efficiency gap  
 9 estimates are very, very similar whether you use  
 10 congressional or presidential elections.

11 Q. It means you'll get it right  
 12 80 percent of the time?

13 A. No, sir, that's not what a correlation  
 14 means. A correlation means that it explains -- a  
 15 .8 correlation implies that the variation in the  
 16 efficiency gap using congressional elections  
 17 predicts about 64 percent of the variation -- if  
 18 I do my math right -- in the efficiency gaps in  
 19 presidential elections. It's a very high  
 20 correlation.

21 Q. 64 percent?

22 A. Correct. And I've also looked -- I  
 23 think in some of the footnotes in the text I've  
 24 actually compared efficiency gaps measured using  
 25 the legislative -- the congressional elections

## 1 C. WARSHAW

2 with those with presidential elections. You  
 3 know, the exact numbers you get, particularly  
 4 after the 2011 plans went into place in recent  
 5 years, are very, very similar.

6 Q. And that's 0.9?

7 A. Correct.

8 Q. And what would the predictive  
 9 percentage be for 0.9?

10 A. 81 percent of the variation.

11 Q. Okay. And does that include the 2016  
 12 presidential elections?

13 A. I believe it does, but I couldn't say  
 14 for sure.

15 Q. What literature are you referring to?

16 A. Sorry. Literature?

17 Q. In your thing you say -- oh, I'm  
 18 sorry. The data indicate.

19 A. I made all these calculations myself.

20 Q. And is that published anywhere?

21 A. It's in my report.

22 Q. Did you provide us the underlying  
 23 data?

24 A. I did.

25 Q. Where is it in the report other than

## 1 C. WARSHAW

2 in this footnote?

3 A. It's in this footnote.

4 Q. Okay. So you said "the data  
 5 indicate." This is your own study of relevant  
 6 data, right?

7 A. Yes, sir. It's based on the  
 8 replication data that I provided for my report.  
 9 However, I think the general assertion that  
 10 elections have become more nationalized and  
 11 there's a stronger correlation between  
 12 congressional elections and presidential  
 13 elections today than in earlier periods, and  
 14 indeed today presidential elections are very  
 15 closely related to congressional elections.  
 16 It's a consensus view in the literature.

17 So for instance, you could look at the  
 18 2015 Gary Jacobson article, and that's one of the  
 19 reasons that the incumbency advantage has  
 20 declined so much. Because people tend to vote  
 21 with their party, you don't have very many people  
 22 that are, like, switching back and forth between  
 23 parties.

24 Q. What's the percentage of  
 25 ticket-splitters in Michigan?

Page 30

1 C. WARSHAW

2 A. I don't know the answer to that. I  
3 haven't looked at that explicitly.

4 Q. Do you know what percentage of voters  
5 in Michigan are registered as independents?

6 A. In my view, that's not very relevant  
7 information.

8 Q. You can tell me whether it's relevant  
9 or not, but if you could answer the question  
10 before you tell me why it's relevant.

11 A. I do not, sir.

12 Q. And what do you do in off-year  
13 elections when there is no presidential election?

14 A. I use the previous year's presidential  
15 election.

16 Q. For example, is it not well  
17 established that in the off-year election  
18 following the presidential election the  
19 president's party tends to do worse --

20 A. Yes.

21 Q. -- in congressional elections?

22 A. Yes.

23 Q. So in those circumstances there  
24 wouldn't be a correlation between the preceding  
25 presidential vote and the subsequent off-year

Page 31

1 C. WARSHAW

2 elections, correct?

3 A. There would be a very strong  
4 correlation, but there would be an intercept  
5 shift in general against the president's party.

6 Q. What is an intercept shift?

7 A. Intercept shift would be, in general,  
8 in all districts, the president's party tends to  
9 do a little bit worse than they did during the  
10 presidential election year, particularly in the  
11 president's first midterm.

12 Q. Do you know whether Stephanopoulos and  
13 McGhee used legislative elections to calculate  
14 their efficiency gap numbers?

15 A. I believe they used legislative  
16 elections.

17 MR. CARVIN: If we could mark this as  
18 Exhibit 2.

19 (Exhibit 2 marked for identification  
20 and attached hereto.)

21 BY MR. CARVIN:

22 Q. I've handed you what's a Stanford Law  
23 Review article by Stephanopoulos and McGhee which  
24 also discusses the efficiency gap. Have you read  
25 this article?

Page 32

1 C. WARSHAW

2 A. I have, sir.

3 Q. If you could turn to page 1544 of this  
4 article, please.

5 A. Uh-huh.

6 Q. I'm going to read you the last -- the  
7 first two sentences of the last paragraph on  
8 1544. Stephanopoulos and McGhee state, do they  
9 not: "To start, it is poor methodological form  
10 to analyze plans using exogenous election  
11 results. Voters may well behave differently in  
12 these elections than when casting their ballots  
13 for the office actually at issue."

14 Do you agree with that statement?

15 A. I agree with them that if you're  
16 looking at the actual election results, in  
17 general we should look at the target of the  
18 gerrymander, which is legislative elections.  
19 However, as I said, I think empirically it's not  
20 a very consequential decision because the  
21 estimates you're going to get using presidential  
22 elections or other statewide elections are very  
23 similar to what you get with legislative  
24 elections.

25 Q. Have you examined the correlation

Page 33

1 C. WARSHAW

2 between other statewide elections and  
3 congressional elections besides president?

4 A. I have not. I've only looked at  
5 presidential.

6 Q. Have you looked at the correlation  
7 between other statewide elections and state  
8 legislative elections?

9 A. Yes, I did. For the 1970s and '80s I  
10 use that in my imputation model. I couldn't tell  
11 you the exact point estimate of the effect but  
12 they are closely related.

13 Q. Did you do that in this case?

14 A. I haven't done that for the 2010s  
15 because there's not good data available on that,  
16 on statewide elections at the statewide  
17 legislative district level.

18 Q. So you haven't done it for any state  
19 and you've not done it for Michigan either?

20 A. I haven't for recent years, correct.  
21 I've done it for the 1970s and '80s. But the  
22 data is not available to do that calculation  
23 across -- all of the calculations in my report  
24 are across all states essentially, or all states  
25 where I could get data. So data on statewide

Page 34

## 1 C. WARSHAW

2 elections are unavailable across all 50 states.  
 3 It's possible I could have gotten it for  
 4 Michigan, but I couldn't have gotten it for other  
 5 states.

6 MR. CARVIN: If you could mark this as  
 7 Exhibit 3, please.

8 (Exhibit 3 marked for identification  
 9 and attached hereto.)

10 BY MR. CARVIN:

11 Q. Have you seen this draft? Is this one  
 12 of the articles listed in your --

13 A. I don't believe so. I don't believe I  
 14 read this article closely. It's possible I've  
 15 seen it at some point, but I certainly have not  
 16 read it closely.

17 Q. Okay. If you could turn to page --  
 18 well, let me just ask you before we do that, the  
 19 authors of this article are Krasno, Magleby,  
 20 McDonald, Donahue and Best, correct?

21 A. Yes.

22 Q. And McDonald and Best are the ones who  
 23 came up with this mean-median differences of  
 24 partisan bias measure?

25 A. I believe that's right.

Page 35

## 1 C. WARSHAW

2 Q. If you could turn to page 5 of this  
 3 article, please.

4 A. I'm glad you printed it double-sided.

5 Q. If you could look at the middle  
 6 paragraph with me. And I'm going to read it to  
 7 you. "Finally, because the median-mean  
 8 comparison explicitly uses election results to  
 9 measure the partisan complexion in districts its  
 10 promoters insist that jurisdiction-wide elections  
 11 such as presidential, U.S., senatorial or  
 12 statewide constitutional offices, hold the most  
 13 probative value when comparing the median and  
 14 mean district percentages."

15 Were you aware that the promoters of  
 16 the mean-median comparison insist that you use  
 17 jurisdiction-wide elections?

18 A. I was not.

19 Q. And in this case, you did not use  
 20 jurisdiction-wide elections to calculate the  
 21 mean-median difference, right?

22 A. I did not. But I think I would  
 23 disagree with this assessment. I think that  
 24 regardless of what metric we're using, the target  
 25 of a gerrymander is legislative elections. So I

Page 36

## 1 C. WARSHAW

2 think that I would still focus on legislative  
 3 election results.

4 Q. Okay. If we could go back to --

5 A. Can I answer that more?

6 Q. I'm going to ask you more questions.

7 MR. YEAGER: I'm sorry. If the  
 8 witness has more to add --

9 THE WITNESS: I just want to --

10 MR. YEAGER: Wait, wait.

11 May I ask that the witness be allowed  
 12 to finish his answer?

13 MR. CARVIN: I thought he had given a  
 14 complete answer, but sure.

15 THE WITNESS: And the reason for that  
 16 is there's no necessary -- in the modern  
 17 era, the choice is inconsequential whether  
 18 you use statewide or legislative elections.  
 19 But certainly in earlier years this would be  
 20 a consequential choice. For instance, in  
 21 the South in the 1970s and '80s, they would  
 22 vote Republican in presidential elections  
 23 and Democratic in legislative elections.

24 In fact, the correlation between  
 25 presidential and legislative elections was

Page 37

## 1 C. WARSHAW

2 not zero but it was relatively small. So in  
 3 that case it would make no sense for a  
 4 legislator to target presidential elections  
 5 as, like, the target of a gerrymander and  
 6 use that as their main metric when they knew  
 7 that presidential elections didn't reflect  
 8 legislative election results.

9 BY MR. CARVIN:

10 Q. Well, you say "in earlier years." But  
 11 Stephanopoulos and McGhee said in 2018 it was not  
 12 appropriate to use exogenous elections and  
 13 McDonald and Best said in 2017 or 2018 it was not  
 14 proper to use endogenous elections. So they  
 15 disagree with you even in the modern world,  
 16 correct?

17 MR. YEAGER: Objection; argumentative.  
 18 Misstates the record.

19 You may answer.

20 THE WITNESS: I believe that  
 21 legislative elections are appropriate for  
 22 all of the gerrymandering metrics.

23 BY MR. CARVIN:

24 Q. So you disagree with McDonald and  
 25 Best?

1 C. WARSHAW

2 A. Correct.

3 Q. So if we could go back to your report,  
4 please. If you could turn to page 13. I'm  
5 looking at Figure 2. And in this figure you look  
6 at, do you not, the national distribution of  
7 efficiency gaps for congressional elections with  
8 states with more than six seats from 1972 to  
9 2016?

10 A. That's correct.

11 Q. And when you were computing these  
12 national efficiency gaps, were you using  
13 congressional election results only?

14 A. Yes.

15 Q. If you would turn to page 1 of your  
16 report. You use -- the data you used for this  
17 was collected by the Constituency-Level Elections  
18 Archive?

19 A. Yes, sir.

20 Q. And those were all congressional  
21 elections?

22 A. Correct.

23 Q. And they were adjusted by CLEA you  
24 say?

25 A. Yes. In some cases there's typos in

1 C. WARSHAW

2 the raw ICPSR data or incorrect district numbers  
3 that they fixed.

4 Q. But it was just typos and the like  
5 that they changed?

6 A. Correct. They didn't -- Sorry for  
7 interrupting you. Correct. They didn't change  
8 any underlying -- any of the underlying data  
9 except to fix typos and incorrect district  
10 numbers, things like that.

11 Q. And then the other thing you said you  
12 have here is data on presidential elections and  
13 incumbency status in congressional elections.

14 Why did you need presidential election  
15 returns?

16 A. Well, I needed it for two reasons.  
17 One was to impute the vote -- to estimate what  
18 would have happened in uncontested elections, as  
19 we briefly discussed earlier.

20 Then the second reason was to check  
21 the robustness of the efficiency gap estimates  
22 and other -- well, the efficiency gap estimates  
23 that I calculate using legislative elections  
24 using presidential elections.

25 Q. So when you say "calculate the

1 C. WARSHAW

2 robustness," when you did that calculation,  
3 that's the results you put into the footnote we  
4 had previously discussed about that 0.8  
5 correlation?

6 A. Yes.

7 Q. Okay. And why did you need -- why did  
8 you look at incumbency status?

9 A. Incumbency status, as we -- again, as  
10 we briefly discussed earlier, incumbents tend to  
11 do a little bit better in elections. In the  
12 modern era it's only three or four points better,  
13 but in the earlier eras there was a larger  
14 incumbency advantage. So certainly if you're  
15 imputing the vote share, how incumbents would do  
16 in congressional elections, you would want to  
17 take that into account.

18 Q. How did you adjust the congressional  
19 election returns to account for incumbency  
20 status?

21 A. Yes, I used that in my imputation  
22 model for uncontested districts. I used the  
23 incumbency status in my imputation model for  
24 uncontested elections. So I took that into  
25 account when I estimated the Democrats and how --

1 C. WARSHAW

2 how -- what percentage of the vote Democrats and  
3 Republicans would have gotten if the election had  
4 been contested.

5 Q. So you only looked at incumbency  
6 status for uncontested?

7 A. The model I used is based on contested  
8 elections, obviously, because that's how I  
9 estimate the incumbency advantage. But I only  
10 changed -- I used the raw election returns for  
11 all contested districts. I think -- I don't  
12 have -- I can't remember exactly, but I may have,  
13 like, for districts where there was -- you know,  
14 the loser got, like, less than 3 percent or  
15 5 percent, I might have used the imputation  
16 model. But in general, if they got more  
17 than a -- if -- if candidates from each party  
18 received more than a de minimis share of the  
19 vote, I used the actual election returns.

20 Q. And I apologize. I may not have  
21 understand that last answer.

22 You not only did this for uncontested  
23 elections but also elections where one party  
24 received only a de minimis vote?

25 A. Yes, like 1 or 2 percent.

Page 42

## 1 C. WARSHAW

2 Q. Okay.

3 A. Because I assume those are essentially  
 4 uncontested. In many cases, the data -- I did  
 5 that -- and again I can't remember exactly what  
 6 that percentage is, but I counted those as  
 7 uncontested because in many cases those are  
 8 actually write-in candidates that might have a --  
 9 you know, the data may say they have a party next  
 10 to them but in fact they're actually write-in  
 11 candidates. So that's why they only received 1  
 12 or 2 percent.

13 Q. Okay. And so if I'm understanding  
 14 this correctly, you used those 1 or 2 percent  
 15 elections, you imputed the same way you did --

16 A. Exactly.

17 Q. -- for formally uncontested elections.  
 18 Is that correct?

19 A. Yes, sir.

20 Q. Okay. Do you recall at this point  
 21 what you used as your cutoff for de minimis?

22 A. I do not. It's in my replication  
 23 code, but I do not -- I don't remember.

24 Q. Okay. Now, with respect to state  
 25 legislative elections, if you could turn to the

Page 43

## 1 C. WARSHAW

2 bottom of page 2, please. You say here that  
 3 there is "a large canonical dataset on  
 4 candidacies and results in state legislative  
 5 elections. I obtained results from the 1972-2016  
 6 collected by Carl Klarner and a large team of  
 7 collaborators." Correct?

8 A. Yes, sir.

9 Q. And then it says: "The results from  
 10 1972-2012 are based on data maintained by  
 11 Inter-university Consortium for Political and  
 12 Social Research."

13 So I'm a little confused. Is that a  
 14 different dataset than the one that Klarner and  
 15 his team put together?

16 A. No. Essentially what happened is  
 17 there's a -- there was a series of National  
 18 Science Foundation grants that a large team of  
 19 political scientists worked on to put together  
 20 this canonical data that Klarner in recent  
 21 years -- this political scientist named Carl  
 22 Klarner was the lead, the manager -- the lead  
 23 political scientist on that project.

24 The data from 1972 to 2012 was funded  
 25 by the National Science Foundation, and that data

Page 44

## 1 C. WARSHAW

2 is available freely for download on the ICPSR  
 3 website.

4 The data from 2013 to '16 was  
 5 collected privately by Klarner, and you have to  
 6 contact him to obtain it directly. It's not  
 7 available freely for download.

8 Q. And you did contact him?

9 A. Yes.

10 Q. And that's how you got the 2013  
 11 through 2016 data?

12 A. Correct.

13 Q. And just to clarify, you're telling me  
 14 that the 1972 to 2012 data which is maintained by  
 15 ICPSR was nonetheless compiled by Klarner and his  
 16 team?

17 A. Yes, it was compiled and cleaned by  
 18 Klarner and his team. The earlier -- It was  
 19 compiled in a series of -- "waves" is not quite  
 20 the right term, but in a series of efforts. So  
 21 the earlier -- I think the earliest effort was  
 22 around 1990. So, obviously, Professor Klarner --  
 23 not obviously, but Professor Klarner was not a  
 24 part of the original team that worked on it in  
 25 1990 for elections in the 1970s and '80s. I

Page 45

## 1 C. WARSHAW

2 don't know who led that effort. But Professor  
 3 Klarner has been the lead in recent years.

4 Q. And I don't see anything here about  
 5 collecting information on incumbency status with  
 6 respect to state legislative elections like you  
 7 reference with respect to congressional  
 8 elections. Why is that?

9 A. That data is in the Klarner dataset.  
 10 It was, I guess, a typographical omission on my  
 11 part. But it's in the Klarner dataset. It's all  
 12 part of it. I didn't quite do that for  
 13 presidential elections because the election  
 14 results and the incumbency status come from  
 15 different datasets, whereas here they all come  
 16 from one integrated dataset. But I should have  
 17 said that explicitly.

18 Q. Just so I understand the general gist  
 19 of your report, you analyzed the efficiency gap  
 20 and these other measures for the 2012, 2014, and  
 21 2016 elections, correct?

22 A. Yes. However, I also calculated them  
 23 for all elections between 1972 and 2016.

24 Q. Fair enough. But what I'm getting at  
 25 is these are backward-looking calculations. You

## 1 C. WARSHAW

2 haven't made any projections for vote totals in  
 3 the 2018 Michigan congressional or state  
 4 legislative elections, correct?

5 A. That's correct.

6 Q. You haven't estimated the Democratic  
 7 vote share statewide for any of the three offices  
 8 at issue, right?

9 A. No.

10 Q. And you're not making any  
 11 district-specific projections?

12 A. I am not.

13 Q. Okay. Now, if you could turn to  
 14 page 6 of your report, please.

15 MR. CARVIN: Off the record.

16 (Off the record.)

17 BY MR. CARVIN:

18 Q. So at various points here you produce  
 19 various estimates of, for example, where the  
 20 Michigan redistricting compares to the  
 21 mean-median difference for prior elections  
 22 throughout the nation and various other things.  
 23 Are you representing to me that all of the data  
 24 underlying this analysis has been provided to the  
 25 defendant?

## 1 C. WARSHAW

2 BY MR. CARVIN:

3 Q. I'm not going to stop at each point in  
 4 this analysis and ask you if you provided it  
 5 because I think we've covered the territory. So  
 6 I'll just ask you as generically as I can to save  
 7 us time.

8 Have you provided all data in the way  
 9 you just described relative to all the  
 10 conclusions in your initial report and with the  
 11 one exception you mentioned in your rebuttal  
 12 report?

13 A. I believe so, yes.

14 MR. CARVIN: If not, we have a  
 15 standing request to supplement the data.

16 MR. YEAGER: Understood.

17 BY MR. CARVIN:

18 Q. All right. Back to your report,  
 19 page 6.

20 A. Page 6, yes, sir.

21 Q. You say that "There are a number of  
 22 approaches that have been proposed to measure  
 23 asymmetries and the efficiency of the vote-seat  
 24 relationships of the two parties." Correct?

25 A. Yes, sir.

## 1 C. WARSHAW

2 A. Yes, sir. I believe that all of the  
 3 figures, numbers, calculations in my report can  
 4 be easily generated from the data that I  
 5 provided.

6 Q. So they could be calculated. You  
 7 didn't produce the actual results. You produced  
 8 the data from which one could replicate your  
 9 analysis?

10 A. I produced the code that I used. The  
 11 person -- readable code that any trained  
 12 political scientist or economist or statistician  
 13 could read to regenerate my results.

14 Q. You did at least one supplemental  
 15 report, your rebuttal report, involving the  
 16 connection between degree of voter confidence and  
 17 the efficiency gap where you provided margins of  
 18 error. Did you provide the defendants with that  
 19 data?

20 A. I did not provide updated code for  
 21 that. However, the underlying data for that was  
 22 part of my original submission.

23 MR. CARVIN: All right. Could we get  
 24 that updated code from you, please?

25 MR. YEAGER: Sure.

## 1 C. WARSHAW

2 Q. And in recent years, at least ten  
 3 different approaches have been proposed, correct?

4 A. That's what McGhee asserts in this  
 5 article. I think -- I believe that's true,  
 6 although I couldn't name all ten.

7 Q. But it's fair to say that the  
 8 profession is striving for some uniform generally  
 9 accepted measure of partisan asymmetry or  
 10 partisan bias?

11 A. I think the profession is trying to  
 12 improve our metrics. I think whether we will  
 13 ever have one single metric remains to be seen.

14 Q. But we haven't arrived at that point  
 15 yet?

16 A. I think there's differences of  
 17 opinions about whether we've arrived at that  
 18 point.

19 Q. Now, previously it was my  
 20 understanding that the most accepted consensus  
 21 measure was the partisan symmetry analysis  
 22 championed largely by Gary King and Grofman. Is  
 23 that fair?

24 A. Yes.

25 Q. Has that fallen out of favor in recent

Page 50

1 C. WARSHAW

2 years?

3 A. I think it has, yes.

4 Q. Do you subscribe to that method of  
5 measuring partisan bias or partisan asymmetry?6 A. Well, I didn't use it in my report.  
7 So in general, I think it's a little bit -- I  
8 think I tend to agree with people that it's  
9 fallen out of favor.10 Q. And you say all these measures have --  
11 are not perfect, I take it, right?12 A. That's correct. I think I can -- I  
13 think in general that's because the latent  
14 variable here, the theoretical concept that we're  
15 trying to measure, which is the partisan bias --  
16 I know that's one of the terms also that Gary  
17 King uses, but I'll use in a more -- I use it in  
18 my report in a more general way.19 In my view, what a gerrymander is  
20 trying to do is to -- a party is trying to  
21 maximize the number of seats they get relative to  
22 the votes. And there's a -- this leads to this  
23 theoretical concept of partisan bias. And  
24 because this is a theoretical concept, I think,  
25 you know, all of the empirical measures are just

Page 51

1 C. WARSHAW

2 proxies for this theoretical concept.

3 And indeed, you know, none -- we'll  
4 never have an approach that is exactly perfect,  
5 but that's true for most political science  
6 concepts that we're trying to measure. You know,  
7 all social science measurements are  
8 simplifications of some theoretical concept we're  
9 trying to measure.10 Q. Okay. And you're using the efficiency  
11 gap as your main measure of this theoretical  
12 concept?

13 A. That's correct.

14 Q. And that was first proposed by  
15 Stephanopoulos and McGhee in the 2015 University  
16 of Chicago Law Review article?17 A. That's not exactly right. It was  
18 actually proposed by Eric McGhee in a  
19 peer-reviewed article in the Journal of  
20 Legislative Studies Quarterly in 2014.

21 Q. Okay. And --

22 A. And then the Stephanopoulos and McGhee  
23 article focused on expanding the description of  
24 it and then applying it, trying to build a legal  
25 standard that they advocated. But the

Page 52

1 C. WARSHAW

2 original -- the original measure was actually  
3 developed by Eric McGhee.4 Q. Okay. And the Chicago Law Review is  
5 not a peer-reviewed journal, right?

6 A. That's correct.

7 Q. And during the two and a half years or  
8 three years since its proposal it's received a  
9 lot of scholarly criticism in the Political  
10 Science Academy. Is that correct?11 A. I think there has been a robust  
12 discussion of the merits of different measures  
13 with some of that centering around criticism of  
14 the efficiency gap.15 Q. Okay. If you could turn back to  
16 Exhibit 2.

17 A. Which one is that?

18 Q. I'm sorry. The Stanford Law Review  
19 article.

20 A. Great.

21 Q. And again, this is the Stanford Law  
22 Review article by Stephanopoulos and McGhee  
23 themselves, right? And at the top of 1508 they  
24 say --

25 MR. YEAGER: Hold on just one moment,

Page 53

1 C. WARSHAW

2 please.

3 MR. CARVIN: Sure.

4 MR. YEAGER: Thank you.

5 BY MR. CARVIN:

6 Q. Stephanopoulos and McGhee say that  
7 "The academic discussion of the efficiency gap  
8 includes a number of criticisms of the measure."  
9 Is that accurate?

10 A. Yes.

11 Q. And then they list, I believe, at  
12 least five scholarly articles criticizing the  
13 efficiency gap?

14 A. Yes, that's correct.

15 Q. And they cite an article by Cho, by  
16 Best, by Krasno and colleagues, by John Nagle.17 Are these critics well-respected  
18 political scientists?

19 A. Yes, sir.

20 Q. And then also by Christopher Chambers.  
21 Is he a well-respected political scientist?22 A. I don't know him, to be honest. I  
23 couldn't say. I assume -- I'll take it as a  
24 supposition.

25 MR. YEAGER: Could I just clarify. I

Page 54

## 1 C. WARSHAW

2 don't think the witness was saying he  
3 doesn't know Mr. Chambers, to be honest.

4 MR. CARVIN: I take your point.

5 THE WITNESS: Outside of this paper,  
6 I've never -- outside of this citation, I've  
7 never heard of Professor Chambers.

8 BY MR. CARVIN:

9 Q. Okay. So Cover says that one  
10 criticism is it favors uncompetitive elections.

11 Is that a fair criticism?

12 A. In my view, it's not.

13 Q. And why is that?

14 A. What Stephanopoulos and McGhee show  
15 here is there is no empirical correlation,  
16 particularly in state legislative elections,  
17 between the efficiency gap and the  
18 competitiveness of the elections. Indeed, I  
19 think thinking about it beyond the actual data,  
20 thinking about it at a more theoretical level,  
21 there's many ways in which a state could achieve  
22 a neutral efficiency gap. There's no reason that  
23 competitive elections are the only or even the  
24 best path to achieve it.

25 Q. But you say there's no correlation

Page 55

## 1 C. WARSHAW

2 between competitive election districts and the  
3 efficiency gap?4 A. Yeah. That's on page 1523 of this  
5 article.6 Q. Right. And in there they're making  
7 the point that they're not trying to capture in  
8 the efficiency gap whether or not election  
9 districts are competitive, right? That's a  
10 separate inquiry?

11 A. That's correct.

12 Q. Okay.

13 MR. CARVIN: If you could mark that  
14 Exhibit 4.15 (Exhibit 4 marked for identification  
16 and attached hereto.)

17 BY MR. CARVIN:

18 Q. Now I've handed you Exhibit 4. This  
19 is an article by Best and others, "Considering  
20 the Prospects for Establishing a Packing  
21 Gerrymandering Standard."22 You cite this article in your report,  
23 do you not?24 A. I can't remember off the top of my  
25 head.

Page 56

## 1 C. WARSHAW

2 Q. Why don't you go check your report.

3 A. It looks like I do.

4 Q. And again, Best and his co-authors are  
5 well-respected political scientists?

6 A. Yes, I believe they are.

7 Q. And Best and McDonald came up with the  
8 median-mean difference that you partially rely on  
9 in your report?

10 A. Yes.

11 Q. Okay. If you could turn to page 5 of  
12 this article. I'm reading now from their middle  
13 paragraph where they're discussing the efficiency  
14 gap, are they not?

15 A. Yes, I believe so.

16 Q. And they say: "It runs into  
17 manageability difficulties in two regards (1) it  
18 assumes wasted votes are to be counted in an odd  
19 way, and (2) it has no secure baseline for  
20 establishing the degree of wasted votes that  
21 indicates a gerrymander."

22 Do you see that?

23 A. Yes.

24 Q. Let's start with the second  
25 proposition. Do you agree that the efficiency

Page 57

## 1 C. WARSHAW

2 gap has no secure baseline for establishing the  
3 degree of wasted votes that indicates a  
4 gerrymander?5 A. In my view, there's no bright line for  
6 any of the metrics that would establish a  
7 gerrymander based on one metric alone.8 Q. So as to the efficiency gap, the  
9 mean-median difference and declination, there's  
10 no baseline for separating an impermissible  
11 gerrymander from a tolerable result?12 A. I think you have to look at a number  
13 of different factors. As in Michigan, I think  
14 that all of those point in the same direction,  
15 that this is an extreme partisan gerrymander.  
16 But there's no single number where I would say  
17 above 5 percent or something is definitely a  
18 gerrymander.19 Q. So there is no well-accepted view in  
20 the profession about what efficiency gap score  
21 renders a redistricting plan unacceptable or an  
22 extreme partisan gerrymander?

23 A. That's correct.

24 Q. And the same is true of both the  
25 mean-median difference and the declination

1 C. WARSHAW

2 scores?

3 A. That's correct.

4 Q. Then it discusses effectiveness  
5 difficulties -- I'm now back to the preceding  
6 paragraph -- arise for three reasons. And the  
7 first reason they give are votes are wasted for  
8 reasons other than gerrymandering.

9 Do you agree with that?

10 A. Yes. Certainly I think that a number  
11 of factors affect election results, and those can  
12 influence the efficiency gap estimates as they do  
13 any of the other metrics to some degree.14 Q. Then it says: "The wasted vote gap  
15 co-varies with a party's vote percentage."

16 Do you agree with that?

17 A. I don't necessarily agree. I haven't  
18 run a regression that tests that statement,  
19 although I certainly -- we certainly -- I  
20 certainly could. My -- I know in the  
21 Stephanopoulos and McGhee, in their article they  
22 argue that's not necessarily true, in their  
23 Stanford Law Review article.24 And in my qualitative assessment of  
25 just spending a lot of time with the data,

1 C. WARSHAW

2 there's nothing -- there's nothing in looking at  
3 the data and building lots of graphs and running  
4 lots of, you know, different types of analyses  
5 that would lead me to believe that. But I  
6 haven't done that analysis explicitly, so I  
7 couldn't say for sure.8 Q. Okay. And what does that mean in  
9 English, that it "co-varies with a party's vote  
10 percentage"?11 A. Well, I think their assertion here is  
12 that there's some necessary relationship between  
13 the efficiency gap and the differential and  
14 wasted votes and the party's percentage of the  
15 statewide vote. But certainly mathematically  
16 that's not true. The efficiency gap is not -- is  
17 not calculating the vote share. It's calculating  
18 the differential between the number of votes you  
19 get and the number of seats you get via the  
20 wasted votes.21 So certainly no mathematical, you  
22 know, relationship that would presume -- that  
23 would imply this to be true. And like I said, my  
24 understanding of the data does not lead me to  
25 think it's true either, but I couldn't say for

1 C. WARSHAW

2 sure.

3 Q. And then the third criticism is: "The  
4 method seeks to cover both cracking and packing  
5 gerrymanders in one calculation and thereby can  
6 allow some amount of cracking to disguise an  
7 undue amount of packing."

8 Do you agree with that?

9 A. Clearly the method seeks to cover both  
10 packing and cracking. I would have to think more  
11 about the second half of that sentence. I don't  
12 have a view on -- a necessary view on the second  
13 half of the sentence. But the first half is  
14 certainly true, that the efficiency gap  
15 incorporates both packing and cracking.16 Q. All right. To hopefully save some  
17 time, I would like you to turn to page 6. I'm  
18 going to give you a chance to read this to  
19 yourself beginning with the sentence in the  
20 middle of the paragraph "In a three-district  
21 state." If you could read the next couple of  
22 sentences, and then I can ask you some questions  
23 about that. Okay?24 MR. YEAGER: Just so we're clear,  
25 you're talking about two sentences or three

1 C. WARSHAW

2 that you want us to focus on here?

3 MR. CARVIN: Three.

4 MR. YEAGER: Okay. Thank you.

5 BY MR. CARVIN:

6 Q. Professor, have you had an opportunity  
7 to read that?

8 A. I need another minute.

9 Q. Sure. Just to be clear, please  
10 indicate to me when you've had enough time to  
11 digest that.

12 A. Yes, I'm thinking.

13 Q. Sure.

14 A. Okay.

15 Q. In the example they give, do they not,  
16 is they give three relatively competitive  
17 districts, and they point out that an 8.3 gap in  
18 favor of the majority party turns into an 8.3 gap  
19 against the majority party if the vote shifts  
20 during the decade four points in one direction.  
21 Is that correct?22 A. I'm sorry, the four points -- oh,  
23 turns into -- yes. Although I'm not sure -- I'm  
24 not sure about the eight-point calculation, if  
25 that's correct. But trying -- I was having a

## 1 C. WARSHAW

2 tough time doing the math in my head. But I  
3 don't think that's correct.

4 But putting that aside, yes. I mean,  
5 I think the bottom line, their criticism here is  
6 that the efficiency gap estimates are sensitive  
7 to small variations in who actually wins each  
8 seat. But in my view that's a virtue, not a  
9 vice. I mean, in our single-member -- in our  
10 single-member districts with first-past-the-post  
11 elections the winner of an election is the person  
12 that gets 50 percent plus one. And that has  
13 enormous consequences for the partisan and  
14 ideological composition of legislature and  
15 therefore the roll call votes that legislators  
16 take and the policies that are passed by our  
17 government.

18 So I think taking into account who  
19 actually wins the election is not necessarily a  
20 bad thing. And yes, if you have uniform swings,  
21 that will affect the efficiency gap, but the  
22 authors of a gerrymander are aware of the  
23 likelihood of different types of uniform swings.

24 So in -- surely you can have uniform  
25 swings across elections, but the most likely

## 1 C. WARSHAW

2 outcome is the one that the authors of a  
3 gerrymander are planning based on. So I think  
4 it's a little bit unrelated to the main point  
5 here just to point out in various hypothetical  
6 scenarios what might happen with different  
7 uniform swings.

8 Q. Well, if you're worried about the  
9 durability of a gerrymander, one gerrymandering  
10 technique is to have relatively thin majorities  
11 for the favored party and then pack the  
12 disfavored party into safe districts. If they  
13 miscalculate or the electorate shifts during the  
14 decade, then what would be viewed as -- in this  
15 case we'll use Republican districts, would become  
16 Democratic districts, correct?

17 A. Yes.

18 Q. And therefore one of the concerns they  
19 raise is that if you attribute a very negative  
20 efficiency gap score to districts that are, say,  
21 51/49, that will create a false positive where  
22 that district could change very rapidly and the  
23 efficiency gap score would disappear, indeed  
24 shift to the other side, correct?

25 MR. YEAGER: Objection; incomplete

## 1 C. WARSHAW

2 hypothetical and misstates the evidence.

3 THE WITNESS: Well, I'm not sure I  
4 completely followed the hypothetical you  
5 just said. But I'll just say at a general  
6 level, I think that the -- there is going to  
7 be variation within cycles in the efficiency  
8 gap, particularly if you only have three  
9 districts. But to my knowledge, there are  
10 no state legislators -- legislatures in the  
11 United States that only have three  
12 districts.

13 And my analysis of congressional  
14 districts, I believe following other people  
15 that have looked at the efficiency gap,  
16 focuses on states with more than five or six  
17 congressional districts; in my case, more  
18 than six. For exactly this very reason,  
19 that the efficiency gap estimates are going  
20 to be more stable when there's more  
21 districts.

22 Clearly, if you only have three  
23 districts, it's going to -- the efficiency  
24 gap could be sensitive to idiosyncratic  
25 variation in election results, which is why

## 1 C. WARSHAW

2 in my analysis I didn't actually look at  
3 states with only three districts.

4 BY MR. CARVIN:

5 Q. Well, that's fair enough. Perhaps the  
6 magnitude of the difference will be different.  
7 But let's go to the last sentence on the opposite  
8 column. All right?

9 And the authors say, do they not, that  
10 the efficiency gap "overreaches when it offers a  
11 false positive reading of gerrymandering by  
12 indicting a districting plan as a gerrymander  
13 because it has many competitive districts that  
14 slightly favor one party..."

15 And since it does indict districts  
16 that are competitive, as a gerrymander they may  
17 be condemning things as gerrymanders that could  
18 shift if these competitive districts shift in the  
19 next election. Is that a fair criticism?

20 MR. YEAGER: Objection; misstates the  
21 record.

22 You may answer.

23 THE WITNESS: Again, thinking of this  
24 at a more abstract level, I think my view is  
25 that if a gerrymander were to draw all

## 1 C. WARSHAW

2 the -- or to draw a large number of  
 3 districts where they expected to win  
 4 54 percent of the vote, those might be  
 5 termed competitive districts depending on  
 6 your metric of competitive districts.  
 7 However, the expected -- the most likely  
 8 outcome would be that the favored party  
 9 would win most or all of those districts.

10 BY MR. CARVIN:

11 Q. Right.

12 A. So in fact that would be a  
 13 gerrymander. Which is why I think that  
 14 looking -- trying to conflate competitiveness and  
 15 partisan bias is -- those are two different  
 16 values. And the goal of a gerrymander in general  
 17 is to maximize the partisan bias in terms of the  
 18 translation of votes to seats. And they might do  
 19 that -- one optimal way to do that is to do that  
 20 by creating many relatively competitive  
 21 elections. And on average they're going to be  
 22 advantaged in the translation of votes to seats.

23 I wouldn't want to throw out that kind  
 24 of situation merely because we thought that a  
 25 54 percent election was competitive, because

## 1 C. WARSHAW

2 that's -- that's ignoring the fact that the most  
 3 likely outcome in most scenarios is the favored  
 4 party will win that election.

5 Q. Fair enough. But just to get to the  
 6 two points we can agree on, a 52/48 district has  
 7 a very poor efficiency gap score, right? It says  
 8 that the disadvantaged party has wasted 46 votes  
 9 in that?

10 A. I think 48 in the hypothetical you  
 11 just gave.

12 Q. 48. And in relative terms, 46 because  
 13 the majority party is only --

14 A. I see what you're saying.

15 Q. -- wasting two points. Is that fair?

16 A. Yes, sir.

17 Q. Okay. So we agree that those kinds of  
 18 districts perform very poorly in terms of the  
 19 efficiency gap, correct?

20 A. Sure. The disfavored party is wasting  
 21 a lot of votes in those districts. Which is one  
 22 of the reasons why cracking voters across these  
 23 district is such a good strategy for the favored  
 24 party.

25 Q. Right. Well, it could be a good

## 1 C. WARSHAW

2 strategy in 2012 if an incumbent dies or if there  
 3 is a relatively minor shift in the state or you  
 4 have an off-year election following a  
 5 presidential win. Then those districts that  
 6 slightly favor Republicans could favor Democrats  
 7 and Democrats can win those seats, correct?

8 A. Surely.

9 Q. I've got two more questions and then  
 10 would that be a convenient time for a break? I'm  
 11 going to move on to a new topic.

12 MR. YEAGER: Is that okay with you,  
 13 Professor?

14 THE WITNESS: Yes, sir.

15 BY MR. CARVIN:

16 Q. Did you use the full method efficiency  
 17 gap? Are you familiar with that term?

18 A. I'm not sure -- I can't remember how  
 19 Stephanopoulos and McGhee -- the terms have  
 20 shifted a little bit. But I use what I call the  
 21 turnout adjusted model that incorporates --  
 22 accounts for differential turnout across  
 23 districts.

24 Q. So you do account for differential  
 25 turnout --

## 1 C. WARSHAW

2 A. Correct.

3 Q. -- in your analysis?

4 A. I do that for all three legislative  
 5 chambers.

6 Q. And then if you could turn to page 15  
 7 of your report, please.

8 A. I'm happy to talk -- I should say one  
 9 of the consequences of that decision is that it  
 10 means that, compared to a non-turnout adjusted  
 11 estimated efficiency gap, my estimates are a  
 12 little bit more pro-Democratic. So in other  
 13 words, it gives you -- it's going to create a  
 14 little bit less, like, pro-Republican  
 15 gerrymandering or something than if you didn't  
 16 account for turnout since Democrats tend to have  
 17 lower turnout in districts they win.

18 Q. But you think it's the better  
 19 analysis?

20 A. Exactly. I view it -- I view it as  
 21 the better analysis even though here it certainly  
 22 cuts against the incumbent conclusions in the  
 23 report.

24 Q. At the top of page 15 under the  
 25 bracket heading you say: "Of course the

Page 70

1 C. WARSHAW

2 efficiency gap can be nonzero and differ across  
3 states for reasons unrelated to the drawing of  
4 district lines such as variations in how  
5 different demographic groups are distributed  
6 across geographic space."

7 Do you see that?

8 A. Yes.

9 Q. You didn't analyze how Democratic  
10 groups are distributed across geographic spaces  
11 in Michigan, did you?

12 A. No.

13 Q. "The efficiency gap can also be  
14 affected by the intentional drawing of district  
15 lines to accomplish goals other than maximizing  
16 partisan seat share such as ensuring the  
17 representation of racial minorities."

18 Is that right?

19 A. Yes.

20 Q. And the reason that is is because, as  
21 we can see from the majority black districts in  
22 Michigan, all of those are heavily packed  
23 Democratic districts, right?

24 A. I haven't looked at that in my  
25 analysis. But from my knowledge of other states,

Page 71

1 C. WARSHAW

2 I will take that as probably true.

3 Q. Can you identify the counties or  
4 localities or municipalities in Michigan that  
5 have the highest Democratic concentrations?

6 A. At a general level I could. The city  
7 of Detroit I'm sure is heavily Democratic, as in  
8 general are other cities, particularly those with  
9 many racial minorities or union members and  
10 things like that.

11 Q. Do you know where those are?

12 A. I could name cities in Michigan, but  
13 I'm not -- I'm not -- I don't -- I don't claim to  
14 be -- to know the distribution of voter groups  
15 across Michigan in detail.

16 Q. Do you know what county Detroit is in?

17 A. Wayne County?

18 Q. Are you asking?

19 A. I think it's Wayne County.

20 Q. So if it is true that Democrats are  
21 clustered naturally either because of demographic  
22 factors or because of the effects of creating  
23 majority black districts, the only way to avoid  
24 an efficiency gap, an anti-Democratic efficiency  
25 gap, would be to draw districts that break up

Page 72

1 C. WARSHAW

2 those natural clusters, right?

3 A. I don't necessarily agree with that.  
4 I haven't analyzed that in depth. I know other  
5 reports -- I know the work of other experts in  
6 this case speak to that. I don't have a view on  
7 the matter.

8 Q. And have you read those reports?

9 A. I've read parts of Professor Chen's  
10 report. I have not read Professor Mayer -- I  
11 think I read the introduction of Professor  
12 Mayer's report, but I haven't read his report in  
13 any depth.

14 MR. CARVIN: This would be a  
15 convenient time for a break.

16 (Recess taken.)

17 BY MR. CARVIN:

18 Q. If you could turn in your report to  
19 page 18, please, Professor.

20 A. Yes.

21 Q. And you're discussing here the  
22 efficiency gaps in Congress, and you say these  
23 efficiency gaps imply that the Republicans in  
24 Michigan won two to three more seats in these  
25 elections than they would have if Michigan had no

Page 73

1 C. WARSHAW

2 partisan bias in its efficiency gap. Then you  
3 cite Stephanopoulos and McGhee's 2015 article and  
4 say that has similar estimates. Is that correct?

5 A. Yes. I believe both their article and  
6 The Brennan Center place seats -- estimate the  
7 number of seats that the efficiency gap -- you  
8 know, put the efficiency gap in seat terms.

9 (Exhibit 5 marked for identification  
10 and attached hereto.)

11 BY MR. CARVIN:

12 Q. If you could look at Exhibit 5,  
13 please, which has just been handed to you.

14 A. Sure.

15 MR. YEAGER: Did you send me one?

16 MR. CARVIN: Sorry.

17 BY MR. CARVIN:

18 Q. And this is the University of Chicago  
19 Law Review article you referenced on page 18?

20 A. Yes, I believe that's true.

21 Q. Okay. Now, it did estimate that the  
22 efficiency gap in Michigan was more than two  
23 congressional seats, right? Why don't you turn  
24 to page 890.

25 A. 890? I think 879 is the one where

## 1 C. WARSHAW

2 they showed the efficiency gap in Michigan is  
 3 three seats, according to their graph.

4 Q. Okay. But they nonetheless said that  
 5 Michigan's congressional map was not crossing  
 6 their threshold of a presumptively invalid  
 7 efficiency gap -- and I'm reading from the  
 8 article -- because "the sensitivity testing shows  
 9 that plausible shifts in voter sentiment could  
 10 result in the Michigan, North Carolina, and Texas  
 11 plan advantaging Democrats instead."

12 Is that true?

13 A. That's what they say in this article.  
 14 I haven't analyzed that.

15 Q. You haven't analyzed whether or what  
 16 kind of plausible shifts in voter sentiment will  
 17 occur in Michigan in future congressional  
 18 elections, right?

19 A. Correct.

20 Q. And on page 889 of the same article it  
 21 says in the second sentence of the first full  
 22 paragraph: "We recommend the sensitivity testing  
 23 because, as we have stressed, a plan's efficiency  
 24 gap may change substantially from one election to  
 25 the next." Correct?

## 1 C. WARSHAW

2 A. Yes, that's true in theory. But the  
 3 efficiency gap in Michigan has stayed relatively  
 4 stable over the past three election cycles.

5 Q. We're going to discuss that.

6 But the authors of this article stress  
 7 that a plan's efficiency gap may change  
 8 substantially from one election to the next. Do  
 9 you disagree with that as a general proposition?

10 A. No.

11 Q. We'll come back to how much it's  
 12 changed in Michigan.

13 They recommend the sensitivity test is  
 14 to take into account whether under realistic  
 15 voter shifts the efficiency gap could actually  
 16 favor in this case the Democrats, correct?  
 17 That's their sensitivity test?

18 A. I believe that's true.

19 Q. And you've not done any sensitivity  
 20 testing to determine whether or not the  
 21 efficiency gap could be zero or close to zero in  
 22 the 2018 or 2020 elections, right?

23 A. I have not. My entire report focuses  
 24 on actual observed elections, where we now have  
 25 three elections since the 2011 plan went into

## 1 C. WARSHAW

2 place.

3 Q. Right.

4 A. I don't look at hypothetical  
 5 elections.

6 Q. Right. Well, the fact that there's  
 7 elections in 2018 is not hypothetical; they just  
 8 haven't occurred yet. Right?

9 A. Fair. Yes.

10 Q. And you're not making any projections  
 11 about what will happen --

12 A. That's correct.

13 Q. -- in future elections?

14 A. That's correct.

15 Q. If you turn to page 864 of this  
 16 article. If you read the fourth sentence in the  
 17 first full paragraph on 864, it says:  
 18 "Specifically, a plan's efficiency gap in one  
 19 election is a relatively weak predictor of its  
 20 gap in the next election (coefficient equals  
 21 0.23) in a model that also includes a variety of  
 22 other factors."

23 Is that correct?

24 A. I haven't -- I couldn't say for sure.  
 25 I haven't looked at -- what I say -- what I show

## 1 C. WARSHAW

2 in my report is that in recent election cycles,  
 3 the efficiency gap in 2012 is a very good  
 4 predictor of the efficiency gap in 2016, and  
 5 that's what I've -- that's what I've looked at.

6 Q. In Michigan?

7 A. Not just in Michigan but across the  
 8 country.

9 Q. Okay. So you disagree with the  
 10 creators and authors of the efficiency gap about  
 11 whether or not a plan's efficiency gap in one  
 12 election is a relatively weak predictor of its  
 13 gap in the next election?

14 A. Their analysis may be based on all of  
 15 the elections over the past, you know, 45 years,  
 16 which I haven't looked at.

17 Q. Do you know whether or not they were  
 18 looking at it for the past 45 years?

19 A. I don't know. I'd have to -- I assume  
 20 so.

21 Q. This article was written in --

22 A. I think their -- their analysis, like  
 23 mine, looks at plans, I think over the course of  
 24 the 1970s, '80s, '90s, 2000 and 2012. And I did  
 25 some -- it's not shown in my report, but I did

## 1 C. WARSHAW

2 some, you know, just exploratory analysis that  
 3 suggests that the relationship is a little bit  
 4 weaker in earlier decades. I couldn't say  
 5 exactly what it is. But certainly in recent  
 6 elections the relationship is quite strong  
 7 between the efficiency gap after a plan --  
 8 immediately after a plan goes into place and  
 9 mid-decade.

10 Q. And you say you didn't put that in  
 11 your report?

12 A. I didn't. I didn't make -- I thought  
 13 the most relevant piece was what happens after  
 14 the 2011 plans went into place since those are  
 15 the plans that we're focused on.

16 Q. And the analysis in your report is of  
 17 the relationship between the 2012 and 2016  
 18 election results, right?

19 A. Exactly.

20 Q. Which you characterize as similar?

21 A. Yes.

22 Q. Okay.

23 A. I believe that's true.

24 Q. And they went from 19.7 efficiency gap  
 25 in 2012 to 13.2 in 2016? We come back to this if

## 1 C. WARSHAW

2 you want.

3 A. I would have to look at my report for  
 4 the precise numbers.

5 Q. But that's what you considered a very  
 6 strong correlation?

7 A. Yes.

8 Q. 6 percent change in efficiency gap?

9 A. Yeah. Those are very both very --  
 10 both very pro-Republican efficiency gaps. I  
 11 mean, to be clear, what they talk about in this  
 12 article is the odds that a pro-Republican  
 13 efficiency gap would become a pro-Democratic  
 14 efficiency gap.

15 Q. Right.

16 A. And, of course, in Michigan we see  
 17 nothing like that. The efficiency gap has  
 18 fluctuated a little bit, as of course any of  
 19 these measures will do in real-world election  
 20 results. But on balance it shows a very  
 21 pro-Republican map now as it did in 2012.

22 Q. But you consider a --

23 A. I don't have a different view, I  
 24 should say. If it had gone -- if the efficiency  
 25 gap had gone from negative 20 percent to positive

## 1 C. WARSHAW

2 10 percent or something, I mean, I might have a  
 3 different view. But, you know, despite many  
 4 different election results, 2012 was a very good  
 5 year for Democrats. 2014 was a very bad year for  
 6 Democrats. 2016 was somewhere in the middle in  
 7 terms of their vote share. Across all of those  
 8 different scenarios the efficiency gap in  
 9 Michigan has looked relatively similar.

10 Q. Relatively similar. I just want to  
 11 make sure that you consider 6.5 percent change  
 12 similar.

13 A. Yeah.

14 Q. So 13.2 percent would be similar to  
 15 6 percent? That's a 6 percent change,  
 16 6.5 percent change?

17 A. I think that -- I don't have a view on  
 18 that. But in thinking about it, a couple  
 19 percentage point change I think is a relatively  
 20 small change. I wouldn't want to put a precise  
 21 number on what I viewed as the small change.  
 22 Within a couple percentage points is --

23 Q. What about 6.5 percent?

24 A. I think -- I think I would view that  
 25 as relatively similar.

## 1 C. WARSHAW

2 Q. Not similar?

3 A. No, I said I would view them as  
 4 relatively similar.

5 Q. But not similar?

6 MR. YEAGER: Objection; misstates the  
 7 testimony. Asked and answered.

8 BY MR. CARVIN:

9 Q. Would you view them as similar?

10 MR. YEAGER: Asked and answered.

11 THE WITNESS: I believe the word  
 12 "similar" is in my report. I don't...

13 BY MR. CARVIN:

14 Q. And you're standing by that?

15 A. I stand by that.

16 Q. Okay. So I'm going to ask you again.  
 17 Have you analyzed whether a plan's efficiency gap  
 18 in one year is a weak predictor of gaps in the  
 19 next election year? Have you assigned a  
 20 coefficient from one election to another?

21 A. No. What I show in my report, though,  
 22 is that there is a .78 correlation, I believe, in  
 23 congressional plans and a .68 correlation in  
 24 state legislative plans between the efficiency  
 25 gaps in 2012 and '16, which suggests that --

1 C. WARSHAW  
 2 certainly for the congressional plans the  
 3 majority -- the vast majority -- I don't want to  
 4 say vast, but the majority of the variation in  
 5 2016 efficiency gaps can be predicted based on  
 6 the 2012 gaps.

7 Q. And you looked at three election  
 8 cycles?

9 A. Correct.

10 Q. Do you disagree with the authors'  
 11 conclusion with respect to efficiency gaps in  
 12 general that as a practical matter it tends to  
 13 fluctuate?

14 A. Yes. Because, as we talked about  
 15 earlier, the efficiency gap is sensitive to, you  
 16 know, the actual winner of a seat. So, you know,  
 17 as the winner of a seat can fluctuate due to  
 18 election, you know, fluctuation -- uniform swings  
 19 or idiosyncratic factors the efficiency gap is  
 20 going to change. That variation will get  
 21 smaller, in general, as the number of seats  
 22 increase.

23 Q. So you agree with them?

24 A. Yes. And that's why -- and that's why  
 25 I stated in my report that I think no -- no

1 C. WARSHAW  
 2 metric is perfect, including the efficiency gap.

3 Q. Right.

4 A. I think all of these metrics have  
 5 weaknesses, and that's one of the weakness,  
 6 perhaps the most important weakness of the  
 7 efficiency gap.

8 Q. Okay. So you agree with the  
 9 efficiency gap's potentially more important  
 10 limitation is instability as the authors state at  
 11 page 864?

12 A. I do think that's a weakness. But I  
 13 think one -- one area where I would disagree with  
 14 the authors is that elections have consequences.  
 15 Even if a measure is -- even if -- even if future  
 16 elections can't be predicted precisely -- and I  
 17 also think, you know, going back to even the  
 18 Gelman and King 1994 article, it's  
 19 longstanding -- it's long been known that the  
 20 consequences of a gerrymander decay somewhat over  
 21 time due to changing election circumstances. No  
 22 one can predict the future precisely. So I don't  
 23 think that's necessarily a new point.

24 So, for instance, in Michigan the  
 25 efficiency gap decreases a little bit. And

1 C. WARSHAW  
 2 that's been a longstanding finding in the  
 3 literature. But I think that each election has  
 4 consequences.

5 Q. Right.

6 A. So I don't think we should focus -- I  
 7 don't think our burden of proof should be that  
 8 the 2020 -- the efficiency gap in 2020 has to be  
 9 exactly the same as 2012.

10 Q. You don't think so?

11 A. To evaluate a gerrymander. I think  
 12 that's not -- when parties are trying to maximize  
 13 their seats, I think that's not what they're  
 14 trying to do. They're trying to -- they can only  
 15 see so far into the future, and they're trying to  
 16 maximize their seat share knowing -- with the  
 17 information they have.

18 Q. Fair enough. The court can't do  
 19 anything about the 2016 elections, right?

20 MR. YEAGER: Objection; calls for a  
 21 legal conclusion.

22 BY MR. CARVIN:

23 Q. You're not advocating or you're not  
 24 understanding anybody to advocate that we throw  
 25 out the winners of the 2016 election, right?

1 C. WARSHAW

2 A. That's correct.

3 Q. And you don't understand this case to  
 4 involve enjoining the 2018 elections, right?

5 A. I don't know.

6 Q. You don't know? It's August of 2018.  
 7 I'm telling you that no one is seeking to stop  
 8 the 2018 elections in this litigation. Okay?

9 If that's true, then the only election  
 10 that matters relative to this litigation is the  
 11 2020 election, right?

12 A. (Nodding head.)

13 Q. I need a verbal response.

14 A. Yes.

15 Q. Okay. So if the efficiency gap has  
 16 decayed to the point where it is no longer  
 17 significant or crosses your threshold as an  
 18 extreme partisan gerrymander in 2020, then there  
 19 would be no point in enjoining the 2020  
 20 elections, right?

21 A. I think that calls for a legal view  
 22 that I don't have. But I think that -- What I  
 23 can say is that the 2016 -- empirically, the 2016  
 24 efficiency gaps have decayed a little bit but  
 25 they're similar to the 2012 efficiency gaps.

Page 86

1 C. WARSHAW

2 Q. And you're making no prediction about  
3 how much they're going to decay in 2018 or 2020,  
4 correct?

5 A. I'm not, except to say that they are  
6 relatively similar -- they're similar in 2012 and  
7 2016.

8 Q. Right. But you haven't made any --

9 MR. YEAGER: Objection. Let him  
10 finish, please.

11 BY MR. CARVIN:

12 Q. Go ahead.

13 A. I think as a -- that's correct. I'm  
14 not making -- There's no forecast in my report  
15 for what's going to happen in 2020.

16 MR. CARVIN: Could you mark this as  
17 Exhibit 6, please.

18 (Exhibit 6 marked for identification  
19 and attached hereto.)

20 BY MR. CARVIN:

21 Q. All right. This is the rebuttal  
22 report you submitted in this case. Is that  
23 correct?

24 A. Yes, it is.

25 Q. If you would turn to page 4, please.

Page 87

1 C. WARSHAW

2 You have a heading of (b). And you state on  
3 page 9: "Dr. Johnson argues that we should not  
4 be concerned that Michigan's districts are  
5 gerrymandered because it is possible to imagine a  
6 3 to 4 percent uniform swing in the two-party  
7 vote shares which would give Democrats a majority  
8 of Michigan's congressional seats."

9 Do you see that?

10 A. Yes.

11 Q. Do you disagree that a 3 to 4 percent  
12 swing would give Democrats a majority of seats?

13 A. I can't remember, to be honest. I  
14 neither agree nor disagree. I just don't  
15 remember.

16 Q. Have you made any projections for  
17 potential swings in 2018?

18 A. I have not.

19 Q. You say that there have been  
20 relatively large swings in statewide votes since  
21 2012 in Michigan, right?

22 A. Where do I say that?

23 Q. Do you see the last sentence? You  
24 say: "There have been regionally large swings in  
25 the statewide vote." Second-to-last sentence.

Page 88

1 C. WARSHAW

2 A. Yes.

3 MR. YEAGER: Hold on one second. If I  
4 might just read.

5 THE WITNESS: I say despite --

6 MR. YEAGER: Stop. Stop. Just wait.  
7 Okay, no objection.

8 BY MR. CARVIN:

9 Q. Can you tell me what those reasonably  
10 large swings were in 2012, 2014, and 2016?

11 A. I believe -- I don't have -- Nowhere  
12 in my report do I state exact numbers. I don't  
13 have them exact precisely in my head. But from  
14 thinking about the data, I think the Democratic  
15 vote share goes from maybe 51 or 52 percent in  
16 2012, I think is in what is in my report, to 47  
17 percent-ish -- somewhere around 47 percent in  
18 2010. So it goes -- it swings a couple of  
19 percentage points.

20 Q. In whose favor?

21 A. In the Republicans' favor. Sorry, in  
22 2014. I might have said 2010. In 2014 it swung  
23 in Republicans' favor.

24 Q. And how about 2016?

25 A. It swung a little -- it swung a little

Page 89

1 C. WARSHAW

2 bit back to the Democrats. I think Democrats had  
3 a majority, had a bare majority of the statewide  
4 vote, but I can't remember for sure.

5 Q. So just so I understand it, you think  
6 Republicans had a bare majority of the statewide  
7 vote in congressional elections in 2014 and  
8 Democrats had a bare majority in 2016? That's  
9 your testimony?

10 A. I think that's true, but I would have  
11 to look for -- for precise numbers.

12 Q. It's well established, is it not, that  
13 most congressional plans swing up to 7.5 percent  
14 during an election cycle?

15 A. Well, Stephanopoulos and McGhee assert  
16 that in their article. I wouldn't say it's well  
17 established. I mean, I think -- I couldn't say  
18 that for sure.

19 Q. Do you have contrary analyses?

20 A. I don't.

21 Q. So you can't dispute it?

22 A. I can't dispute it, no.

23 Q. Back to your rebuttal report. You  
24 say -- you state in your initial report:  
25 "Democrats would have needed about 57.5 percent

Page 90

## 1 C. WARSHAW

2 of the vote to win a majority of the seats in the  
3 Michigan congressional delegation." Right?

4 A. Yes.

5 MR. YEAGER: Objection. Incomplete  
6 reading of that sentence.

7 BY MR. CARVIN:

8 Q. You said that, right?

9 A. As I stated in my initial report:

10 "Democrats would have needed about 57.5 percent  
11 of the vote to win a majority of the seats in the  
12 Michigan congressional delegation."13 Q. Right. And you're not suggesting that  
14 they would need 57.5 percent to win a majority of  
15 the seats in 2018 or 2020, are you?16 A. I have not analyzed that, but I have  
17 no reason to believe that that wouldn't be true,  
18 that it would change dramatically.19 Q. So you haven't made any projections  
20 about what percentage of the statewide vote would  
21 be necessary for Democrats to win a majority of  
22 the seats under the current redistricting plan in  
23 2018 or 2020, correct?24 A. No. But I don't -- my -- in my -- in  
25 my view, the number that was required in 2012

Page 91

## 1 C. WARSHAW

2 wouldn't change dramatically over time.

3 Q. And that's not based on any analysis  
4 or conclusion suggested in your report, correct?

5 A. That's correct.

6 Q. Let's figure out how you came to this  
7 57.5 percent. If you could turn to footnote 9,  
8 page 9 of your initial report.

9 A. I have to find my report.

10 Q. The first report.

11 A. Okay. What page number are you on?

12 Q. Page 9, footnote 9 at the bottom.

13 A. Yes.

14 Q. So the way you came up with this 57.5  
15 was you just added -- you just figured out they  
16 needed to win an additional 4.5 percent of the  
17 vote in the 3rd District, which would have been  
18 the majority district, in that year to get a  
19 majority of the seats, right?

20 A. Correct.

21 Q. Okay. And so that wasn't any kind of  
22 swing analysis based on election results. That  
23 was just looking at the particular result in  
24 District 3 for 2012, right?

25 A. Yes. But in this case District 7, 3

Page 92

## 1 C. WARSHAW

2 and 11 all have very similar vote shares. So  
3 even if District 3 had changed a little bit, that  
4 wouldn't affect this dramatically, this  
5 calculation.

6 Q. Well, let's look --

7 A. District 7 was the median -- was the  
8 district to get the majority rather than District  
9 3.10 Q. Well, let's look at that. What  
11 increase would it take to give Democrats half the  
12 seats even in 2012?

13 A. About 3.5 percent.

14 Q. 3.5 percent?

15 A. They would have had to have gone to --  
16 they would have had to have gotten 56.5 percent  
17 of the statewide vote to get half the seats.18 Q. They would have needed a 0.31 percent  
19 increase to win District 1, which is hardly  
20 anything, right?

21 A. Correct.

22 Q. And then they would just need to win  
23 District 11 to win half the seats, right?

24 A. That's correct.

25 Q. And do you know what the vote share

Page 93

## 1 C. WARSHAW

2 was in District 11 in 2014 and 2016?

3 A. I don't off the top of my head.

4 Q. Do you know who the incumbent is in  
5 District 11?

6 A. I don't.

7 Q. Have you made any projections about  
8 what the likely result is in District 11 in 2018?

9 A. I have not.

10 Q. So in this very close race, if they  
11 win that, then they'll win half the seats, right?

12 A. Sure, if they also win District 1.

13 Q. Right. And just so I'm clear, would  
14 you think it would be an extreme partisan  
15 gerrymander if Democrats won seven of the 14  
16 seats in 2018?

17 A. I would obviously have to --

18 MR. YEAGER: Objection. Wait, wait,  
19 wait. Objection; vague and ambiguous.

20 You may answer.

21 THE WITNESS: You would have to give  
22 me more information in order to evaluate  
23 that statement.

24 BY MR. CARVIN:

25 Q. Well, in terms of seats-votes

1 C. WARSHAW  
 2 proportionality, that's pretty proportionate,  
 3 isn't it?

4 MR. YEAGER: Objection; vague and  
 5 ambiguous.

6 You may answer.

7 THE WITNESS: If the Democrats  
 8 received 53 percent of the statewide vote  
 9 and they received half the seats, then I  
 10 would not view that as necessarily a  
 11 gerrymander. I think that would imply -- I  
 12 think that would imply a pro-Republican  
 13 efficiency gap of six points, which I think  
 14 could be due to a number of factors.

15 MR. CARVIN: If you could mark this.  
 16 (Exhibit 7 marked for identification  
 17 and attached hereto.)

18 BY MR. CARVIN:

19 Q. This is an article by McGhee in 2014  
 20 in Legislative Studies Quarterly. I believe this  
 21 is one of the articles you cited in your report.

22 A. Yes, that's correct.

23 Q. And McGhee is one of the co-creators  
 24 of the efficiency gap?

25 A. He's the original creator of it. This

1 C. WARSHAW  
 2 article I believe is the article that creates the  
 3 efficiency gap, and then the article with  
 4 Stephanopoulos expanded upon it and applied --  
 5 developed their proposed legal standard.

6 Q. If you could turn to page 75 of this  
 7 article. If you look at the third full  
 8 paragraph, McGhee states, does he not: "These  
 9 theoretical insights lead to the key empirical  
 10 finding of the article. Because efficiency is a  
 11 function of both vote share and seat share, it is  
 12 sensitive to changes in party performance. Thus,  
 13 the effects of partisan gerrymanders, though  
 14 real, are easily undone. The partisan legacy of  
 15 the last plan is usually gone by the following  
 16 redistricting..."

17 Do you agree that the partisan legacy  
 18 of the last plan is usually undone -- is usually  
 19 gone by the following redistricting?

20 A. I haven't -- I couldn't evaluate that  
 21 statement specifically.

22 Q. Okay.

23 A. I don't have a view either way.

24 Q. All right. If you could turn to your  
 25 report at the bottom of 17, please. Just to go

1 C. WARSHAW  
 2 over what I think we've chatted about already, if  
 3 you look at the bottom of 17, you point out that  
 4 the efficiency gap in 2012 was a huge Republican  
 5 efficiency gap of approximately 19.7 percent.  
 6 Right?

7 A. Yes.

8 Q. Okay. And you say the results in the  
 9 next two elections were similar to those in 2012.  
 10 Correct?

11 A. Yes.

12 Q. In 2014 and 2016, the efficiency gap  
 13 was approximately minus 16 in 2014 and minus  
 14 13.2 percent in 2016. Correct?

15 A. Correct.

16 Q. And you're saying that this  
 17 6.5 percent difference between 13.2 and 19.7 is  
 18 similar, correct?

19 A. Yes. I think those are both very  
 20 pro-Republican efficiency gaps.

21 Q. Would a 6.7 percent efficiency gap be  
 22 similar to a 13.2 percent efficiency gap?

23 MR. YEAGER: Objection; incomplete  
 24 hypothetical.

25 You may answer.

1 C. WARSHAW

2 THE WITNESS: I think quantitatively  
 3 those are not wholly dissimilar, but I think  
 4 the context is very different. Whereas 13.2  
 5 indicates to me a very clear -- clear  
 6 evidence of partisan bias, once you go down  
 7 to 5 or 6 percent then I think that would  
 8 be -- I would need -- the efficiency gap by  
 9 itself probably wouldn't be dispositive. It  
 10 wouldn't be like -- no piece of evidence is  
 11 dispositive, but I think it would be less  
 12 significant evidence in favor of a partisan  
 13 bias.

14 BY MR. CARVIN:

15 Q. So if this trend continues of the  
 16 efficiency gap decreasing by 6.5 percent, then it  
 17 would be roughly 6.7 percent in 2020, correct, if  
 18 this trend continues?

19 A. Yes. But I think there is no evidence  
 20 either way that the trend is going to continue,  
 21 and certainly not that it's going to be linear.  
 22 In fact, what we've seen in prior decades in  
 23 Michigan is the efficiency gap -- you know, there  
 24 hasn't been a linear trend in the efficiency gap.  
 25 So for instance in the 1990s, in

Page 98

## 1 C. WARSHAW

2 Figure 5, the efficiency gap bounced around but  
 3 it was always pro-Democratic, whereas in the  
 4 2000s, for instance, the efficiency gap trended  
 5 toward Republicans and it trended a little bit  
 6 toward Democrats.

7 So I think the historical evidence in  
 8 Michigan does not provide any reason to believe  
 9 the efficiency gap is going to trend linearly in  
 10 a particular direction over time.

11 Q. We just don't know?

12 A. That's correct. But I think certainly  
 13 having a hypothetical that assumes it's going to  
 14 continue in some linear direction toward a  
 15 neutral or a pro-Democratic efficiency gap isn't  
 16 supported by the historical evidence.

17 Q. Why don't we turn to the historical  
 18 evidence. If you could turn to page 17.

19 A. Yes.

20 Q. See the efficiency gap in the 2000s?

21 A. Yes.

22 Q. And the best I can tell in 2006, it  
 23 was around 14.5 percent?

24 A. The chart is a little grainy, but that  
 25 looks roughly right.

Page 99

## 1 C. WARSHAW

2 Q. What was it in 2010?  
 3 A. Again, I don't have an exact number in  
 4 my head. The chart is a little grainy, but it  
 5 looks like around 5 percent, negative 5 percent.

6 Q. 5?

7 A. 4 percent. Negative 4 or 5 percent.  
 8 I don't know.

9 Q. Is the line halfway between 5 percent?  
 10 Is the line halfway between 10 percent and  
 11 0 percent 5 percent?

12 A. Fair. It's probably negative  
 13 4 percent.

14 Q. 4? Try again. In 2008 it was 4,  
 15 right?

16 A. Sorry. That's what I'm looking at.

17 Q. And then what was it in 2010?

18 A. Oh, in -- Yeah. I may have misheard  
 19 you. My reading of the chart is a negative --  
 20 yeah, in 2008, I think I had originally said  
 21 negative 5, but in looking at it, maybe it's  
 22 negative 4. And then in 2010, negative  
 23 2 percent, maybe.

24 But I think what -- to me, speaking at  
 25 a little higher level of abstraction, the

Page 100

## 1 C. WARSHAW

2 efficiency gap is going to bounce around a little  
 3 bit. But in the pre-2011 period, if anything,  
 4 the efficiency gap was trending -- to the extent  
 5 there was a trend, it was a trend toward  
 6 Democrats which was -- that trend was broken  
 7 after the 2011 plan went into place. So the plan  
 8 immediately after the 2011 plan went into place  
 9 became dramatically more pro-Republican.

10 Q. Dramatically more pro-Republican?

11 A. Yeah, going from whatever the 2010  
 12 number is, maybe negative 2 to the negative 20.

13 Q. You keep looking at the first year.  
 14 Right? But if you look at the midpoint year,  
 15 2006 relative to 2016, which gap is more  
 16 pro-Republican?

17 A. Can you repeat the question?

18 Q. Which is more pro-Republican, the  
 19 efficiency gap in 2006 or the efficiency gap in  
 20 2016?

21 A. It looks like the efficiency gap in  
 22 2006. But I couldn't say for sure.

23 Q. Okay. Then you have this analysis of  
 24 durability, right?

25 A. What page are you on?

Page 101

## 1 C. WARSHAW

2 Q. 16.

3 A. Great.

4 Q. Okay. And you say that this line here  
 5 somehow shows that the efficiency gap is durable.

6 A. I --

7 MR. YEAGER: Wait. That's not a  
 8 question.

9 BY MR. CARVIN:

10 Q. That's the purpose of this chart? And  
 11 then I'm going to ask more questions.

12 A. Yes, the purpose of this chart is to  
 13 show the durability of the efficiency gaps  
 14 between 2012 and 2016.

15 Q. Okay. And that's where I'm confused.  
 16 I see "MI" on the line that looks to me like it's  
 17 around, I don't know, 13 percent. But I don't  
 18 see -- I only see one "MI" dot on this line.

19 A. Got it. Its position on the x-axis  
 20 shows it's the efficiency gap in 2012 and the  
 21 position on the y-axis shows the efficiency gap  
 22 in 2016.

23 Q. So what are you --

24 A. So the fact --

25 MR. YEAGER: Let him ask a question.

<p style="text-align: center;">Page 102</p> <p>1 C. WARSHAW  2 Okay?  3 BY MR. CARVIN:  4 Q. I'm just trying to figure out what  5 this number means.  6 MR. YEAGER: I'm sorry. Is that a  7 question?  8 MR. CARVIN: Yeah.  9 MR. YEAGER: Do you understand that  10 question?  11 THE WITNESS: Which number are you  12 referring to?  13 BY MR. CARVIN:  14 Q. You have "MI." You don't have a  15 number assigned to it. I'm going to guess it's  16 around 12.5 percent.  17 A. Well, I think I state that elsewhere.  18 I say on page 18 that in 2014 the efficiency gap  19 was negative 16 percent.  20 Q. Right. In 2016?  21 A. Uh-huh.  22 Q. I think it was 13.2 percent in --  23 A. I'm sorry. That was 2014 I'm talking  24 about. You're right. In 2016, I say negative  25 13.2. You're right.</p>	<p style="text-align: center;">Page 103</p> <p>1 C. WARSHAW  2 Q. Is that that number?  3 A. Yes. The position on the y-axis here  4 is negative 13.2, the y-axis being the left-hand  5 axis is negative 13.2.  6 Q. Right. And there's no number for the  7 efficiency gap or dot for the efficiency gap in  8 2012?  9 A. No, that's not correct. The  10 efficiency gap in 2012 is shown on the x-axis,  11 which is the bottom axis. So if you go up from  12 the bottom axis where it says negative 20 percent  13 pro-Republican, the Michigan dot or abbreviation  14 lies just to the right of the negative  15 20 percent, which in fact is I think negative  16 19.7 percent precisely.  17 Q. So all this chart is showing me is  18 that the efficiency gap in 2016 was 13.2 percent  19 and it was almost 20 percent in 2012?  20 A. Well, it's showing you that. But it's  21 also showing you across all of the states in the  22 country the efficiency gap in 2016 was extremely  23 closely related to the efficiency gap in 2012.  24 The correlation, as I say -- in this version I  25 said .82. I think in my errata I corrected that</p>
--	--

<p style="text-align: center;">Page 104</p> <p>1 C. WARSHAW  2 to .78. But that's not a consequential  3 difference.  4 Q. So maybe I'm misunderstanding this.  5 New Jersey had a, what, 11 percent pro-Republican  6 efficiency gap in 2012?  7 A. I haven't looked at New Jersey in  8 detail, but I believe that's true based on the  9 chart.  10 Q. And it had zero in 2016?  11 A. That's what the chart implies. So  12 that implies that New Jersey -- the efficiency  13 gap in New Jersey, changed more than it did in  14 other states. So it's perhaps less durable in  15 New Jersey than in other states.  16 Q. Okay. Maybe I'm misunderstanding  17 this. Then you've got, like, a 19 percent  18 efficiency gap in Indiana in 2012 and a  19 5.5 percent in 2016?  20 A. Sure. There's always going to be  21 observations that are outlier observations. But  22 the general trend here shows that looking across  23 all the states, most of which or many of which, I  24 think most, lie within the confidence interval of  25 the smooth line, essentially the smooth line.</p>	<p style="text-align: center;">Page 105</p> <p>1 C. WARSHAW  2 You know, most -- most of these states are  3 similar -- the 2012 and 2016 efficiency gaps are  4 similar, and the 2016 efficiency gap is -- you  5 know, could be predicted based on the 2012  6 efficiency gap.  7 Q. All right.  8 A. And that's certainly the case in  9 Michigan. It's the case in states like  10 Pennsylvania or Ohio or North Carolina or  11 Alabama. On the Democratic side, Massachusetts,  12 which had nearly -- you know, roughly identical  13 efficiency gaps, pro-Democratic efficiency gaps  14 in 2012 and 2016.  15 Q. Are you suggesting that the efficiency  16 gap in Michigan was roughly identical in 2012 and  17 2016?  18 A. I'm not, but it's similar.  19 Q. The 6.5 percent conversation we've  20 already had?  21 A. Yes.  22 Q. And you put R equals 0.82. You now  23 think it's 0.78?  24 A. I believe that's true. I think that's  25 in my errata report.</p>
--	--

Page 106

1 C. WARSHAW

2 Q. Okay.

3 A. That was based on the methodological  
4 discussion in that report.

5 Q. What does that mean?

6 A. It means that what the correlation is  
7 telling us is how much of the variation in the  
8 2016 efficiency gaps can be predicted by the 2012  
9 efficiency gaps. So if -- I mean, if we take --  
10 suppose the correlation is .82 as it is here.  
11 That implies that 67 percent of the variation  
12 could be predicted four years later which is --  
13 you know, it's a large -- a large, not  
14 overwhelming, share of the variation.

15 Q. Does that mean that there will be a  
16 67 percent variation between 2012 and 2016? What  
17 do you mean, it can predict 67 percent of the  
18 variation?

19 MR. YEAGER: Objection; misstates the  
20 testimony.

21 MR. CARVIN: I'm just trying to  
22 straighten this out.

23 THE WITNESS: Well, the statistical --  
24 It suggests that it -- or it shows that the  
25 efficiency gaps in 2012 statistically

Page 107

1 C. WARSHAW

2 predict 67 percent of the variation four  
3 years later if the correlation was .82.

4 BY MR. CARVIN:

5 Q. So it's off by a third?

6 A. No. It's saying that a third of the  
7 variation -- roughly a third of the variation  
8 cannot be predicted. It's not saying it's off by  
9 a third.

10 Q. Okay. And that would be true --  
11 that's true for all states?

12 A. That's true --

13 Q. For all the states on your chart?

14 A. On average, that's true. It's not  
15 true for all states individually because  
16 obviously there's going to be state-specific  
17 errors. But on average, that's true.

18 Q. And you can't calculate Michigan  
19 individually? You need all these states to do  
20 that?

21 A. Well, what I was trying to  
22 characterize here was the general statistical  
23 relationship, so on average how good a predictor  
24 is 2012 of the efficiency gap halfway through a  
25 plan, which is, you know, the most recent plan we

Page 108

1 C. WARSHAW

2 have data for. If I had 2018 elections, I would  
3 have analyzed that.

4 But I think obviously Michigan -- the  
5 number for Michigan is the most probative here.  
6 But I think that it's important to also establish  
7 the general statistical relationship as well.

8 Q. Okay. You say that the 2011  
9 efficiency gap has been durable. Did you look at  
10 whether it was durable prior to 2011 in Michigan?

11 A. I did not specifically. I guess I'll  
12 say I do think the -- I couldn't put a precise  
13 number on it, but the stability of the efficiency  
14 gaps has increased over time which is probably  
15 why there's such a strong relationship today, in  
16 part because elections are more predictable. So  
17 as elections are -- particularly the distribution  
18 of voters across districts. You may have uniform  
19 swings, but, you know, we sort of -- it's more  
20 predictable which voter -- which districts are  
21 going to be more Republican or more Democratic  
22 than other districts.

23 Q. And there's a strong correlation  
24 between the presidential vote and the  
25 congressional vote?

Page 109

1 C. WARSHAW

2 A. Precisely.

3 Q. And people predicted in Michigan  
4 Trump's victory pretty solidly because it's so  
5 predictable these days?

6 A. The predictability of elections is  
7 much greater than it used to be. Certainly at  
8 the individual level, the vast majority of people  
9 vote with their party. And even in Michigan, you  
10 know, sure there was a swing, but it was a  
11 relatively small swing compared to earlier eras  
12 where you might have had Reagan won all but one  
13 or two states in the 1984 election.

14 Nixon won an overwhelming victory in  
15 1972. You don't see those kinds of huge swings  
16 across elections that we used to. Elections  
17 today are much more stable.

18 Q. But again, you haven't analyzed how  
19 many ticket-splitters there are in Michigan or  
20 how many independent voters there are in  
21 Michigan, right?

22 A. No.

23 Q. All right. So on page 19 you say that  
24 "Michigan had more extreme pro-Republican  
25 efficiency gaps than it has ever had before in

1 C. WARSHAW

2 Congress." Right?

3 MR. YEAGER: I'm sorry. Could you  
4 point to where that is?5 MR. CARVIN: I apologize. It's the  
6 first full sentence at the top of page 19.

7 THE WITNESS: Yes.

8 BY MR. CARVIN:

9 Q. And then you say: "This further  
10 suggests that the geographic factors are unlikely  
11 to be the root cause of the large efficiency gaps  
12 in Michigan in recent elections." Right?

13 A. Yes.

14 Q. So if the pro-Republican efficiency  
15 gaps in this decade are in fact similar to the  
16 pro-Republican efficiency gaps in the prior  
17 decade, that would suggest that geographic  
18 factors are likely to be the root cause of a  
19 large efficiency gap, correct?20 A. Not necessarily. In 2001, there is --  
21 I believe there was Republican -- unified  
22 Republican control of government. So there could  
23 have been a Republican gerrymander in the 2000s  
24 as well, although I haven't analyzed that in  
25 depth. So I think that the statement -- the

1 C. WARSHAW

2 negative of the statement here I make is not  
3 true. Like the --

4 Q. All right. Let me restate it.

5 A. I think the greater efficiency -- the  
6 greater efficiency gaps in Michigan reduced the  
7 likelihood that geography is the root cause. But  
8 the -- the earlier -- if there were  
9 pro-Republican efficiency gaps earlier, I would  
10 not say those were necessarily due to geography.  
11 I just don't know.12 Q. But if the efficiency gaps for this  
13 decade or the prior decade were similar, that  
14 would not provide evidence that the geographic  
15 factors are likely to be the root cause of a  
16 large efficiency gap in this decade, right?17 A. Correct. It wouldn't necessarily  
18 suggest that geography is the root cause, but it  
19 would be less probative of the argument -- the  
20 conclusion that intentional gerrymandering is the  
21 cause.22 Q. And if neutral plans drawn according  
23 to traditional districting principles and without  
24 any partisan considerations produced efficiency  
25 gaps similar to the enacted plan's efficiency

1 C. WARSHAW

2 gap, this would be evidence that geographic  
3 factors are a root cause of the large efficiency  
4 gaps in the plan, right?5 MR. YEAGER: Objection; vague and  
6 ambiguous. Incomplete hypothetical.

7 You may answer.

8 THE WITNESS: In general, I haven't --  
9 obviously I didn't look at any kind of  
10 simulated plans in my report. You know, if  
11 you were to look at simulated plans based on  
12 some sort of random algorithm and find they  
13 were the same as the enacted plan, then I  
14 think that would suggest the enacted plan  
15 may be due to factors aside from intentional  
16 gerrymander.

17 BY MR. CARVIN:

18 Q. Well, what if you used a benchmark  
19 plan that was clearly drawn without partisan  
20 intent and strictly adhering to traditional  
21 districting principles and that resulted in an  
22 efficiency gap similar to that in the enacted  
23 plan? Wouldn't that be strong evidence that  
24 geographic, demographic factors are the root  
25 cause of the efficiency gap in this plan?

1 C. WARSHAW

2 MR. YEAGER: Same objection.

3 THE WITNESS: If the -- I mean, in my  
4 view, to evaluate a simulated plan, you  
5 should look at a range of simulations rather  
6 than one demonstration plan as sort of the  
7 arbiter of the one -- the one and only fair  
8 and neutrally drawn plan.9 But certainly if the distribution of  
10 simulated plans, as I said, was the same as  
11 the enacted plan or nearly the same, then  
12 that would weigh against intentional  
13 gerrymandering, or weigh against the  
14 conclusion that there had been intentional  
15 gerrymandering.

16 BY MR. CARVIN:

17 Q. If you could turn to page 32 of your  
18 report, please. You say that "The efficiency  
19 gaps in state legislative lower chambers have  
20 been quite durable though somewhat" --

21 A. May --

22 Q. I apologize.

23 A. My earlier answer, I think the only  
24 thing I would add is I do think that, you know,  
25 it's useful to look at the totality of the

<p>1 C. WARSHAW</p> <p>2 evidence. And the totality of the evidence here,</p> <p>3 the extremity of Michigan's plan compared to both</p> <p>4 other states as well as its own efficiency gaps</p> <p>5 historically, even without any comparison to some</p> <p>6 simulated plan, for me strongly suggests -- makes</p> <p>7 me -- makes me feel very confident that there's a</p> <p>8 large partisan bias in these plans.</p> <p>9 And that was why I focused on the</p> <p>10 historical analysis rather than some comparison</p> <p>11 to simulated plans. So I think that a comparison</p> <p>12 to some simulated benchmark is not the only way</p> <p>13 to evaluate gerrymandering, though it's certainly</p> <p>14 an indicator.</p> <p>15 Q. If you turn to page 32, right</p> <p>16 underneath your heading "Durability of the</p> <p>17 Efficiency Gap in State Legislatures," you show</p> <p>18 that "The efficiency gaps in state legislative</p> <p>19 lower chambers stemming from the 2011</p> <p>20 redistricting have been quite durable, though</p> <p>21 somewhat less durable than congressional plans."</p> <p>22 Right?</p> <p>23 A. Yes, that's what I say here.</p> <p>24 Q. And overall there is a 0.68</p> <p>25 correlation nationwide. Right?</p>	<p>1 C. WARSHAW</p> <p>2 A. Correct.</p> <p>3 Q. And what percentage of the variation</p> <p>4 is predicted by a 0.68 correlation?</p> <p>5 A. I'm not sure I can do the math in my</p> <p>6 head. It would be -- it would be the square of</p> <p>7 .68.</p> <p>8 Q. The square of --</p> <p>9 A. You have to square .68. It would be</p> <p>10 about half the variation.</p> <p>11 Q. About 50 percent?</p> <p>12 A. Yes.</p> <p>13 Q. Okay. And the same question I guess I</p> <p>14 have here, on Figure 14, you've already explained</p> <p>15 in connection with the congressional map what</p> <p>16 these dots mean. And you would give me the same</p> <p>17 answer with respect to Figure 14?</p> <p>18 A. Correct. This is exactly the same.</p> <p>19 The style of this chart, the presentation of this</p> <p>20 chart is exactly the same as the congressional</p> <p>21 chart. The numbers obviously differ but -- So</p> <p>22 Michigan here, I believe this suggests has a</p> <p>23 pro-Republican efficiency gap of whatever I said,</p> <p>24 negative -- well, I wouldn't want to put numbers</p> <p>25 on it. But it shows they're highly -- closely</p>
<p>1 C. WARSHAW</p> <p>2 related to each other in 2012 and 2016. They're</p> <p>3 right on the regression -- the regression line.</p> <p>4 Q. Okay. And in -- So we had in 2012 an</p> <p>5 efficiency gap of 12.3 percent in the state</p> <p>6 house?</p> <p>7 A. I don't have a number in my head.</p> <p>8 I'll take it as a hypothetical that's what I said</p> <p>9 in my report.</p> <p>10 Q. No, no. Turn to page 34.</p> <p>11 A. Great.</p> <p>12 Q. 12.3 percent?</p> <p>13 A. Uh-huh.</p> <p>14 Q. And that's unacceptably large both in</p> <p>15 historical and relative terms?</p> <p>16 MR. YEAGER: Objection; calls for a</p> <p>17 legal conclusion.</p> <p>18 You may answer.</p> <p>19 THE WITNESS: Yeah, I have no legal</p> <p>20 view of the legal benchmark. But I think in</p> <p>21 historical terms what I show here is that's</p> <p>22 large.</p> <p>23 BY MR. CARVIN:</p> <p>24 Q. Excuse me?</p> <p>25 A. I said I have no legal -- I have no</p>	<p>1 C. WARSHAW</p> <p>2 view of the legal standard.</p> <p>3 Q. I'm not asking you for legal. I'm</p> <p>4 asking for your view as a political scientist who</p> <p>5 characterized this as extreme partisan</p> <p>6 gerrymandering.</p> <p>7 A. Yes. I think that's larger than, as I</p> <p>8 show in my report, the vast majority,</p> <p>9 overwhelming majority of previous</p> <p>10 congressional -- or previous state legislative</p> <p>11 plans.</p> <p>12 Q. And therefore is unacceptable?</p> <p>13 A. I wouldn't use the word --</p> <p>14 "unacceptable" suggests a normative benchmark</p> <p>15 that, you know, as a social scientist I couldn't</p> <p>16 say what's acceptable or unacceptable. But I</p> <p>17 think it's very large and I think has pernicious</p> <p>18 consequences for our democracy.</p> <p>19 Q. And the pernicious consequences for</p> <p>20 democracy, that's not a normative judgment?</p> <p>21 A. It is a normative judgment. But I</p> <p>22 think to say something is acceptable or</p> <p>23 unacceptable implies having some normative or</p> <p>24 legal benchmark, and I don't have that in my</p> <p>25 head.</p>

## 1 C. WARSHAW

2 Q. Or anywhere else? You don't have  
 3 anything that distinguishes an extreme partisan  
 4 gerrymander from a non-extreme partisan  
 5 gerrymander in terms of the percentage of the  
 6 efficiency gap, right?

7 A. There's no bright line benchmark where  
 8 I would say if it's more than 7 or 8 percent that  
 9 it is a -- you know, definitely a partisan  
 10 gerrymander.

11 Q. Is there a range?

12 A. I don't know that I have a precise  
 13 range. But I think what I could say is looking  
 14 at the totality of the circumstances where, you  
 15 know, the efficiency gap is large relative to  
 16 other states historically as here and large  
 17 relative to other states in the current era as  
 18 here, when the other gerrymandering metrics all  
 19 show very similar statistics for extremity. When  
 20 there's unified control of state government, that  
 21 suggests, you know, intent to maximize its seat  
 22 share. I think all of those are factors for me  
 23 in making an evaluation.

24 Q. And I'm --

25 A. And then I should say also, you know,

## 1 C. WARSHAW

2 as here, I don't know that it's dispositive on  
 3 its own, but when the efficiency gap increases  
 4 dramatically when the new gerrymander goes into  
 5 place I think is also a useful data point.

6 Q. And just so I'm clear, if we're  
 7 focusing exclusively on the efficiency gap, there  
 8 is no consensus or near consensus in the  
 9 political science community about either a bright  
 10 line or a range of percentages that crosses some  
 11 threshold, right?

12 A. There's not. But I think this is a  
 13 case that I don't view as a close call. I think  
 14 it's a --

15 Q. I know you've given your opinion. I'm  
 16 just asking you for the political science  
 17 consensus --

18 A. There's no --

19 Q. -- without looking at this case.

20 A. Correct. Stephanopoulos and McGhee  
 21 obviously propose -- what they would propose is  
 22 some legal standard. But I don't think there's  
 23 any bright line in the literature of what --

24 Q. No. And you make it clear in your  
 25 rebuttal report, don't you, that nobody has

## 1 C. WARSHAW

2 accepted Stephanopoulos and McGhee's threshold,  
 3 correct?

4 A. Yeah. To my knowledge, there's no  
 5 other article that has -- you know, that we  
 6 should accept, we should -- there's some social  
 7 science reason to say that should be a bright  
 8 line threshold.

9 Q. And so to get back to our point. In  
 10 2012 there was an efficiency gap of approximately  
 11 12.3 percent, right, in the state house? Do you  
 12 see that?

13 A. Yes, that's what I stated here.

14 Q. Okay. Now, you don't provide the  
 15 numbers for the efficiency gap for 2014 or 2016  
 16 in your report, is that correct, for the state  
 17 house?

18 A. It would appear so. I don't remember.

19 Q. So we have to guess. Let's look at  
 20 the chart and guess. Okay?

21 What is the efficiency gap in 2014 for  
 22 the state house?

23 MR. YEAGER: Object to the  
 24 characterization.

25 THE WITNESS: I couldn't say based on

## 1 C. WARSHAW

2 this printout. It looks like maybe around  
 3 negative 10, negative --

4 BY MR. CARVIN:

5 Q. A little under 10?

6 A. The chart is too grainy. I couldn't  
 7 say for sure, but it's in the ballpark of  
 8 negative 10.

9 Q. Okay. And how about 2016?

10 A. A little bit less than that.

11 Q. Isn't it about halfway between 5 and  
 12 10, about 7 percent?

13 A. I don't know. I wouldn't want to make  
 14 a characterization.

15 Q. Well, you did characterize them as  
 16 similar.

17 A. Yeah, I know. I think they are  
 18 similar. I think that -- I think they're  
 19 within two or three. I think the 2016 one is  
 20 certainly within two or three points of the 2008  
 21 one, which is in turn within two or three points  
 22 of the 2012 one.

23 Q. You said 2008?

24 A. The 2016 one is within three or four  
 25 points of 2014. I apologize if I misspoke. And

<p>1 C. WARSHAW  2 the 2014 one is within two, probably two points  3 of the 2012 one.  4 Q. All right. And so you're saying that  5 efficiency gaps of 12.3 percent and for  6 discussion sake we'll say 6.5 percent are  7 similar?  8 A. I wouldn't want to place a number on  9 it. I just don't know what the number is.  10 Q. Well, I'm asking.  11 A. Because I wouldn't want to --  12 Q. Since you haven't provided the number,  13 we have to look at your chart, and your charts  14 suggest, I'll say, 7 percent.  15 Are you saying that efficiency gaps of  16 12.3 percent and 7 percent are similar?  17 MR. YEAGER: Objection. The question  18 misrepresents the fact, which is that the  19 witness has provided all that data. Defense  20 counsel has had that data for weeks. If you  21 would like him to refer to that data, you  22 can show it to him. But please don't  23 represent that he has not provided it.  24 You may answer.  25 THE WITNESS: Yeah, that's correct. I</p>	<p>1 C. WARSHAW  2 mean, the exact estimate is in the data I  3 provided. But the -- and I think we stated  4 earlier, I think elections are going to  5 change year to year. So I think a 4 or 5 or  6 even 6 percent change, I think those are  7 still similar to each other.  8 BY MR. CARVIN:  9 Q. So you do think an efficiency gap of  10 12.3 percent is similar to an efficiency gap of 7  11 percent?  12 MR. YEAGER: Asked and answered.  13 THE WITNESS: Yes.  14 BY MR. CARVIN:  15 Q. And you think that the efficiency gap  16 in Michigan, until the most recent redistricting,  17 was similar to that in other states?  18 A. I think it was a little bit more  19 pro-Republican than some other states, but not as  20 much as it is today.  21 Q. Turn to page 18, please.  22 A. Page 18, you said?  23 Q. Yes. It says: "The chart shows the  24 efficiency gap in Michigan was generally similar  25 to that of other states until the most recent</p>
<p>1 C. WARSHAW  2 redistricting."  3 Do you stand by that assertion?  4 A. I think in 2008 and '10 it was right  5 in the middle of the distribution of other  6 states. In 2002, '04 and '06 it was more  7 pro-Republican than other states. So in that  8 statement I was referring to the years  9 immediately before the redistricting.  10 Q. So for those purposes you were looking  11 at the efficiency gap at the end of the decade,  12 not the efficiency gap at the beginning of the  13 decade?  14 A. Can you point me exactly to where  15 you're looking? Sorry. On page --  16 Q. 18, at the bottom. "The chart shows  17 that the efficiency gap in Michigan was generally  18 similar to that of other states until the most  19 recent redistricting."  20 A. Yes. The statement was based on the  21 totality of the evidence over the past five  22 decades where Michigan is on average in the  23 middle of distribution of other states, with a  24 couple of exceptions in the early 2000s. And  25 it's especially true in 2008 and 2010 when</p>	<p>1 C. WARSHAW  2 Michigan was right in the middle.  3 Q. So for purposes of that statement,  4 you're looking at the efficiency gaps at the end  5 of the decade, not the efficiency gap immediately  6 following the decennial redistricting, right?  7 A. Yes. I mean, in part because I think  8 the 2002 efficiency gaps could have been  9 pro-Republican because of gerrymandering in 2001.  10 Q. Right.  11 A. So I think certainly for any kind of,  12 like, long-term geographic kind of assessment  13 it's useful both to look at the long-term -- the  14 long-term average as well as the average right  15 before the new plan went into place.  16 I think looking at the 2002 efficiency  17 gap, just like so too -- just like the 2012  18 efficiency gap, is going to be the one that's  19 most affected by intentional gerrymandering.  20 Q. And that will wane over time?  21 A. Yes. The literature -- I think the  22 consensus in the literature is that the effects  23 of gerrymandering decay somewhat over time. They  24 do not decay away completely. They are still, in  25 general, consequential, as I show, six years</p>

Page 126

1 C. WARSHAW

2 later, and as previous literature shows eight or  
3 ten years later.

4 Q. What previous literature is that?

5 A. Gelman and King in their 1994 article  
6 they show the effects of gerrymandering on  
7 symmetry, which is a slightly different metric  
8 than the efficiency gap, but they show that it is  
9 persistent across the entire decade but it decays  
10 over time.

11 MR. CARVIN: Go off the record.

12 (Recess taken.)

Page 127

1 C. WARSHAW

2 -----  
3 AFTERNOON SESSION  
4 12:52 p.m.  
5 -----

6 BY MR. CARVIN:

7 Q. Welcome back, Professor. If you could  
8 turn to page 6 of your report, please.

9 A. Sure.

10 Q. And in the second sentence in the  
11 first full paragraph you say: "In a packed  
12 district the disadvantaged party wins  
13 overwhelmingly, wasting a large number of votes  
14 above the 50 percent plus one needed to win."  
15 Correct?

16 A. Yes.

17 Q. That's sort of Political Science 101,  
18 right, that a packed district wastes a lot of  
19 votes of the packed party?

20 A. I don't know if I would say Political  
21 Science 101, but yeah, I think that's a  
22 well-understood concept.

23 Q. If you could turn to page 7 of your  
24 report, please.

25 A. Uh-huh.

Page 128

1 C. WARSHAW

2 Q. And on that, in Table 1 you provide an  
3 illustrative example of how the efficiency gap  
4 works, right?

5 A. Yes.

6 Q. And the way you figure out the  
7 efficiency gap is you look at how many votes are  
8 wasted in each district and then you add them up  
9 at the end and you come up with an overall  
10 efficiency gap score. Is that how that works?

11 A. I'm sorry. Can you repeat the  
12 question?

13 Q. You look at the district, figure out  
14 how many votes are wasted in those districts in  
15 relative terms, and then you add it up for each  
16 of the districts and come up with an overall  
17 efficiency gap score?

18 A. Yes. The final equation -- That's  
19 essentially right. If you do that, you're not  
20 adjusting for turnout. So the way I do it, in  
21 equation two it adjusts for turnout. But if  
22 there's equal turnout across districts, then the  
23 approach where you add the wasted votes in each  
24 district yields exactly the same result as  
25 focusing on the statewide vote.

Page 129

1 C. WARSHAW

2 Q. And even when you adjust for turnout,  
3 the way you do it is add up each of the  
4 district's wasted votes and then compare one  
5 party to the other?

6 A. You add up the vote share. No, you  
7 calculate a statewide vote share and a seat share  
8 because that enables you to account for the  
9 unequal turnout, as I show in equation two.

10 Q. So on Table 1 here in your  
11 illustration of how the efficiency gap works,  
12 District 1 is 75 percent Democratic, 25  
13 Republican, right? That's a classic packed  
14 district?

15 A. Yes.

16 Q. And that would waste a lot of  
17 Democratic votes?

18 A. Yes.

19 Q. But under the efficiency gap, as you  
20 point out, the Republicans waste all 25 of their  
21 votes and the Democrats waste 24 of their votes.  
22 So it either shows no wasted votes or that in  
23 fact Republicans have wasted votes in District 1,  
24 right?

25 A. Yes. In the first district,

<p>1 C. WARSHAW  2 Republicans have wasted more votes than  3 Democrats.  4 Q. So under the efficiency gap, a  5 district where Democratic votes have classically  6 been wasted suggests that actually Republican  7 votes are wasted in District 1, right?  8 A. Yes.  9 Q. And that's contrary to common sense  10 and political science consensus, isn't it?  11 A. No. I think the people that are in  12 that district, their votes don't contribute  13 to a -- the way here the wasted votes -- the  14 definition of wasted votes is votes that don't  15 contribute to a victory.  16 Q. Right.  17 A. And Republican votes in this seat  18 don't contribute to a Republican victory, and so  19 therefore they're wasted.  20 Q. But on page 6 you said that the votes  21 that were wasted were the voters of the packed  22 party, the 75 percent.  23 Would you say that a 75 percent  24 Democratic district wastes more Republican votes  25 than Democratic votes?</p>	<p>1 C. WARSHAW  2 A. A 75 percent district -- Sorry, I want  3 to think about it.  4 Well, the math of it is in a 75/25  5 district, here the losing party wastes more votes  6 than the winning party.  7 Q. That's the math of the efficiency gap.  8 A. Yes.  9 Q. What I'm asking you is: Does the math  10 of the efficiency gap comport with common sense?  11 Would any political scientist say that a 75  12 percent packed Democratic district doesn't waste  13 any Democratic votes; it in fact wastes  14 Republican votes, in relative terms?  15 A. I don't think there -- I don't have a  16 view on what political scientists would say to  17 that. I don't think -- I think political  18 scientists would focus -- I mean, I think the  19 reality is political scientists would focus on  20 the statewide number of wasted votes.  21 Q. We're going to get to that. But the  22 way you get to the statewide is by adding up each  23 individual district?  24 A. Roughly speaking, yes.  25 Q. So if I asked you whether a 75 percent</p>
<p>1 C. WARSHAW  2 Democratic district wastes more Democratic votes  3 than Republican votes, what would your answer be?  4 A. Yeah, the 75/25 district here wastes  5 more Republican votes. But I think that's an  6 arbitrary feature of, you know, of the precise  7 vote share that you picked here. But sure.  8 Q. Wholly apart from the efficiency gap,  9 you would never offer the opinion that a packed  10 75 percent Democratic district doesn't waste  11 Democratic votes relative to Republican votes,  12 right?  13 A. At -- I don't think I thought about  14 it, to be honest, in those terms.  15 Q. Please think about it.  16 MR. YEAGER: Could you read the  17 question back, please, sir?  18 (The record was read back by the  19 reporter as follows:  20 "Question: Wholly apart from the  21 efficiency gap, you would never offer the  22 opinion that a packed 75 percent Democratic  23 district doesn't waste Democratic votes  24 relative to Republican votes, right?"  25 THE WITNESS: I think in general, a</p>	<p>1 C. WARSHAW  2 packed district wastes more of the party  3 that's being packed votes. And I think that  4 if instead of 75/25 you had had a -- you had  5 drawn a hypothetical that was 80/20, I  6 think -- you know, so for instance, in  7 Michigan, two of the seats that are most  8 packed are 84 percent Democratic and  9 86 percent Democratic. So those seats have  10 more wasted Democratic votes than wasted  11 Republican votes.  12 BY MR. CARVIN:  13 Q. Right.  14 A. But I think, you know -- like I said,  15 I haven't thought about it in quite these terms.  16 But I do think this is the quirk of thinking  17 about things district by district, is -- you  18 know, there's no metric, and the efficiency gap  19 included, that is going to be perfect at trying  20 to characterize individual districts and -- you  21 know, for every imaginable vote share, the  22 relative advantaging of each party.  23 Q. Does a packed 75 percent district  24 waste Democratic votes relative to Republican?  25 MR. YEAGER: Asked and answered.</p>

<p style="text-align: right;">Page 134</p> <p>1 C. WARSHAW  2 THE WITNESS: The efficiency gap says  3 that if it's roughly equal or it wastes  4 slightly more Republican votes. I think in  5 general packed votes waste more of the party  6 that's being packed voters.</p> <p>7 BY MR. CARVIN:  8 Q. And that's just as true at 75 percent  9 as it is at 70 percent, right?</p> <p>10 A. I don't think -- yeah, I don't think I  11 would try to argue there's a big difference  12 theoretically between 70/30 and 75/25 districts  13 or 80/20 districts.</p> <p>14 Q. In fact, a 75 percent Democratic  15 district wastes more Democratic votes than a 70  16 percent Democratic district, right?</p> <p>17 A. Correct. As you pack more, it's going  18 to waste more of the party's voters that are  19 packed. So the efficiency gap, if there's a  20 90/10 district, that would show that the party  21 that wins 90 percent of the vote is wasting, you  22 know, 39 percent or so of its -- of the vote.</p> <p>23 Q. So under the illustrative example you  24 propose, the efficiency gap produces a result  25 contrary to reality, which is it suggests no</p>	<p style="text-align: right;">Page 135</p> <p>1 C. WARSHAW  2 wasted votes when in fact Democrats have wasted  3 votes at 75 percent, correct?</p> <p>4 MR. YEAGER: Objection; misstates the  5 evidence.</p> <p>6 You may answer.</p> <p>7 THE WITNESS: The illustrative example  8 that I provided I think accurately  9 represents that at the statewide level  10 across these three -- and this is obviously  11 a very stylized three-district system where  12 Democrats win a bare majority of the vote  13 but only win a third of the seats. I think  14 it illustrates the partisan bias in this  15 plan through the negative 20 percent  16 efficiency gap.</p> <p>17 BY MR. CARVIN:  18 Q. Right. But with respect to District  19 1, it produces a result that is contrary to your  20 view of which party's votes are wasted, right?</p> <p>21 MR. YEAGER: Asked and answered.</p> <p>22 You may answer.</p> <p>23 THE WITNESS: I think in general, in a  24 packed district, the party being packed is  25 disadvantaged.</p>
<p style="text-align: right;">Page 136</p> <p>1 C. WARSHAW  2 BY MR. CARVIN:  3 Q. And yet in this illustrative example,  4 it shows that the Democrats didn't waste any  5 votes. So in that district it produces a result  6 contrary to your general understanding?</p> <p>7 MR. YEAGER: Objection; misstates the  8 evidence.</p> <p>9 THE WITNESS: I mean, I think here  10 it's not dispositive either way. I mean,  11 this suggests that each party is wasting  12 roughly 25 voters.</p> <p>13 BY MR. CARVIN:  14 Q. Oh, then maybe I misunderstood your  15 prior answer.</p> <p>16 A. Right.</p> <p>17 Q. You think in a 75 percent Democratic  18 district and a 25 percent Republican district,  19 Republicans have wasted just as many votes as  20 Democrats? Is that your testimony?</p> <p>21 A. No. I think I -- as I said -- as I  22 stated a couple of times, that's what the  23 efficiency gap says. I don't have a precise view  24 about the magnitude.</p> <p>25 This is where I said earlier, that I</p>	<p style="text-align: right;">Page 137</p> <p>1 C. WARSHAW  2 think all of these metrics are simplifications of  3 reality. And at the statewide level, the  4 efficiency gap characterizes a legislative map  5 that is a partisan bias fairly well -- or very  6 well. But none of these are perfect, and I think  7 the goal of the efficiency gap is not to, you  8 know, precisely characterize which districts are  9 packed.</p> <p>10 Instead, the goal is to make sure that  11 the metric of gerrymandering at the statewide  12 level comports with what McGhee and  13 Stephanopoulos call the efficiency principle.</p> <p>14 Q. Right.</p> <p>15 A. Which is that if you win -- you  16 shouldn't win more seats in the legislature  17 without winning more votes. And if you win more  18 seats in the legislature without winning more  19 votes, then you should have a metric that  20 reflects that. And the efficiency gap does.</p> <p>21 Q. You think the efficiency gap  22 corresponds to a rough equivalence between  23 statewide vote and statewide seat share?</p> <p>24 A. No, I do not. It's not a proportional  25 metric.</p>

Page 138

## C. WARSHAW

Q. Okay. Or even a rough equivalence metric?

A. No. The mathematical, you know, equation in equation two suggests that having an efficiency gap of zero, you should get -- the seat margin should increase at twice the rate of the vote margin, which is in consort with the historical evidence in the United States as well as the math of the wasted vote equation. But I think at a broader level it reflects the historical elections of the United States. I would not characterize that as proportional representation.

Q. And one of the imperfections in the efficiency gap is the fact that it would characterize a 75 percent Democratic district as not wasting Democratic votes, correct?

MR. YEAGER: Objection; asked and answered. Misstates the record.

You may answer.

THE WITNESS: I don't have an answer to that beyond what I stated previously. I think the efficiency gap implies in this particular case that there would be

Page 139

## C. WARSHAW

infinitesimally, you know, .1 or something more wasted Republican votes there than wasted Democratic votes.

I don't have -- personally, I don't have a view of exactly what the threshold is for a packed district. Probably I would say 75/25 would be above that threshold. So it seems that this is a little idiosyncratic as to the efficiency gap in this case. But the goal of the efficiency gap is to capture gerrymandering at a statewide level; it's not to give a precise characterization of individual districts that are packed or cracked.

BY MR. CARVIN:

Q. All right. Now, in a 51 percent Republican and 49 percent Democratic district, the Democrats have wasted 49 percent of the votes, right?

A. Yes.

Q. And the Republicans have wasted 1 percent of the votes?

A. Right.

Q. So the efficiency gap in a 51/49

Page 140

## C. WARSHAW

district is 48, right?

A. Yes.

Q. Okay. And the efficiency gap in a 75 --

A. Well, the difference in wasted votes, to be more precise. That's not the efficiency gap, but the difference in wasted votes would be 48.

Q. And that's an extraordinarily high amount of wasted votes in a district, right?

A. Correct. Well, it's an extraordinarily high differential in the wasted votes.

Q. And so a 51/49 gets a worse efficiency gap or wasted votes measure than a 75/25 district. Is that right?

A. Well, it certainly suggests that when one party would be much more disadvantaged -- there's much more of a differential in the wasted votes than in a 75/25.

Q. Okay. So let's go back to your example. The efficiency gap in this plan right now is 20 percent pro-Republican?

A. In this illustrative plan in Table 1,

Page 141

## C. WARSHAW

yes.

Q. What I would like you to do is change the Republican vote shares from 60/40 to 51 Republican, 49 Democrat for Districts 2 and 3. Okay? And just before we calculate the efficiency gap, a 51 Republican, 49 Democratic district is better for Democrats than a 60 percent Republican, 40 percent Democratic district, right?

A. Well, it leads to a more competitive district. But in the end the outcome here is the same, that there's -- if anything now, Democrats have achieved more of the statewide vote without achieving any more seats. So they would be --

Q. I'm just asking you generally. Obviously, Democrats would prefer in all circumstances a 51 percent Republican district to a 49 percent Democratic district over a 60 percent Republican district to a 40 percent Democratic district, right?

MR. YEAGER: Objection; incomplete hypothetical.

You may answer.

THE WITNESS: I mean, I think that if

<p>1 C. WARSHAW  2 they knew it was going to be 51/49, then  3 they would have no strong preference. But I  4 think that if you thought that 51/49 might  5 give you a better chance of crossing  6 50 percent, then sure, they would prefer  7 that if they thought there's variation  8 across election cycles, things like that.  9 BY MR. CARVIN:  10 Q. And a 51/49 district will always give  11 you a better chance of crossing the 50 percent  12 threshold than a 60/40 district, right?  13 A. I would say in that extreme -- kind of  14 extreme example, yes. I mean, if it was 55/45 I  15 wouldn't necessarily say that.  16 Q. So can you do what I asked you to do?  17 Calculate the efficiency gap if you changed 60  18 Republican to 51 Republican, and 60 Republican to  19 51 Republican, and change 40 Democrat to 49  20 Democrat in both of those districts.  21 I'll give you this.  22 MR. YEAGER: Don't write on the  23 exhibit.  24 THE WITNESS: Just kidding.  25 MR. YEAGER: Is it okay if I give the</p>	<p>1 C. WARSHAW  2 witness a piece of paper?  3 MR. CARVIN: Sure.  4 THE WITNESS: So it's 51 votes in each  5 district for the Republicans now?  6 BY MR. CARVIN:  7 Q. Yes. And 49 for the Democrats.  8 A. Sure.  9 Q. Calculate the efficiency gap for the  10 entire, all three districts.  11 A. This is testing me without a  12 calculator.  13 If my math is right, I think it's more  14 around negative 32 percent.  15 Q. That's right. So it's gone from  16 20 percent to negative 33 percent, correct? The  17 efficiency gap has increased in a pro-Republican  18 biased way, right?  19 A. Yes.  20 Q. As the districts become more  21 competitive and more accessible to Democratic  22 victories, the efficiency gap does not decrease.  23 It increases, correct?  24 MR. YEAGER: Objection; incomplete  25 hypothetical.</p>
---	--

<p>Page 144</p> <p>1 C. WARSHAW  2 You may answer.  3 THE WITNESS: As I said before, I  4 think the --  5 BY MR. CARVIN:  6 Q. Just answer it and then please --  7 MR. YEAGER: Excuse me. I instruct  8 the witness that you may answer the question  9 as you wish in order to truthfully testify.  10 You don't have to take directions from  11 counsel on how to give a truthful answer.  12 Please answer the question. You may  13 hear it back if you would like to have it  14 put to you again.  15 MR. CARVIN: Why don't you read it  16 back to him.  17 (The record was read back by the  18 reporter as follows:  19 "Question: As the districts become  20 more competitive and more accessible to  21 Democratic victories, the efficiency gap  22 does not decrease. It increases, correct?"  23 THE WITNESS: In this hypothetical  24 example, that's true. And I think that in  25 general, as I said before, there's no</p>	<p>Page 145</p> <p>1 C. WARSHAW  2 necessary relationship or clear empirical  3 relationship between the competitiveness of  4 elections and the efficiency gap. But  5 surely we could draw hypothetical examples  6 where more competitive elections also  7 correspond to an efficiency gap that's more  8 penalized if it's -- that disfavors the  9 party that gains more competitive elections.  10 And as I said before, I think those  11 are two different metrics for  12 gerrymandering. In this case, if the  13 Democrats received -- you know, 173 divided  14 by 300 is 58 percent of the statewide vote,  15 and they only received a third of the seats,  16 then I think that would be -- there would be  17 a strong partisan bias in those results  18 against the Democrats.  19 BY MR. CARVIN:  20 Q. But you would know that without  21 calculating the efficiency, correct? The  22 percentage of statewide vote needed to capture  23 the majority of the seats. That's not what the  24 efficiency gap measures, right?  25 A. Well, there's a mathematical</p>
---	--

1 C. WARSHAW

2 correspondence. If you assume equal turnout  
3 across districts, then the number of wasted votes  
4 exactly equals the equation -- the math in  
5 equation two in my report.

6 Q. Right. But what I'm trying to figure  
7 out is in my hypothetical where there is a 51  
8 Republican -- two 51 Republican districts as  
9 opposed to two 60 percent districts, that  
10 gerrymander would be less durable than the 60/40  
11 districts, right?

12 A. Yes.

13 Q. Okay.

14 A. Yes.

15 Q. Now I'm going to ask you to do one  
16 other thing. I want --

17 A. I would just expand upon that. I  
18 think neither in my report -- I mean, I haven't  
19 analyzed this, but I don't think in my report  
20 I've ever stated that there's a necessary  
21 correspondence between the magnitude of the  
22 efficiency gap and its durability.

23 Q. And in fact --

24 A. There could be -- I haven't analyzed  
25 this, but I take it there could be tradeoffs that

1 C. WARSHAW

2 a party could make.

3 Q. Right. In fact, there is no  
4 connection between the magnitude of the  
5 efficiency gap and the durability of the  
6 gerrymander, correct?

7 A. There is not a necessary theoretical  
8 correspondence. Empirically we know that  
9 efficiency gaps are relatively consistent over  
10 time, particularly in the modern era, as I show  
11 in my report. But at a hypothetical level, or  
12 even theoretical level, there could be tradeoffs  
13 that a party would make. They would sacrifice --  
14 you know, as in the example here, they might --  
15 they might not want to risk cracked districts  
16 that are 51/49. They might go for 60/40 or 56/44  
17 as we see in other states.

18 Q. All right. Well, let's figure out the  
19 durability. I'm going to give you another  
20 hypothetical. Okay?

21 Now I want District 3 in your thing to  
22 be 51 Democratic, 49 Republican. Keep District 2  
23 as 51 Republican and 49 Democratic and keep  
24 District 1 as it is. What would be the  
25 efficiency gap in that plan?

1 C. WARSHAW

2 A. So in this scenario there would  
3 be a -- well, the efficiency gap would be  
4 essentially zero.

5 Q. Essentially zero?

6 A. Yeah. And the reason for that, the  
7 reason we can see that intuitively is that in  
8 this scenario the Democrats would get two-thirds  
9 of the seats and something close to -- not  
10 two-thirds of the vote but 59 percent of the vote  
11 or something like that. 58 or 59 percent of the  
12 vote.

13 Q. Right. But the efficiency gap goes  
14 from about 33 percent to 0 percent when Democrats  
15 increase their vote share by 2 percent in  
16 District 3, right?

17 A. Sure. I mean, as I said earlier, I  
18 think that's a weakness of the efficiency gap, is  
19 that when there's a small number of seats, it's  
20 subject to big changes when there's, you know,  
21 small changes in election results. That's why in  
22 my analysis I don't use any states with only  
23 three congressional districts.

24 Q. It's also true that the efficiency gap  
25 does change quite substantially if the party that

1 C. WARSHAW

2 had been winning 49 percent of the vote in a  
3 subsequent election wins 51 percent of the vote,  
4 correct?

5 MR. YEAGER: Objection; incomplete  
6 hypothetical.

7 You may answer.

8 THE WITNESS: It depends on the number  
9 of seats, but certainly it's going to  
10 change. In a state house with many seats,  
11 that wouldn't affect the efficiency gap very  
12 much. In a congressional plan with, you  
13 know, three seats, obviously it affects it  
14 quite a bit. And with 14 seats it will  
15 affect it somewhat but not nearly as much as  
16 with three seats.

17 BY MR. CARVIN:

18 Q. Well, if two competitive districts  
19 went Democratic in 2018, they would win seven of  
20 14 seats. You wouldn't consider that a  
21 disproportion between seats and votes in  
22 Michigan, would you?

23 A. No, you might. Because if Democrats  
24 were to win two competitive districts this year,  
25 it's probably because they increased their

<p>1 C. WARSHAW  2 statewide vote share. If they were to win 57  3 percent of the statewide vote but only half of  4 the seats.  5 Q. What if they won 52 percent of the  6 statewide vote and half the seats?  7 A. I think that -- well, it's certainly  8 possible. It's not likely.  9 Q. But if it did happen, you wouldn't  10 view that as --  11 A. But I think --  12 MR. YEAGER: Wait. Let him ask a  13 question.  14 BY MR. CARVIN:  15 Q. -- an unfair gerrymandering?  16 MR. YEAGER: Objection; incomplete  17 hypothetical.  18 You may answer.  19 THE WITNESS: If Democrats were to win  20 52 percent of the statewide vote and half  21 the seats, then that would be a negative  22 efficiency gap of roughly 4 percent. So  23 that would not be evidence on its own of an  24 indicator of a gerrymander.  25 BY MR. CARVIN:</p>	<p>1 C. WARSHAW  2 Q. Okay. So switches in competitive  3 districts can have a large effect on the  4 efficiency gap, not as extreme as this three-seat  5 example, but obviously they can have an effect on  6 it, right?  7 A. They can. That's why in my report I  8 didn't rely on just the efficiency gap but I  9 relied on both looking at, say, presidential vote  10 with the efficiency gap, looking at the  11 mean-median difference and declination, both of  12 which are arguably less subject to variation due  13 to this 50 percent.  14 Q. Well, we'll come back to that.  15 A. Certainly the mean-median I think is  16 substantially less subject to this kind of  17 variation.  18 Q. Okay. But in all events, with respect  19 to the efficiency gap, this is what we were  20 talking about before about the volatility of the  21 efficiency gap and what Stephanopoulos and McGhee  22 agreed was the instability was attributable to  23 the fact that changes in the outcomes in  24 competitive districts can have a profound effect  25 on the overall efficiency gap. Correct?</p>
<p>1 C. WARSHAW  2 A. I would disagree with the "profound"  3 part of that statement. But certainly it can  4 have an effect in a three-district election.  5 Then it can have a profound effect.  6 Q. If you can turn back to the Stanford  7 Law Review article by Stephanopoulos and McGhee,  8 which I believe is Exhibit 2.  9 A. The 2018 article. Sure.  10 Q. Do you have that in front of you? If  11 you could turn to page 1512. Under "B.  12 Distinctness," they're discussing their criterion  13 for proper measures and they state, do they not:  14 "Our second criterion is that a gerrymandering  15 metric should capture efficiency and only  16 efficiency. It should not try to gauge other  17 electoral values, nor should it be (in part or in  18 full) a function of those values. Here the  19 values we have in mind are goals that  20 redistricting plans may be able to promote or  21 impede: electoral competitiveness (or how  22 close races tend to be), proportional  23 representation (or whether parties' vote shares  24 equal their seat shares)," and then it goes on.  25 Then it says: "We consider a metric</p>	<p>1 C. WARSHAW  2 to be flawed to the extent it reflects these  3 values in addition to, or instead of,  4 efficiency."  5 Correct, that's what it says?  6 A. Yes.  7 Q. So they deliberately avoid factoring  8 in electoral competitiveness of their seats into  9 the efficiency gap, right?  10 A. That's correct.  11 Q. And they deliberately avoid figuring  12 out whether parties' vote shares are equal to  13 their seat shares as part of the efficiency gap?  14 A. Correct.  15 Q. If you turn to page 1513, it says at  16 the bottom, the third paragraph: "In the  17 academy, scholars appear to be split as to  18 whether a measure should incorporate values other  19 than efficiency," such as competitiveness and  20 equivalence between seats and votes.  21 Do you agree that the academy appears  22 to be split on this question?  23 A. I think the scholars they quote are  24 split. I don't know that the people that -- I  25 guess I'm not sure the split is as large as they</p>

1 C. WARSHAW

2 imply it is. I think they're -- they're trying  
3 to distinguish themselves from these scholars  
4 that have criticized the efficiency gap. But I  
5 think, you know, most political scientists would  
6 say that a measure that purports to be about one  
7 thing should be about that thing rather than  
8 other things.

9 Q. Right.

10 A. So to the extent we're trying -- you  
11 know, I would put it a little differently. I  
12 would say to the extent we're trying to capture  
13 partisan bias, which I would call partisan bias  
14 rather than efficiency, but we're talking about  
15 very similar things. So to the extent we're  
16 trying to capture that, I think -- I agree that  
17 we should capture that rather than other things.  
18 I think most political scientists would agree  
19 with that general -- the general concept.

20 Q. But if someone analyzing a  
21 redistricting plan was interested in how many  
22 competitive seats it presents, it wouldn't look  
23 at the efficiency gap?

24 A. That's correct.

25 Q. And if it was considered about the

1 C. WARSHAW

2 equivalence between a party's vote share and  
3 their seat shares, it wouldn't look at the  
4 efficiency gap for that reason either?

5 A. That's correct.

6 Q. If you would turn to page 1524.  
7 They're now discussing Cover's objection to the  
8 efficiency gap. They quote Cover as saying "A  
9 plan may 'achieve' the ideal of equal wasted  
10 votes at the expense of...seats-votes  
11 proportionality."

12 I read that correctly, right?

13 A. Yes.

14 Q. And do you agree that a plan may  
15 achieve the idea of equal wasted votes at the  
16 expense of seats-votes proportionality?

17 A. Yes.

18 Q. And do you agree with Stephanopoulos  
19 and McGhee that a partisan gerrymander measure  
20 that is wholly indifferent to whether vote shares  
21 are roughly equivalent to seat shares is a good  
22 partisan gerrymander measure?

23 MR. YEAGER: I'm sorry. Could you  
24 point out where you're reading?

25 BY MR. CARVIN:

1 C. WARSHAW

2 Q. Go ahead.

3 MR. YEAGER: Objection.

4 THE WITNESS: Can you repeat it,  
5 please?

6 BY MR. CARVIN:

7 Q. Do you agree with Stephanopoulos and  
8 McGhee that a partisan gerrymander measure that  
9 is totally indifferent to whether vote shares are  
10 roughly equivalent to seat shares is a proper  
11 partisan gerrymander measure?

12 MR. YEAGER: I'm sorry. Can just tell  
13 me where that is that you're reading from?

14 MR. CARVIN: I just read it.

15 MR. YEAGER: Well, I object. I would  
16 like to know where in the report so I can  
17 determine whether you're accurately  
18 reflecting what the report says or not.

19 THE WITNESS: Well, in my view, I  
20 think you are overstating it. I don't  
21 think -- I think their view is that a  
22 proportional representation doesn't  
23 represent the empirical reality of American  
24 elections, which I share. There has been a  
25 wide body of political science that shows

1 C. WARSHAW

2 that there's not a proportional one-to-one  
3 relationship between seats and votes in  
4 single-member-district legislative  
5 elections. If you wanted to have strict  
6 proportionality, you know, probably you  
7 would need to have a different system than  
8 single-member elections with  
9 first-past-the-post.

10 But the empirical reality of American  
11 elections over the past 50 years and more is  
12 that you tend to have about a two-to-one  
13 relationship between the number of seats  
14 that you get and the number of -- and the  
15 number of seat margin and the vote margin.

16 BY MR. CARVIN:

17 Q. Just to be clear, you're not  
18 suggesting that -- you're suggesting that every  
19 percentage over 50 that you get, you roughly get  
20 a two percentage point increase in your seat  
21 share, right?

22 A. Exactly. It's a longstanding -- it's  
23 a well-known fact of legislative elections that  
24 there tends to be a winner's bonus.

25 Q. So if you get 53 percent of the vote,

1 C. WARSHAW  
 2 you would roughly expect 59 percent of the seats,  
 3 right?  
 4 A. No. You would expect 56 percent of  
 5 the seats with a two-to-one margin.  
 6 Q. Fair point.  
 7 A. Correct. There are legal points as  
 8 well that I don't have a view on, about whether  
 9 the Supreme Court favors or disfavors  
 10 proportional representation. That's outside my  
 11 purview of expertise.  
 12 Q. Right. So we don't expect  
 13 proportional representation, but we do expect a  
 14 party that gets a majority of the votes to get a  
 15 majority of the seats under this well-documented  
 16 expectation, right?  
 17 A. Correct.  
 18 Q. So if a districting plan violates that  
 19 majoritarian principle, than it is suggestive of  
 20 a gerrymander?  
 21 A. It's suggestive. Although if you had  
 22 a 50 -- you know, if you had 50.1 percent of the  
 23 statewide vote and 49 percent of the seats, then,  
 24 you know, obviously there could be idiosyncratic  
 25 factors that affect that.

1 C. WARSHAW  
 2 A. I think if you get -- I mean, I don't  
 3 have a view about whether we should have exact  
 4 proportional representation. As I said, as a  
 5 scholar of elections, I know that that's  
 6 unrealistic in single-member districts and you're  
 7 not going to have proportional representation.  
 8 Would exact proportional  
 9 representation be better from a normative sense?  
 10 I don't know. But in single-member districts,  
 11 that's not a reality. What is a reality is in  
 12 single-member districts over the past 50 years is  
 13 having about a two-to-one relationship between  
 14 the number of seats you get and the number of  
 15 votes that you get, or the margin of seats and  
 16 votes.  
 17 Q. Should we be worried about departures  
 18 from that two-to-one relationship?  
 19 A. I think that we shouldn't worry about  
 20 modest departures from it. Obviously there's  
 21 lots of things that could lead to modest  
 22 departures. But where you have large departures  
 23 such as that when you get a majority of the  
 24 statewide vote and a small minority of the seats,  
 25 or even, you know, you get close to a majority of

1 C. WARSHAW  
 2 But clearly if you had, you know, as  
 3 in Michigan, 52, 53, 54 percent of the statewide  
 4 vote and you get five out of 14 seats, that's a  
 5 much larger discrepancy.  
 6 Q. Right. And would that be measured  
 7 directly by the efficiency gap, that equivalence  
 8 between seats and votes?  
 9 A. Yeah. Yes, the efficiency gap  
 10 captures that.  
 11 Q. Okay. So is it at the expense of  
 12 seats-votes proportionality, the efficiency gap?  
 13 You can have equal wasted votes at the expense of  
 14 seats-votes proportionality or equivalent?  
 15 A. The only place -- the only value where  
 16 you will have an exactly proportional seats and  
 17 votes in the efficiency gap and also a zero no  
 18 efficiency gap is at 50 percent, where they're  
 19 both 50 percent. Any other value, you will not  
 20 have a zero -- a no efficiency gap you will by  
 21 definition have a two-to-one relationship between  
 22 the vote margin and the seat margin.  
 23 Q. So should we care about the  
 24 relationship between -- equivalence between seats  
 25 and votes in analyzing gerrymandering?

1 C. WARSHAW  
 2 the statewide vote and a very small minority of  
 3 the seats as in the Michigan state senate, then I  
 4 think that's problematic.  
 5 Q. So you are not advocating proportional  
 6 representation, but you think it's problematic if  
 7 a party captures a majority of the vote and a  
 8 minority of the seats? Do I understand that  
 9 correctly?  
 10 A. In general, yes. With the caveat  
 11 being that if it's a very small majority in a --  
 12 you know, a bare majority and a bare minority,  
 13 then I wouldn't find that problematic. But  
 14 certainly if it's a large majority of the vote  
 15 and a small minority of the seats, I think that  
 16 would be problematic.  
 17 MR. CARVIN: If we could mark this as  
 18 Exhibit 8.  
 19 (Exhibit 8 marked for identification  
 20 and attached hereto.)  
 21 BY MR. CARVIN:  
 22 Q. I've handed you Exhibit 8. This is  
 23 the Krasno article. Have you seen this?  
 24 A. I've seen this article. I haven't  
 25 read this article closely.

## 1 C. WARSHAW

2 Q. I gave you the draft before, the  
 3 predecessor to this. You reference a forthcoming  
 4 Krasno article in your --

5 A. I think that's the other article you  
 6 mentioned.

7 Q. Yeah. I'm going to represent to you  
 8 that this is a refinement or continuation of  
 9 that.

10 A. Okay.

11 Q. In all events, I'm trying to speed  
 12 this up as much as I can.

13 If you turn to page 27, they're  
 14 talking about the Wisconsin redistricting.

15 A. Uh-huh.

16 Q. And I'm going to give one example that  
 17 they give. It's describing the efficiency gap,  
 18 and in the second sentence in the second full  
 19 paragraph it says: "For example, in a contrast  
 20 where the Democrat wins 50 votes and Republicans  
 21 winning 45, the disparity in wasted votes is  
 22 enormously favorable to the Democrats, one wasted  
 23 Democratic vote versus 49 wasted Republican  
 24 ones."

25 That's true, isn't it?

## 1 C. WARSHAW

2 A. Yes.

3 Q. And then as we've discussed  
 4 previously, if two Democratic voters change their  
 5 minds and support the Republicans, the disparity  
 6 is now equally favorable to the Republicans.  
 7 Correct?

8 A. Yes.

9 Q. Okay. And then if you could turn to  
 10 the top of page 28. It's discussing another  
 11 measure but in addition to the efficiency gap.  
 12 It says: "Both measures are susceptible to big  
 13 changes from small movements in the vote near 50  
 14 percent in a district, suggesting that any map  
 15 with a number of competitive districts will  
 16 produce unstable results under the efficiency  
 17 gap."

18 Do you agree with that statement?

19 A. Can you read it back to me?

20 Q. "Both measures are susceptible to big  
 21 changes from small movements in the vote near  
 22 50 percent in the district, suggesting that any  
 23 map with a number of competitive districts will  
 24 produce unstable results."

25 Do you agree with that?

## 1 C. WARSHAW

2 A. Again, I think it depends how many  
 3 districts there are. If there's three districts  
 4 and two of the three are competitive or one of  
 5 the three is very close, then results will bounce  
 6 around. As the number of districts increases,  
 7 unless the number of competitive districts  
 8 increase correspondingly, and even then if --  
 9 even if there's a larger number of competitive  
 10 districts, they're not all likely to kind of  
 11 swing around each election. You know, there's  
 12 still going to be a bias toward one party or the  
 13 other.

14 So in general, as there's more  
 15 districts, I would expect the efficiency gap to  
 16 be more stable.

17 Q. But, say, if there's only 14 seats,  
 18 then it will be relatively unstable?

19 A. I think clearly it will be less stable  
 20 with 14 districts than with 100 or 200 or a  
 21 thousand. But I would view 14 districts as  
 22 providing, you know, reasonable estimates that I  
 23 think are reliable.

24 Q. All right. They're making the point  
 25 that in Wisconsin there was enough districts

## 1 C. WARSHAW

2 close enough to the 50/50 tipping point that a  
 3 small shift in the vote moves a relatively large  
 4 number of districts to the other party's column.  
 5 Consequently, beyond capturing vote dilution,  
 6 it's clear that efficiency gap and the other  
 7 measure also pick up electoral performance  
 8 rendering them unreliable at detecting  
 9 gerrymanders.

10 Do you agree with that statement?

11 A. No.

12 Q. "Given these measurement properties,  
 13 we would not use either metric to argue for or  
 14 against a gerrymander."

15 Do you disagree with that statement?

16 A. Yes.

17 Q. "Other scholars have raised similar  
 18 doubts about the EG in particular," and then it  
 19 cites some other scholars.

20 Do you agree that scholars have raised  
 21 similar doubts about the efficiency gap for the  
 22 reason that I just articulated?

23 A. Yes. I think -- I'll state two  
 24 things. One is that the Stephanopoulos and  
 25 McGhee 2018 article largely addresses the

## 1 C. WARSHAW

2 concerns of those scholars. But at a more  
 3 general level, I view -- you know, the goal of a  
 4 gerrymander is to win seats.

5 Q. Right.

6 A. So I think having a measure that takes  
 7 account of actually winning seats, which at the  
 8 end of the day is what a gerrymander is trying to  
 9 do, is a feature, not a bug, of the efficiency  
 10 gap.

11 Q. Right.

12 A. I think to the extent that other  
 13 measures like the mean-median don't actually  
 14 directly incorporate information about the seats  
 15 the parties win, I think that's not ideal from a  
 16 theoretical point of view.

17 And I think the small variation -- the  
 18 noise in the efficiency gap due to the changes in  
 19 these competitive elections is a weakness, but I  
 20 think it is based on the idea that the efficiency  
 21 gap is actually incorporating the number of seats  
 22 that parties win. Which I think is an important  
 23 thing to incorporate into a measurement of  
 24 gerrymandering.

25 I'll also say that empirically in

## 1 C. WARSHAW

2 general the -- I think it's important to remember  
 3 that the measure you use is relatively  
 4 unimportant. You know, scholars -- my assessment  
 5 of this literature -- and as you said earlier, I  
 6 will readily admit that I am not one who has  
 7 tried to promote a particular measure of  
 8 gerrymandering. You know, I think none of these  
 9 are perfect. But at the end of the day all these  
 10 measures of gerrymandering are extremely highly  
 11 correlated, particularly in states like Michigan  
 12 with competitive elections. And so in general  
 13 they give you very similar answers. You can pick  
 14 around the margin and find one metric in one case  
 15 gives you slightly different answers.

16 But, you know, in Michigan --  
 17 certainly in Michigan where I looked the most  
 18 closely, all of these metrics give you  
 19 substantively identical answers.

20 Q. That's, of course, preordained, right?  
 21 If a party captures more seats with a minority of  
 22 the votes, the mean-median, the declination, and  
 23 the efficiency gap are going to give you very  
 24 similar scores if they're biased against the  
 25 party with a majority of the statewide votes.

## 1 C. WARSHAW

2 Isn't that true?

3 A. Yes.

4 Q. So it hardly reinforces the robustness  
 5 of the efficiency gap. It just reflects the fact  
 6 that all these measures will condemn any plan  
 7 where a party receives less than 50 percent of  
 8 the statewide vote and receives more than  
 9 50 percent of the statewide seats, correct?

10 A. Yes. But the magnitude of how much  
 11 they'll condemn it is going to vary across  
 12 metrics and across -- well, mostly importantly,  
 13 it will vary based on the disparity between the  
 14 number of seats and votes. And in a state like  
 15 Michigan where there's a large disparity between  
 16 the statewide votes and seats, then all of the  
 17 measures will find an extreme gerrymander.

18 Q. Can you give me an example of where  
 19 there is a large efficiency gap and not a large  
 20 mean-median difference or a large declination  
 21 anywhere?

22 A. Yes, I can. So in the -- I haven't  
 23 looked closely at why this is, but in the  
 24 one-party South, in the Democratic South in the  
 25 1970s and '80s, the efficiency gap -- and I

## 1 C. WARSHAW

2 assume declination although I haven't looked at  
 3 this -- show that there are very large Democratic  
 4 gerrymanders. Which in fact they were. There's  
 5 lots of qualitative evidence on this. Cox and  
 6 Katz's I think 2002 book which I cite in my  
 7 report focuses on this at length. So we know  
 8 from a large body of evidence that there is  
 9 certainly an intent to gerrymander by Democrats  
 10 in the South in the 1970s and '80s, and it  
 11 appears to have had that effect. And the  
 12 efficiency gap captures that extremely well.

13 Q. And the declination does as well?

14 A. I believe the declination does as  
 15 well, whereas the mean-median does not capture  
 16 that.

17 Q. So that's a deficiency in the  
 18 mean-median score? It doesn't capture what's a  
 19 well-acknowledged and obvious gerrymander.

20 A. As I said earlier, I think none of  
 21 these metrics are perfect. But in general for --  
 22 and those are -- and that's partly because those  
 23 are uncompetitive elections.

24 So what Stephanopoulos and McGhee  
 25 point out is that in the case of an uncompetitive

Page 170	Page 171
<p>1 C. WARSHAW</p> <p>2 election where one party gets 60 or 65 percent,</p> <p>3 say, of the statewide vote, you know, then the</p> <p>4 different metrics will diverge a little bit more.</p> <p>5 But we don't observe that very much in the modern</p> <p>6 U.S., and we certainly don't observe it in</p> <p>7 Michigan.</p> <p>8 Q. Right. So in the modern era, when</p> <p>9 there are competitive elections, there's</p> <p>10 virtually never or very rarely any divergence</p> <p>11 between efficiency gap, mean-median, and</p> <p>12 declination, correct?</p> <p>13 A. I would agree with that.</p> <p>14 Q. Okay. Why don't we discuss the</p> <p>15 mean-median. That was first proposed in a 2015</p> <p>16 Election Law Journal by Best and McDonald?</p> <p>17 A. I believe that's true.</p> <p>18 Q. And the Election Law Journal, is that</p> <p>19 a peer-reviewed journal?</p> <p>20 A. Generally speaking, it is. I think</p> <p>21 they do publish occasionally doctrinal articles</p> <p>22 that might not be peer-reviewed, but their social</p> <p>23 science articles are peer-reviewed.</p> <p>24 Q. Okay. There's certainly no wide</p> <p>25 scholarly acceptance of mean-median as the best</p>	<p>1 C. WARSHAW</p> <p>2 or proper measure of partisan gerrymanders,</p> <p>3 correct?</p> <p>4 A. Correct.</p> <p>5 Q. And it's been subject to serious</p> <p>6 criticism by respected political scientists?</p> <p>7 A. Correct.</p> <p>8 Q. Including Stephanopoulos and McGhee?</p> <p>9 A. Correct. I think I discuss some of</p> <p>10 those criticisms in my report.</p> <p>11 Q. Yeah, why don't we turn to that. If</p> <p>12 you could turn to page 9 of your report.</p> <p>13 A. Yes.</p> <p>14 Q. One problem with it is it is possible</p> <p>15 for packing and cracking to occur without any</p> <p>16 change in the mean-median difference, right?</p> <p>17 A. Correct. I think McGhee in his 2017</p> <p>18 article shows this, demonstrates this empirically</p> <p>19 with simulations.</p> <p>20 Q. And therefore a party could gain seats</p> <p>21 in the legislature without the mean-median gap</p> <p>22 changing, correct?</p> <p>23 A. Correct.</p> <p>24 Q. Another problem with it is it's</p> <p>25 sensitive to the outcome in the median districts?</p>
Page 172	Page 173
<p>1 C. WARSHAW</p> <p>2 A. Correct.</p> <p>3 Q. And it lacks an obvious interpretation</p> <p>4 in terms of the number of seats that a party</p> <p>5 gains through gerrymandering, correct?</p> <p>6 A. Correct.</p> <p>7 Q. So I just want to understand how we</p> <p>8 compute the mean-median difference. I think you</p> <p>9 outline that on page 10 of your report.</p> <p>10 A. Yeah, I believe that's true.</p> <p>11 Q. And this is for Congress, so there's</p> <p>12 14 seats, right?</p> <p>13 A. Correct.</p> <p>14 Q. And so the median is seven seats?</p> <p>15 A. It's between the seventh and eighth</p> <p>16 seat.</p> <p>17 Q. Okay.</p> <p>18 A. That's why it's 46.1.</p> <p>19 Q. And just so I understand, in going</p> <p>20 from top to bottom in Democratic vote share,</p> <p>21 District 3 is the seventh district?</p> <p>22 A. I'm sorry, District 3 --</p> <p>23 Q. Bottom to top, if you want to look at</p> <p>24 it that way.</p> <p>25 A. It's the eighth most</p>	<p>1 C. WARSHAW</p> <p>2 Democratic-performing district, right,</p> <p>3 District 3.</p> <p>4 Q. Well, it depends how you measure it.</p> <p>5 Eighth most --</p> <p>6 A. Democratic. It's the seventh --</p> <p>7 Q. It's the seventh from the bottom?</p> <p>8 A. Well, as I count up, there's seven</p> <p>9 districts below it.</p> <p>10 Q. Okay. Then I may be misunderstanding</p> <p>11 it. I thought you were splitting the difference</p> <p>12 between 45.6 and 46.6.</p> <p>13 A. Correct, between the seventh and</p> <p>14 eighth districts.</p> <p>15 Q. Right. So 3 would be the seventh at</p> <p>16 45.6?</p> <p>17 A. Sure, okay. I understand what you're</p> <p>18 saying now. Sure. Yes.</p> <p>19 Q. And 46.6 is the eighth?</p> <p>20 A. It's the eighth district from the top,</p> <p>21 not from the bottom.</p> <p>22 Q. Oh, you're right. We do have</p> <p>23 rhetorical confusion. You're saying eighth</p> <p>24 district from the top on your chart. I meant</p> <p>25 eighth district from the bottom of Democratic</p>

Page 174

1 C. WARSHAW  
2 vote share because you --  
3 A. Yes, fair.  
4 Q. You go from lowest to highest on your  
5 chart?  
6 A. Yes. I think we're in agreement.  
7 Q. Okay. So what you do is find the  
8 seventh and eighth districts in terms of  
9 Democratic vote share and you split the  
10 percentage difference between them and say that's  
11 the median score?  
12 A. Yes.  
13 Q. Okay. And the mean is 53 percent. Is  
14 that correct?  
15 A. Yes, I believe that's true.  
16 Q. And just so I understand it, that mean  
17 is just averaging up the numbers above. It's not  
18 necessarily the Democratic statewide vote share  
19 in those districts, correct?  
20 A. Correct. I think that's the way it's  
21 typically done in this literature.  
22 Q. Okay. And that means, to be specific,  
23 you don't factor turnout differentials among the  
24 districts?  
25 A. Correct. The mean-median metric does

Page 175

1 C. WARSHAW  
2 not explicitly factor in -- it does not factor in  
3 turnout as is typically done. I'm not sure  
4 theoretically the reason for that, that it  
5 necessarily needs to be that way. It seems to me  
6 there's no reason you couldn't do it using a  
7 statewide vote. But I think the way it's been  
8 proposed, it's looking at the average across the  
9 districts, which is what I tried to do here.  
10 Q. So every time the statewide average is  
11 above 50 percent and the party has less than  
12 50 percent of the vote, there's always going to  
13 be a mean-median difference, right?  
14 A. Yes. There will always be a  
15 mean-median difference that disadvantages the  
16 party that doesn't get half the seats if they get  
17 more than half the votes. I mean, there also  
18 could be a mean-median difference, though, in  
19 other values where they could get a majority of  
20 the vote and the seats and still have a  
21 mean-median difference that disadvantages them.  
22 So it doesn't uniquely identify the situation,  
23 this hypothetical that you've identified.  
24 Q. But it always identifies -- that will  
25 always be condemned by the mean-median difference

Page 176

1 C. WARSHAW  
2 by definition because the median has to be less  
3 than 50 percent?  
4 A. I think that's true in a situation  
5 with equal turnout.  
6 Q. And how about if a party got  
7 53 percent of the statewide vote and won all ten  
8 of the seats, so 53/47. 53 percent of the vote  
9 would capture 100 percent of the seats but the  
10 mean-median difference would be zero, correct?  
11 A. If they got -- if the median -- if all  
12 of the seats were 53 percent and they had a mean  
13 across them of 53 percent, then yes, I believe in  
14 that case -- I haven't thought about this before  
15 this conversation. But I believe in that case  
16 that would be a mean-median of zero.  
17 Q. Okay. And this declination theory,  
18 this was published this year by this fellow named  
19 Warrington?  
20 A. Correct.  
21 Q. Has there been any scholarly  
22 commentary on this?  
23 A. No. I think it's a new metric and  
24 there's been -- to my knowledge, there's been no  
25 explicit response or critique, although I'm not

Page 177

1 C. WARSHAW  
2 100 percent sure of that.  
3 Q. So obviously there hasn't been wide  
4 acceptance of this measure in the political  
5 science community as a proper measure of partisan  
6 bias or gerrymander?  
7 A. Just because it's so new. I don't  
8 think there's a consensus either way.  
9 Q. Okay. Again, to the extent I can  
10 understand this thing, it's you look at the  
11 difference between the two vote lines or  
12 something like that?  
13 A. Yeah, correct.  
14 Q. All right. My first question is: Do  
15 you really expect the judges to understand this  
16 thing?  
17 But in any event, again, if you're  
18 getting a minority of the vote and a majority of  
19 the seats, this declination is going to be -- is  
20 going to show a problem, right?  
21 MR. YEAGER: Object to the prior  
22 comment which is part of the question I  
23 think. I'm sure the judges can understand  
24 this perfectly well.  
25 You can answer.

1 C. WARSHAW  
2 BY MR. CARVIN:

3 Q. Absent your counsel's suck-up, if you  
4 want to go ahead and answer the question.

5 A. I haven't thought about it explicitly.  
6 I believe that's true, but I'm not 100 percent  
7 sure.

8 Q. And if you could turn to page 12 of  
9 your --

10 A. Actually, I'm not 100 sure that's true  
11 of declination, that there's a necessary  
12 correspondence between a majority of the votes  
13 and the minority along the lines you laid out.  
14 My guess is it would pick it up. I would have to  
15 think about it more. I'm not 100 percent sure  
16 about that.

17 Q. You don't know one way or the other?

18 A. Yeah, I don't know.

19 Q. Because it's not intuitively obvious  
20 to me how you could ever not have this --

21 A. I mean, I think in general the  
22 declination is not picking up the number of seats  
23 that each party holds. That's not -- that's why  
24 I think it's not a necessary condition that it  
25 would necessarily show a bias if you had a

1 C. WARSHAW

2 minority of the votes and a majority of the  
3 seats.

4 Q. Okay. All right. Well, why don't you  
5 think about that some more.

6 And then you describe candidly the  
7 weakness in the declination approach at the top  
8 of page 12. You say: "A weakness of the  
9 declination approach vis-a-vis the efficiency gap  
10 is that declination lacks a clear interpretation  
11 in terms of the number of seats that a party  
12 gains through gerrymandering."

13 Is that correct?

14 A. Yes.

15 Q. "It also is somewhat unstable when a  
16 party holds a very small number of seats in the  
17 legislature."

18 A. Yes.

19 Q. Why is that?

20 A. Well, if you had a very small number  
21 of seats, then the center of mass for each party  
22 could change substantially election to election.

23 Q. Okay. And would five be considered a  
24 small number of seats?

25 A. I don't have a -- I'm not sure that's

1 C. WARSHAW

2 in his article, and I haven't analyzed that  
3 myself, how unstable it is, the different values.

4 Q. You say: "Some scholars have claimed  
5 that it represents a better measure of intent in  
6 the gerrymandering process than the efficiency  
7 gap." Correct?

8 A. Yes.

9 Q. Can you explain why it would be a  
10 better measure of intent?

11 A. I think the argument is that what the  
12 declination is trying to capture is whether the  
13 50 percent line of winning a seat is privileged;  
14 so in other words, the distribution of vote  
15 shares look different above and below the  
16 50 percent mark. And they argue that to the  
17 extent they look different, as in Figure 1, that  
18 would only happen through intentional  
19 gerrymandering rather than through by accident.  
20 You know, I'm --

21 Q. Sorry, go ahead.

22 A. I mean, I was careful in that  
23 statement I think. I don't have a strong  
24 personal view that it is necessarily capturing  
25 intent better, but this is -- both Professor

1 C. WARSHAW

2 Warrington and McGhee I think make that  
3 assertion.

4 Q. Well, let's assume you did have this  
5 distribution that looked bad under declination.  
6 Does declination tell you the cause of that? If,  
7 for example, a state had a large concentration of  
8 Democrats in certain areas and a large  
9 concentration of Democrats in certain districts  
10 because of the Voting Rights Act you would get  
11 this gap even if a line drawer with no partisan  
12 attempt through the lines, correct?

13 A. I haven't personally analyzed -- I  
14 haven't analyzed that. I couldn't say for sure.  
15 I couldn't say.

16 Q. Okay. Now, you did an analysis, a  
17 historical analysis of the efficiency gap  
18 throughout the last -- I think it was the last 40  
19 or 50 years, correct, in various states?

20 A. Yeah, roughly the last 45 years.

21 Q. And did you do a similar analysis for  
22 mean-median scores?

23 A. I did.

24 Q. Is there a graph showing that?

25 A. No, there isn't. I think if I were to

<p>1 C. WARSHAW</p> <p>2 do this again, maybe I would put it in. I wasn't</p> <p>3 trying to hide the eight ball. I wanted to go</p> <p>4 for succinctness in the report. What I note,</p> <p>5 though, on page 19 is the percentage of time that</p> <p>6 the absolute -- both the absolute mean-median --</p> <p>7 you know, the absolute mean-median in Michigan is</p> <p>8 larger than, you know, X percentage historically</p> <p>9 and then how -- what percentage of the time it's</p> <p>10 more pro-Republican than other plans.</p> <p>11 Q. You say that it's more extreme than</p> <p>12 the mean-median difference at 78 percent of</p> <p>13 previous elections, correct?</p> <p>14 A. Correct.</p> <p>15 Q. And these are the same elections that</p> <p>16 you looked at relative to the efficiency gap?</p> <p>17 A. Correct.</p> <p>18 Q. In both congressional and state</p> <p>19 legislative districts?</p> <p>20 A. Yes. I use the same data to calculate</p> <p>21 all the metrics.</p> <p>22 Q. Okay. But you didn't either produce a</p> <p>23 graph or identify the states that constitute</p> <p>24 either the 78 percent or 22 percent?</p> <p>25 A. Well, I think I implied -- I think I</p>	<p>1 C. WARSHAW</p> <p>2 stated, and if I didn't, I certainly implied that</p> <p>3 I had done it using the same data as for the</p> <p>4 efficiency gap.</p> <p>5 Q. No, I get that.</p> <p>6 A. And if that's ambiguous, I apologize</p> <p>7 for the ambiguity.</p> <p>8 Q. And the reason you didn't produce a</p> <p>9 graph showing this was you ran out of time? Ran</p> <p>10 out of space?</p> <p>11 A. No. I just wanted -- I wanted the</p> <p>12 report to be succinct. There's no conceptual</p> <p>13 reason I couldn't -- I didn't or couldn't. It</p> <p>14 would show very similar -- I believe it would</p> <p>15 show very similar patterns as what's in the</p> <p>16 efficiency gap graph, but I can't say for sure.</p> <p>17 Q. Well, with the efficiency gap graph</p> <p>18 you could tell the extent of the difference</p> <p>19 because you created this bell curve and showed</p> <p>20 where Michigan ranked.</p> <p>21 A. Right.</p> <p>22 Q. You don't represent that either</p> <p>23 pictorially or verbally. You just say it's more</p> <p>24 extreme than 78 percent of previous elections,</p> <p>25 correct? But it could be more extreme by</p>
<p>1 Page 184</p> <p>2 C. WARSHAW</p> <p>3 0.1 percent of that 78 percent of previous</p> <p>4 elections, right?</p> <p>5 A. I don't present any numbers, anything</p> <p>6 in the report that would enable us to -- I'm</p> <p>7 fairly confident that it is not only marginally</p> <p>8 more larger. But I don't have the numbers in the</p> <p>9 report to show that. It would be in my data that</p> <p>10 I provided to the other side.</p> <p>11 Q. And I think you've answered this, and</p> <p>12 I apologize. I just want to clarify the record.</p> <p>13 This comparison for the mean-median difference</p> <p>14 relates to the same elections as what you did for</p> <p>15 the efficiency gap?</p> <p>16 A. Correct.</p> <p>17 Q. Okay. All right. And with respect to</p> <p>18 prior Michigan redistrictings, do you compare the</p> <p>19 mean-median difference in the 2011 redistricting</p> <p>20 cycle to the mean-median difference in the 2001</p> <p>21 Michigan cycle?</p> <p>22 A. I am not. That would be in the data</p> <p>23 that I have, but I have not looked at that and I</p> <p>24 said I don't present it in the report.</p> <p>25 Q. And --</p> <p>26 A. I think I should just state for the</p>	<p>1 Page 185</p> <p>2 C. WARSHAW</p> <p>3 record, it's not that I did so and intentionally</p> <p>4 decided not to present it or something. I just</p> <p>5 didn't look at that, didn't focus on that.</p> <p>6 Q. Okay. Now, for the efficiency gaps,</p> <p>7 you looked at the results for 2014 and 2016 as</p> <p>8 well as 2012, right?</p> <p>9 A. Correct.</p> <p>10 Q. On page 19 you just give us the mean</p> <p>11 and median score for the 2012 elections.</p> <p>12 A. I believe that's true.</p> <p>13 Q. Is there anywhere in the report that</p> <p>14 we can see the mean-median difference for 2014</p> <p>15 and 2016?</p> <p>16 A. I don't believe so.</p> <p>17 Q. Okay. Now, you have previously opined</p> <p>18 that the similar results for the efficiency gap</p> <p>19 numbers between 2012 and 2016 suggested that this</p> <p>20 was a durable gerrymander. You didn't do any</p> <p>21 such analysis for the mean-median difference,</p> <p>22 correct?</p> <p>23 A. Correct.</p> <p>24 Q. Now, for the house, did you give the</p> <p>25 scores for the mean-median and declination?</p> <p>26 A. I don't know whether I gave -- it</p>

Page 186

1 C. WARSHAW  
2 would be on page -- Sorry, the state house or  
3 Congress?  
4 Q. State house.  
5 A. My analysis there would be on page 36,  
6 I believe.  
7 Q. Right. And I didn't see any --  
8 A. So --  
9 Q. Sorry. Go ahead.  
10 A. Correct. I don't think there's a  
11 number. I didn't provide a number in the report,  
12 and I don't have it in my head either. It's in  
13 my data. I could have provided it, but I just --  
14 again, I was trying to keep this part of the  
15 analysis succinct and not get bogged down in the  
16 details of all these different metrics.  
17 Q. Can you give me a rough estimate of  
18 what the mean-median score was in 2012?  
19 A. I don't have that in my head for the  
20 state house districts.  
21 Q. Okay. Same question for the  
22 declination score. I don't believe you put that  
23 forward in your report.  
24 A. I don't have it in my head. It's in  
25 my data. I could find it or one could find it,

Page 187

1 C. WARSHAW  
2 but it's not in my head.  
3 Q. Okay. Same question for declination.  
4 I don't believe you did any analysis of the  
5 declination score for the 2014 and 2016  
6 elections. Is that correct?  
7 A. I did do the analysis. As I said,  
8 it's in my data but it's not in -- I didn't put  
9 it in the report.  
10 Q. And you didn't draw any conclusions  
11 about whether the 2014 and 2016 declination and  
12 mean-median scores were similar to the one that  
13 you did report for 2012, correct?  
14 A. That's correct. Although the  
15 declination in particular is extremely highly  
16 correlated with the efficiency gap over the past  
17 45 years. So given that the efficiency gap  
18 estimate is durable, I would expect that the same  
19 would be true of declination.  
20 Q. Okay. I'm going to ask you  
21 essentially the same questions on declination  
22 that I just asked you about mean-median.  
23 THE WITNESS: Could we do a short  
24 break?  
25 MR. CARVIN: Sure. Don't worry about

Page 188

1 C. WARSHAW  
2 it.  
3 (Recess taken.)  
4 BY MR. CARVIN:  
5 Q. So just to finish up, I think where we  
6 left off, the same questions I think I just asked  
7 you about the mean-median I'm going to ask you  
8 about the declination.  
9 There is no chart in here like that  
10 for the efficiency gap showing past declination  
11 scores in the nation for Congress or state  
12 legislators, correct?  
13 A. That's correct. And I'll say the  
14 reason for that is, again, I wanted the report to  
15 be succinct and readable, but I also viewed these  
16 measures as robust. And given the results --  
17 really I wanted to show the results are similar,  
18 especially for 2012, and that's what I focused  
19 on.  
20 Q. And you say at the top of page 20 that  
21 the declination scores are more extreme than  
22 91 percent of previous elections, right?  
23 A. Correct.  
24 Q. Again, it's the same elections that  
25 you referenced in the efficiency gap?

Page 189

1 C. WARSHAW  
2 A. Correct.  
3 Q. And you don't report, however, the  
4 difference between 0.56 and these other  
5 declination scores?  
6 A. Correct. No, I don't.  
7 Q. And you didn't look at the prior  
8 declination scores for Michigan congressional or  
9 Michigan state legislative plans?  
10 A. I didn't. It's all in the data that I  
11 provided to your side, but I didn't reference it  
12 in the report.  
13 Q. Okay. All right. Let's switch  
14 topics. You go on in this report about the  
15 effect of -- well, about roll call votes and the  
16 efficiency gap and roll call voting in Congress.  
17 If you could turn to page 24 of your  
18 report.  
19 A. Uh-huh.  
20 Q. "To be clear, I do not argue that  
21 gerrymandering causes more polarization in  
22 Congress." That's what you say on page 24?  
23 A. That's correct.  
24 Q. So you're making no argument that  
25 gerrymandering contributes to or causes

Page 190

1 C. WARSHAW

2 polarization in Congress?

3 A. That's correct.

4 Q. It's pretty obvious, right, because  
5 isn't the U.S. Senate just as polarized as the  
6 House of Representatives?

7 A. It's roughly the same. I don't know  
8 that it's exactly the same. Yeah, they're very  
9 similar, which is one of the pieces of evidence  
10 people point to for the lack of a relationship  
11 between gerrymandering and polarization.

12 Q. And then on page 23 --

13 A. 23, so going backwards?

14 Q. Yeah.

15 A. Okay.

16 Q. You say with respect to Michigan  
17 specifically that "there has never been any  
18 overlap in Congress between the ideology scores  
19 of Democrats and Republicans from Michigan."

20 Right?

21 A. Yes, I believe that's true.

22 Q. "Republicans are always substantially  
23 more conservative than Democrats from Michigan."

24 A. Yes. That's in Figure 9A.

25 Q. And then Figure-- well, let's look at

Page 191

1 C. WARSHAW

2 Figure 8 first, polarization in the U.S. House.  
3 Right?

4 A. Uh-huh.

5 Q. You say that there's been an increase  
6 in partisan polarization in the U.S. House,  
7 right?

8 A. That's correct.

9 Q. And that occurred -- a lot of that  
10 occurred well in advance of 2011?

11 A. Correct.

12 Q. And during those years the efficiency  
13 gap was not clearly favoring one party over  
14 another, and it bounced around a lot, right?

15 A. Well, as I stated, during the 1970s  
16 and '80s the efficiency gap generally favored  
17 Democrats actually on average. During the 1990s  
18 there is really no national bias in either  
19 direction.

20 Q. Nonetheless, throughout that time the  
21 partisan polarization increased even though there  
22 was no favoritism in the efficiency gap?

23 A. Correct. But again, I'm not making  
24 any assertion that gerrymandering causes  
25 polarization.

Page 192

1 C. WARSHAW

2 Q. Right. And if you look at Figure 9,  
3 the same thing. The polarization in Michigan has  
4 increased from 1975 through the present, and at  
5 least for most of that term Michigan's efficiency  
6 gap prior to 2011 largely didn't favor one party  
7 or another?

8 A. That's correct.

9 Q. So then you have this other point  
10 which I guess is relatively obvious at the bottom  
11 of page 25, which is: "Citizens are much more  
12 likely to agree with the roll call votes of  
13 same-party legislators than opposite-party  
14 legislators on important policy issues." Right?

15 A. They are. Although you skipped  
16 over -- I want to back up. I think what's  
17 important to be clear about is that, no,  
18 gerrymandering does not cause polarization. But  
19 the polarization that has happened for a number  
20 of reasons in Congress exacerbates the effects of  
21 gerrymandering on representation. And that's  
22 really what this section of the report is about.

23 Q. Right.

24 A. So you had some questions about  
25 whether, you know, I sort of tried to look at the

Page 193

1 C. WARSHAW

2 relationship or lack thereof between  
3 gerrymandering and polarization. But I think  
4 that's -- you know, regardless of whether there  
5 is or isn't such a relationship, I don't have  
6 any -- I don't make any assertions about that in  
7 the report. But I think what is clear is that  
8 the growing polarization has magnified the  
9 effects of polarization -- or has magnified the  
10 effects of gerrymandering. I'm sorry.

11 Q. Because now citizens really disagree  
12 with opposite-party legislators?

13 A. To a certain degree. But I think what  
14 I showed in this section of the report is that  
15 the ideological views of Democratic and  
16 Republican elected officials in particular are  
17 much more divergent than they were a generation  
18 ago.

19 Q. Right.

20 A. So a generation ago it might not have  
21 mattered very much whether you got a Republican  
22 or Democratic legislator. Regardless that that  
23 was true a generation ago, today there's just a  
24 vast difference between electing a Democrat and a  
25 Republican.

Page 194

1 C. WARSHAW

2 Q. Right.

3 A. So if you bias the maps so that you  
4 get more of one party or another compared to what  
5 voters want, then that's going to really skew the  
6 ideological distribution of the legislature and  
7 the policies the legislature produces.

8 Q. Right. And that's the point you're  
9 making at the top of 26, right?

10 A. Yes. I mean, page 26 looks directly,  
11 sort of squarely at the congruence between the  
12 views of voters and legislators.

13 Q. And you say it's the voters in the  
14 districts where their votes are wasted don't have  
15 representatives with whom they agree?

16 A. Correct. Well --

17 Q. What you say, to be precise, is:  
18 "People whose votes are artificially wasted due  
19 to gerrymandering are deprived of having  
20 legislatures that agree with their views."  
21 Right?

22 A. Where are you reading?

23 Q. The top of 26.

24 A. Yes.

25 Q. Okay. But if they're artificially

Page 195

1 C. WARSHAW

2 wasted through packing, then they do have  
3 legislatures that agree with their views, right?

4 A. Looking across the legislative plan,  
5 they're more likely to have a legislator -- if  
6 there's more wasted votes, then they are more  
7 likely to have a legislator that disagrees with  
8 them, is what Figure 10 shows.

9 Q. A legislator that disagrees with them  
10 from a different district? The legislator that  
11 they're voting for is very likely to agree with  
12 their views in a packed district, right?

13 A. That is true.

14 Q. Some other legislators that they don't  
15 vote for might disagree with them, but that's not  
16 their representative. Right?

17 A. Correct. I do think it's true  
18 empirically that the majority, if not the vast  
19 majority, of wasted votes are in cracked  
20 districts. So to some extent this focus on  
21 packed districts is a little bit sideways.

22 Q. Okay. Are you excluding packed  
23 districts from your analysis of people who have  
24 their votes wasted?

25 A. I'm not. I'm just saying on average

Page 196

1 C. WARSHAW

2 in a state where one party or another is more  
3 likely to have wasted votes, they're less likely  
4 to have views that are congruent with their  
5 legislator.

6 Q. Well, in Michigan you claim there were  
7 five Democratic packed districts in Congress,  
8 right?

9 A. I believe that's true.

10 Q. Okay. And there was only two or three  
11 cracked districts because under any seats-votes  
12 analysis they would only be expected to get seven  
13 or eight seats, right?

14 MR. YEAGER: Objection; misstates the  
15 record.

16 You may answer.

17 THE WITNESS: I don't think I made a  
18 characterization in my report of which  
19 districts precisely were packed and cracked,  
20 and I'm reluctant to do so now.

21 BY MR. CARVIN:

22 Q. So we don't know which districts they  
23 are, but whichever they are, there can only be  
24 three cracked districts where there's five packed  
25 districts, right? You're not expecting Democrats

Page 197

1 C. WARSHAW

2 to get nine of the 14 seats, right?

3 A. No, I'm not sure I would -- I'd have  
4 to think about it more. But Districts 9 and 5,  
5 I'm not sure I would assert that those are  
6 packed.

7 Q. 9 and 5?

8 A. Yeah. I would have to think about it  
9 more, but I think I would want to look more at  
10 the evidence. Just based on the numbers here,  
11 I'm not sure I would assert that. I would want  
12 to think about that more based on the totality of  
13 the evidence. I just don't know either way.

14 Q. Do you think those are safe Democratic  
15 districts?

16 A. I think they're not -- I think very  
17 likely the Democrats would win a district that  
18 looks like that.

19 Q. Okay. But just to get back to my  
20 point, Democratic voters in Democratic districts  
21 do agree with their elected representative's  
22 views?

23 A. Correct.

24 Q. And they're in a very good situation  
25 because the chances of their elected

<p style="text-align: right;">Page 198</p> <p>1 C. WARSHAW    2 representative being defeated are extraordinarily    3 small, right?    4 A. I think that's true for their    5 individual legislator. But I think what people    6 care about is the ideological composition of the    7 legislature.    8 Q. Right. What we're really talking    9 about is Democratic representation in the    10 legislature as a whole?    11 A. I think that's the object that most    12 people care about. I mean, if you packed all of    13 the Democrats into one district -- I mean, if we    14 go down the hypothetical route, if you pack all    15 Democrats into one malapportioned district and    16 you say, well, now you're 100 percent likely to    17 get a very Democratic legislator because this is    18 a 100 percent Democratic district, surely that    19 wouldn't be an outcome they would prefer, having    20 just one legislator even if they were guaranteed    21 it would be a Democrat.    22 Q. Even though Democrats in the packed    23 districts are benefited, Democrats in adjacent    24 districts and statewide are hurt by the packing,    25 correct?</p>	<p style="text-align: right;">Page 199</p> <p>1 C. WARSHAW    2 A. Correct.    3 Q. It's the Republicans in the packed    4 district who are deprived of their voice and    5 having a representative with whom they agree?    6 A. They are advantaged at the statewide    7 level, the packed Republicans.    8 Q. But disadvantaged with respect to    9 their own representative?    10 A. You could argue that, yes. I would    11 have to think about that more. But I think in    12 general what people care about is the ideological    13 composition of the legislature.    14 Q. Okay. But if we're looking at it from    15 the district-specific level, it's the Republicans    16 in the Democratic packed districts who have been    17 shut out of the process and don't have a voice    18 because their legislator is never going to agree    19 with them, right?    20 A. So, too, the Democrats in cracked    21 districts are -- lack of voice and the Democrats    22 in the packed districts are disadvantaged in the    23 statewide translation of seats to votes.    24 Q. Right.    25 A. And the statewide ideological</p>
<p style="text-align: right;">Page 200</p> <p>1 C. WARSHAW    2 composition of the legislature and the policies    3 that the legislature passes.    4 Q. If you call a cracked district a    5 48 percent Democratic district, those Democrats    6 at least have the ability to get together,    7 increase their vote share, and have a    8 representative who expresses their views; whereas    9 the Republicans in a packed district can never    10 band together enough to get a representative who    11 respects their views. Correct?    12 A. I think it's unlikely that a    13 Republican legislator would be elected in a    14 district that is 75 percent or 80 percent    15 Democratic.    16 Q. But it's not implausible that a    17 Democrat could get elected in a district that's    18 produced 48 percent Democratic vote in the past?    19 A. Sure. I think it's certainly more    20 likely than the first scenario.    21 Q. Particularly in an off-year election    22 following a presidential election where the    23 non-presidential party does quite well?    24 A. Yes. I mean, as we talked about,    25 individual seats could certainly shift in a</p>	<p style="text-align: right;">Page 201</p> <p>1 C. WARSHAW    2 midterm year like in 2018. But if that were to    3 happen, the Democratic statewide vote share would    4 also go way up. So I think thinking about seat    5 shifting and absence of thinking about changes in    6 the statewide vote, you know, is misleading.    7 Q. So we're looking at the representation    8 of the legislature as a whole, right? So in    9 Congress, this would mean representatives    10 scattered throughout the nation, right?    11 A. I think that's the target people care    12 about the most. I think that in characterizing    13 the effects of the efficiency gap, I focused on    14 the median legislator in each state. But I think    15 ultimately what we care about is the ideological    16 composition of the chamber as a whole.    17 Q. So if Democrats in Michigan lost two    18 seats because of a gerrymander but picked up two    19 seats in Massachusetts or California or Maryland,    20 then there would be no effect on the national    21 legislature as a whole?    22 A. There might no effect on the national    23 ideological composition. I do think there are    24 reasons to think you want to have a legislator in    25 your state that could represent you. I don't</p>

Page 202

1 C. WARSHAW  
 2 think I would argue -- I don't think I would  
 3 assert that, like, if you're a Democrat in  
 4 Massachusetts having a Democrat from -- or a  
 5 legislator in general from Michigan or from some  
 6 other state or vice versa is the same thing.

7 Q. You're going to have a lot more in  
 8 common with a Democrat from Michigan than you're  
 9 going to have with a Republican from  
 10 Massachusetts, right?

11 MR. YEAGER: Objection; vague and  
 12 ambiguous.

13 THE WITNESS: I'm not sure who -- Who  
 14 are we talking about here?

15 BY MR. CARVIN:

16 Q. Well, I thought you told me that  
 17 there's such a wide ideological gulf between  
 18 Democrats and Republicans in this highly  
 19 polarized environment that Democrats will always  
 20 associate more with Democrats, even those from  
 21 other states, than they would with another-party  
 22 representative from their own state. Is that  
 23 correct?

24 A. I think that's true. But I think what  
 25 I wouldn't say is that there's nothing lost by

Page 203

1 C. WARSHAW  
 2 having, you know, nobody from your party or  
 3 whatnot that represents you from your own state.

4 Q. What is the point of all this? I  
 5 mean, if two seats are unacceptably  
 6 gerrymandered, that would be true regardless of  
 7 whether that makes Congress or the Michigan  
 8 legislature more conservative, right?

9 A. Yes. But I think the point of this  
 10 part of -- the point of this section of the  
 11 report is that, you know, election results are  
 12 not just about the party that represents you.  
 13 They're about the ideological positions or just  
 14 the policy positions -- maybe you should think  
 15 even more about policy positions that your  
 16 legislators take. And if the partisan  
 17 consequences of elections and a partisan  
 18 gerrymander had no policy consequences, then as a  
 19 political scientist I would say, you know, maybe  
 20 we shouldn't really care so much about it.

21 But the reality is that the  
 22 partisan -- the partisan outcome of elections and  
 23 disparities in the connection between votes and  
 24 seats has large and substantial consequences on  
 25 the political process. It affects the roll call

Page 204

1 C. WARSHAW  
 2 positions that legislators take, and certainly in  
 3 state governments it affects the policies that  
 4 states produce.

5 Q. I don't think anybody would disagree  
 6 that a Nancy Pelosi-led Congress is going to have  
 7 different roll call votes and policies than one  
 8 led by Paul Ryan or his successor.

9 But my question is why the federal  
 10 court in Michigan should care about that. You're  
 11 not suggesting that they should favor a Nancy  
 12 Pelosi-led Democratic Congress over a Paul  
 13 Ryan-led Republican Congress, right?

14 A. No. I think my point is not that they  
 15 should -- certainly not that they should favor  
 16 one party over another.

17 Q. Right.

18 A. My point, though, is that, you know,  
 19 elsewhere in the report I state that the 2012  
 20 gerrymandering, what other people have estimated,  
 21 and I think what you could use my numbers to  
 22 estimate as well but I haven't done so, is that  
 23 the gerrymandering in 2012 cost Democrats  
 24 nationwide 16 or 17-ish seats in Congress. And  
 25 if that was the difference between a Paul

Page 205

1 C. WARSHAW  
 2 Ryan-led Congress and a Nancy Pelosi-led  
 3 Congress, then certainly that had vast policy  
 4 consequences both for voters in Michigan and for  
 5 voters elsewhere in the country.

6 But even as we saw on the healthcare  
 7 bill that happened earlier this year, even if it  
 8 doesn't cost -- if gerrymandering doesn't affect  
 9 the partisan majority in Congress, if it just  
 10 affects the margin of each side and, as I said  
 11 earlier, the ideological composition of the  
 12 legislature, then it still has vast policy  
 13 consequences.

14 You know, if Republicans had had five  
 15 more seats in Congress -- or if Republicans had  
 16 had five less seats in Congress, then the House  
 17 wouldn't have passed the healthcare bill. I  
 18 can't remember the exact margin of the tax bill,  
 19 but a couple of seats would have affected that as  
 20 well.

21 Q. You make the claim that The Brennan  
 22 Center, not exactly a nonpartisan group, claimed  
 23 16, 17 seats in Congress were affected by  
 24 gerrymanders in other states.

25 My question to you is: Why would the

1 C. WARSHAW

2 court in Michigan resolving this case care about  
3 that? If there had been no gerrymanders outside  
4 of Michigan, shouldn't they do exactly the same  
5 thing with respect to the gerrymander in  
6 Michigan?

7 MR. YEAGER: Objection; calls for a  
8 legal conclusion.

9 You may answer.

10 THE WITNESS: Yeah, I don't have a  
11 legal view, obviously, of how that should  
12 affect the court. But I think the couple  
13 seats in Michigan certainly affect the  
14 partisan and ideological composition of  
15 Congress. And as we've seen on these close  
16 votes, a couple of seats matters.

17 BY MR. CARVIN:

18 Q. Did it matter? Did the extra two  
19 Michigan congressmen that you claim were  
20 accomplished through gerrymander have any effect  
21 on either which votes were put to a roll call in  
22 Congress or the outcome of those roll call votes?

23 A. I don't show in this report but I -- I  
24 would have to look more specifically at that.  
25 But based on my experience as a political

1 C. WARSHAW

2 scientist and studying other levels of  
3 government, I think it would.

4 Q. But you don't --

5 A. I don't have quantitative evidence in  
6 this report on that question specifically.

7 Q. Or anywhere else. You can't give me  
8 an example now?

9 A. I can't now, no.

10 Q. And again, let's assume it's true that  
11 these congressmen made a difference in the  
12 ideological makeup of Congress. You don't think  
13 that the federal court should try and alter the  
14 ideological composition of Congress, right?

15 MR. YEAGER: Calls for a legal  
16 conclusion.

17 You may answer.

18 THE WITNESS: Yeah, I can't say what  
19 courts should do. Again, I have no view and  
20 no expertise on the appropriate legal  
21 standard. But speaking as a social  
22 scientist, I would come back to the point I  
23 made earlier. You look at election results  
24 and it's easy to think this is sort of like  
25 baseball and, oh, we're just rooting for the

1 C. WARSHAW

2 Phillies or the Mets or the Nationals or  
3 something else and it's all kind of fun and  
4 games. But at the end of the day these  
5 elections have real policy consequences, and  
6 I think it's important to show that.

7 BY MR. CARVIN:

8 Q. Do you think people are unaware of the  
9 fact that elections have consequences and  
10 majorities in state houses and federal  
11 legislatures have consequences?

12 A. I don't think the literature on -- I  
13 think at a -- in a colloquial sense probably  
14 people do have a sense of that, but I think it's  
15 not something that's been well studied in the  
16 literature, the precise policy consequences.

17 I think this is something that -- I'll  
18 just say that some of my academic work has  
19 focused on explicitly, and I do think that my  
20 work and others has made big strides on this in  
21 recent years, particularly looking at the policy  
22 consequences in ways that we didn't know before.

23 But I think we can identify now with a  
24 precision that we didn't have before that the  
25 partisan majority in legislatures has very large

1 C. WARSHAW

2 effects on policy. And to the extent that  
3 gerrymandering affects the partisan control of  
4 the legislature, my work has shown that there's  
5 large and growing policy consequences.

6 Q. Again, I'll ask you one last time. Do  
7 you think the federal judiciary should take a  
8 position on the policy consequences of partisan  
9 control or just analyze the fairness of the  
10 electoral system without regard to what policy  
11 positions are advocated by the competing parties?

12 MR. YEAGER: Objection; calls for a  
13 legal conclusion. Asked and answered.

14 You may answer.

15 THE WITNESS: Again, I can't say what  
16 I think the legal standard should be as a  
17 nonexpert on the law in this matter. But  
18 speaking as a social scientist and -- you  
19 know, my academic work focuses largely on  
20 the consequences of public opinion,  
21 elections and various electoral institutions  
22 for democratic performance in the United  
23 States. And speaking as a social scientist,  
24 I can say that if elections -- if elections  
25 had no policy consequences, then as a

Page 210

1 C. WARSHAW

2 political scientist I would not be very  
3 concerned about them, or I would study other  
4 things. Maybe I would try to understand  
5 whether they had no policy consequences.  
6 That might be a reason for study. But I  
7 wouldn't -- you know, I would focus my work  
8 in different ways, and I think others should  
9 as well. But we know that elections, and  
10 the partisan consequences of elections, have  
11 large and growing consequences.

12 So I think what that means is that  
13 gerrymandering has large implications for  
14 our democracy. And that's said not because  
15 I necessarily think one side or the other,  
16 you know, the courts should try to favor. I  
17 certainly don't think anyone should favor  
18 one side versus the other. But regardless  
19 of who is doing the gerrymandering, I think  
20 it has pernicious effects on our democracy.

21 BY MR. CARVIN:

22 Q. Are you aware of any Democratic  
23 redistricting plans that have a large  
24 anti-Republican efficiency gap?

25 A. I know the plan in Maryland has been

Page 211

1 C. WARSHAW

2 challenged and I certainly -- I haven't analyzed  
3 that explicitly here although -- Well, if you go  
4 back to my chart on page 16, certainly the 2016  
5 efficiency gap in Maryland is quite large. It  
6 was smaller in 2012.

7 But if you look at a state like  
8 Massachusetts, it's not nearly as large as the  
9 efficiency gap in Michigan. But a state like  
10 Massachusetts sure looks like it has a large  
11 partisan bias in favor of Democrats in the  
12 legislature. And speaking as a social scientist,  
13 I think that's a bad thing.

14 Q. Does The Brennan Center identify any  
15 efficiency gaps, pro-Democratic efficiency gaps  
16 in its studies?

17 A. I think they certainly present graphs  
18 where you could identify it. I don't remember if  
19 the text -- how much the text focuses on it. But  
20 certainly McGhee and Stephanopoulos talk about  
21 pro-Democratic gerrymanders as well.

22 Q. Are you sure about that? In the  
23 University of Chicago Law Review, in Congress  
24 they identify pro-Republican efficiency gaps --

25 A. Pro-Democratic.

Page 212

1 C. WARSHAW

2 Q. -- as too large? Pro-Democratic  
3 efficiency gaps as too large for --

4 A. I'm not 100 percent sure of that, but  
5 I would suspect that they do. I would assume  
6 that they do.

7 Q. Okay.

8 A. But I guess the only caveat I would  
9 say is that what these numbers show is that in  
10 the modern era the efficiency gaps in favor of  
11 Democrats are smaller so they are a little bit  
12 harder to show. There's nothing that looks  
13 anything like Michigan on the Democratic side.

14 Q. So if there are large pro-Democratic  
15 efficiency gaps, then that will balance out the  
16 pro-Republican efficiency gaps in Michigan with  
17 respect to congressional representation, right?

18 A. No. The graph -- For instance, on  
19 page 18 it illustrates this well. There are far  
20 more and larger pro-Republican efficiency gaps in  
21 recent elections than pro-Democratic efficiency  
22 gaps.

23 Q. So it's --

24 A. I think --

25 MR. YEAGER: Objection.

Page 213

1 C. WARSHAW

2 Excuse me. Counsel, you keep  
3 interrupting him; you keep making faces at  
4 him; you keep rolling our eyes at him. I  
5 would appreciate it -- You want to engage  
6 him, I understand, but I would appreciate it  
7 if you would just let him answer the  
8 question, please.

9 Now finish your answer, Mr. Witness.

10 THE WITNESS: Certainly in the wake of  
11 the 2011 plans coming into place, there have  
12 been far larger and more numerous Republican  
13 gerrymanders, and I think that's largely  
14 because there are many more states where you  
15 had unified Republican control of state  
16 government in 2011.

17 You know, I don't think Democrats are  
18 saints with this either, and probably if  
19 there had been 30 states with unified  
20 Democratic control of state government you  
21 would have Democratic gerrymanders.

22 But the fact is that after the -- in  
23 the recent elections there have been far  
24 more and larger Republican gerrymanders than  
25 Democratic ones.

<p>1 C. WARSHAW  2 BY MR. CARVIN:  3 Q. But again, you don't think the court  4 should take into account whether it's a  5 pro-Republican or pro-Democratic gerrymander?  6 They should be neutral on that intensely partisan  7 question?  8 MR. YEAGER: Objection; calls for a  9 legal conclusion.  10 You may answer.  11 THE WITNESS: Again, I don't have a --  12 I couldn't say what I think a legal standard  13 should be. But I think speaking as a social  14 scientist, I think both Democratic and  15 Republican gerrymanders have the same  16 pernicious consequences for our democracy.  17 BY MR. CARVIN:  18 Q. And the pernicious consequence is that  19 fewer voters will be in a district with a  20 same-party legislator than if one party had not  21 gotten more seats than votes, right? That's the  22 pernicious consequence?  23 A. That the ideological composition of  24 the legislature and the policies the legislature  25 produces will be biased in favor of one party or </p>	<p>1 C. WARSHAW  2 another, which breaks the --  3 Q. Let's try again. What if it only  4 affected the partisan composition of the  5 legislature? Would that be of concern?  6 A. I think if we randomly assign party  7 labels and party didn't really mean anything  8 aside from whether you rooted for the Phillies or  9 the Nationals, then as a social scientist --  10 again, I don't know what the legal standard would  11 be, but as a social scientist I probably wouldn't  12 be concerned about it. But what matters for me  13 is that the partisan labels are not just rooting  14 for the Nationals or the Phillies. They reflect  15 serious ideological disagreements and policy  16 disagreements, and whether we elect more  17 Democrats or more Republicans has large and  18 consequential policy consequences for the state  19 of Michigan as well as for our country.  20 Q. So it's the change in the ideological  21 partisan composition that should be of concern to  22 the court, not the fact that the parties have  23 different ideologies?  24 MR. YEAGER: Objection; calls for a  25 legal conclusion. </p>
<p>1 C. WARSHAW  2 You may answer.  3 THE WITNESS: Well, it's the  4 combination of the partisan bias in  5 elections with the large and substantial  6 consequences of that partisan bias. I mean,  7 obviously the partisan bias is the first  8 step in this representational chain.  9 But I think that what's important for  10 me, again not speaking as a lawyer because I  11 don't know what the legal standard should  12 be, but as a political scientist, you know,  13 what matters about gerrymandering and what's  14 interesting about gerrymandering is not the  15 partisan bias in and of itself but it is  16 this first step in this representational  17 chain that really matters.  18 BY MR. CARVIN:  19 Q. Right. So you're telling the court  20 that there are ideological differences between  21 Democrats and Republicans, right? That's the  22 first thing you're contributing? But you're not  23 suggesting the court should pay any attention to  24 the fact that there's ideological differences  25 between Democrats and Republicans in resolving </p>	<p>1 C. WARSHAW  2 this gerrymandering case, right?  3 A. I'm not making any legal assessment  4 whatsoever of what -- I'm speaking in this report  5 as a political scientist on what are the -- both  6 how does the -- I mean, the crux of my report is  7 how does Michigan compare to other states in its  8 own efficiency gaps and other gerrymandering  9 metrics over the past 45 years, and then how does  10 it -- and then what are the consequences of the  11 gerrymandering that we observe in Michigan on the  12 political process.  13 Q. Okay. Let's go to page 24. "The  14 right column shows" -- I'm reading from the last  15 sentence -- "that in the most recent Congresses,  16 a 10 percent pro-Republican shift in the  17 efficiency gap is associated with a 0.9 shift to  18 the right in DW-Nominate scores."  19 A. Uh-huh.  20 Q. Should the court be concerned about  21 the fact that there is a 0.9 shift to the right  22 in the DW-Nominate scores or should it be  23 concerned with the 10 percent Republican shift  24 regardless of whether it affects the ideology of  25 the representatives? </p>

Page 218

## 1 C. WARSHAW

2 A. Again, I don't have a legal opinion.  
 3 I think that's going to be a complicated  
 4 assessment. Speaking as a political scientist, I  
 5 think that the partisan consequences of a  
 6 gerrymander are consequential because of the  
 7 ideological bias it introduces into the  
 8 legislature and the consequent effect on the roll  
 9 call votes that are taken by the legislature and  
 10 the policies the government produces.

11 Q. So you say in the most recent  
 12 Congresses. What Congresses are those?

13 A. I don't know the Congress numbers off  
 14 the top of my head but the --

15 Q. What years?

16 A. The 2013 through '16, I believe is  
 17 what I analyze here.

18 Q. You mean the ones that were elected in  
 19 2012 through 2016?

20 A. Correct. That's the idea.

21 Q. And you did this study yourself?

22 A. Correct.

23 Q. And you say a 10 percent  
 24 pro-Republican shift in the efficiency gap?

25 A. Correct.

Page 219

## 1 C. WARSHAW

2 Q. Is that a 10 percent pro-Republican  
 3 shift in all states?

4 A. That is the effect of a 10 percent  
 5 shift in any state on average on the -- on the  
 6 average ideological voting pattern or roll call  
 7 voting pattern of legislators from that state, is  
 8 what the regression here is telling us.

9 Q. Okay. So it would just be these five  
 10 states that you've identified elsewhere?

11 A. Five states? Sorry?

12 Q. That have more than a 10 percent  
 13 pro-Republican shift.

14 A. No, this is looking at all states over  
 15 the past 45 years, or all states with more than  
 16 six congressional districts.

17 Q. Then I'm not really following you.  
 18 You say that in the most recent Congresses, which  
 19 is 2012 through 2016, not the last 45.

20 A. Oh, correct. That's based on the  
 21 regression coefficient in the bottom right of the  
 22 regression table. It's the .0093. But the  
 23 regression here includes all elections. I just  
 24 vary -- I allow the effect of the efficiency gap  
 25 to vary over time. But the regression model here

Page 220

## 1 C. WARSHAW

2 includes all congressional elections in states  
 3 with more than six congressional districts from  
 4 1972 to 2016.

5 Q. So you're telling me that --

6 A. And the point of this table is that  
 7 the efficiency gap, changes in the efficiency gap  
 8 within a state, have consequential effects on the  
 9 ideological -- the roll call positions that  
 10 legislators take and the effects are growing over  
 11 time.

12 Q. All right.

13 A. That's the bottom line from this  
 14 table.

15 Q. If there is a 10 percent  
 16 pro-Republican shift in any election between 2012  
 17 and 2016, that will lead to a 0.9 shift to the  
 18 right in representatives from that state,  
 19 Republican legislators?

20 A. 0.09 I believe I said.

21 Q. In Republicans from that state?

22 A. Correct.

23 Q. And when are you measuring the 10  
 24 percent pro-Republican shift? Between 2010 and  
 25 2012?

Page 221

## 1 C. WARSHAW

2 A. Correct. This is isolating it within  
 3 time period.

4 Q. So what about -- Maybe I don't  
 5 understand this chart, which I definitely don't.  
 6 You're saying the efficiency gap -- the first  
 7 thing you have is 0.073. What does that  
 8 represent?

9 A. That's the average relationship  
 10 between the efficiency gap and the voting  
 11 patterns of members of Congress for the past 45  
 12 years. So on average it shows that a 10 percent  
 13 pro-Republican shift in the efficiency gap would  
 14 correspond with a DW-Nominate score of  
 15 .7 units -- or .07 units to the right. I'm  
 16 sorry, .07.

17 Q. You have the 1970s, and the 10 percent  
 18 pro-Republican shift is between the 1970 and the  
 19 1972 elections because that's when they  
 20 redistricted? What's the shift?

21 A. The shift here is just looking at  
 22 variation in the efficiency gap. It's not just  
 23 isolating the redistricting years. You could do  
 24 this differently and I suspect the results would  
 25 be the same. But it's not just isolating the

Page 222

1 C. WARSHAW  
 2 redistricting years. It's averaging across all  
 3 years.  
 4 Q. So you're saying a 10 percent  
 5 pro-Republican shift, meaning that in the 1970s  
 6 the pro-Republican bias was 10 percent more than  
 7 the 1960s?  
 8 A. No. This is not making any  
 9 characterization of the average value of the  
 10 efficiency gap. What it's describing is the link  
 11 between the efficiency gap and the roll call  
 12 voting in Congress.  
 13 Q. Yeah. But I'm trying to figure out  
 14 what the word "shift" means. You say a  
 15 10 percent pro-Republican shift in the efficiency  
 16 gap. A shift from what to what?  
 17 A. It's averaging across all values of  
 18 the efficiency gap. To make it simple, what it's  
 19 suggesting is that the efficiency gap went from  
 20 zero to a 10 percent pro-Republican efficiency  
 21 gap.  
 22 Q. Right.  
 23 A. Then the average Nominate score, which  
 24 is a summary measure of the roll call behavior of  
 25 legislators, would shift on average .07 to the

Page 223

1 C. WARSHAW  
 2 right.  
 3 Q. And the zero would be in what year for  
 4 the 1970s? Where do I start?  
 5 A. Oh, that's -- the left-hand column  
 6 here is averaging across 1972 to 2016.  
 7 Q. Are you telling me that you're not  
 8 analyzing it for decades?  
 9 A. The right-hand column breaks the  
 10 analysis down by decades and looks at how that  
 11 relationship varies by decade.  
 12 Q. And it's 0.068 in the '70s?  
 13 A. Correct.  
 14 Q. And there was a 10 percent  
 15 pro-Republican shift from what year to when?  
 16 A. So between 1972 and I think it must  
 17 have been 1980, although I don't know -- even  
 18 though I said 1970s, my guess is I did that  
 19 redistricting cycle. That would imply that a  
 20 10 percent change in the efficiency gap, or a ten  
 21 percentage point change in the efficiency gap  
 22 would correspond to about a .068 change in  
 23 Nominate scores.  
 24 Q. A change in what efficiency gap?  
 25 A. It doesn't matter. It's assuming a

Page 224

1 C. WARSHAW  
 2 linear effect. So it's assuming it's a --  
 3 Q. From when?  
 4 A. I'm sorry, what?  
 5 Q. You say there's 10 percent more  
 6 Republican efficiency gap in 1972 and 1980.  
 7 MR. YEAGER: Objection; misstates the  
 8 testimony.  
 9 BY MR. CARVIN:  
 10 Q. Is that not right?  
 11 A. No. There's nothing in this table  
 12 about the levels of the efficiency gap. This  
 13 table is about the relationship between  
 14 variability in the efficiency gap.  
 15 Q. Variability?  
 16 A. Or variation in the efficiency gap, I  
 17 should say, and variation in the roll call voting  
 18 patterns of members of Congress.  
 19 Q. Okay. I'll try it one last time.  
 20 When you say a 10 percent pro-Republican shift in  
 21 the efficiency gap, a shift from what to what and  
 22 when to when?  
 23 A. It could be over any period of time or  
 24 it could be -- and it could between any two  
 25 absolute values of the efficiency gap. So this

Page 225

1 C. WARSHAW  
 2 regression model is assuming that there is a  
 3 linear effect of changes in the efficiency gap,  
 4 which means that a change from negative  
 5 30 percent to negative 20 percent is the same as  
 6 a change from, like, 5 to 15 percent.  
 7 Q. I got all that. Where would you get  
 8 the negative 30 percent?  
 9 A. If there was a value -- assuming there  
 10 was an efficiency gap in the data that was  
 11 negative 30 percent, that may be outside the  
 12 range of the data. So that may be -- that  
 13 particular example may not be totally reflecting  
 14 the data. But this is all based on the data. I  
 15 mean, this regression analysis is based on an  
 16 empirical OLS, an ordinary least squares  
 17 regression model, of the effect of variation in  
 18 the efficiency gap on variation in the roll call  
 19 voting behavior of members of Congress.  
 20 Q. Okay. And in the 2000s, this  
 21 10 percent pro-Republican shift led to 0.073  
 22 shift in the DW-Nominate scores?  
 23 MR. YEAGER: Objection; misstates the  
 24 testimony and misstates the chart and the  
 25 answer.

## 1 C. WARSHAW

2 THE WITNESS: Well, I think in this  
 3 case it's a -- a 10 percent change in the  
 4 efficiency gap during the 2000s would lead  
 5 to a .073 change in Nominate scores, is what  
 6 this chart is saying.

7 BY MR. CARVIN:

8 Q. And now it's gone up to .0093 change?

9 A. Right.

10 Q. In the -- is it .00?

11 A. Well, the .00 is for a 1 percent  
 12 change. If you just multiply that by 10, you get  
 13 a 10 percent change. That's what I meant by  
 14 linear effect. It assumes that the effect of a  
 15 10 percent change is just ten times the effect of  
 16 a 1 percent change.

17 Q. Okay. And that's the difference  
 18 between the ideologies of Senator Cornyn and  
 19 Lindsey Graham?

20 A. I believe that's what I stated in the  
 21 report, yes, sir.

22 Q. And you say that Cornyn is more  
 23 conservative than Graham?

24 A. You know, I'm not going to -- I  
 25 haven't read every roll call vote he's ever

## 1 C. WARSHAW

2 taken. That's not my personal assessment.  
 3 That's based on both the nonpartisan National  
 4 Journal. But more relevantly -- and I think  
 5 538.com. But also more relevantly, based on  
 6 their DW-Nominate scores which, as I said, are a  
 7 summary of the ideological -- their ideological  
 8 positioning based on all of the roll call votes  
 9 over their entire congressional career.

10 Q. So we don't factor in Graham's  
 11 conservative views on foreign policy, then?

12 A. No. This is not looking at different  
 13 dimensions. But in general, congressional voting  
 14 behavior is extremely one-dimensional in the  
 15 modern Congress. So the vast majority of the  
 16 time a one-dimensional estimate of their ideology  
 17 would accurately predict their roll call  
 18 positions. Surely there's going to be some  
 19 idiosyncratic roll call positions, perhaps on  
 20 foreign policy, where Graham is, you know, to the  
 21 right of Cornyn. But on the vast majority of  
 22 roll call votes where there's a difference in  
 23 their positions, Cornyn is going to be to the  
 24 right of Graham.

25 Q. Okay. If you would turn to page 28.

## 1 C. WARSHAW

2 A. Sure.

3 Q. "Citizens are about 25 percent less  
 4 likely to believe that their representatives will  
 5 do what is right in states with a large" -- and I  
 6 think this was a typographical error.

7 A. Correct.

8 Q. You meant to say "absolute efficiency  
 9 gap than in states with no efficiency gap." Is  
 10 that correct?

11 A. That's correct. I think I stated that  
 12 in my rebuttal report, that that was a  
 13 typographical error.

14 Q. Okay. And this is based on the  
 15 question: Do you trust your representative to do  
 16 the right thing?

17 A. Correct. I also think, by the way, my  
 18 rebuttal report provided a supplemental graph  
 19 that showed all states as well as the confidence  
 20 intervals around them.

21 Q. Right. I'm going to come back to  
 22 that.

23 And this is based on -- the question  
 24 was: Do you trust your district's representative  
 25 in Congress to do what is right?

## 1 C. WARSHAW

2 A. Correct.

3 Q. So they were only being asked about  
 4 their own representative?

5 A. Correct.

6 Q. And obviously if it was Democrats  
 7 being asked of a Republican representative, then  
 8 he probably won't answer yes. Right?

9 A. Probably not.

10 Q. Given the stark ideological  
 11 composition.

12 A. It's true. Given only that 20 -- I  
 13 think in Michigan only 23 percent said they  
 14 trusted their representatives. It's clearly not  
 15 just opposite-party people that are saying they  
 16 don't trust their representatives.

17 Q. And the survey was conducted through  
 18 the Internet by YouGov of Redwood City,  
 19 California?

20 A. Yeah. YouGov is a widely used survey  
 21 firm. They conduct a large number of both  
 22 academic and commercial surveys. It uses the  
 23 Internet but it's, I think, widely viewed as one  
 24 of the most reputable survey firms.

25 Q. How do they conduct the surveys? How

1 C. WARSHAW  
 2 do they contact people over the Internet?  
 3 A. I believe they do it via a combination  
 4 of e-mail and text messages. They have what's  
 5 called a panel of respondents where people have  
 6 opted into their surveys, have essentially  
 7 volunteered to do surveys. They survey a large  
 8 number of people that match characteristics of  
 9 the population. And then after conducting the  
 10 survey they further weight the respondents to  
 11 make sure they're representative of the  
 12 population.

13 Q. So they weight the results?

14 A. Yes. All surveys weight their  
 15 results. There's no academic or government  
 16 survey that doesn't weight its results, including  
 17 the government products like the Current  
 18 Population Survey and the American Community  
 19 Survey. All surveys weight their results.

20 Q. But this one doesn't reach out for  
 21 people? They have to preselect? They have to  
 22 volunteer to be contacted?

23 A. Correct. It's an opt-in panel. So in  
 24 a world where everybody responded to surveys,  
 25 what's called a probability sample would be a

1 C. WARSHAW  
 2 better sampling technique. But in a world where  
 3 response rates are in the single digits for phone  
 4 surveys, I think there's a growing consensus that  
 5 Internet surveys are just as high quality as  
 6 phone surveys and yield very representative  
 7 results.

8 Q. That's not your area of expertise?

9 A. No, it is my area of expertise.

10 Q. Oh. So what is --

11 A. I do a lot of work with surveys and  
 12 survey design.

13 Q. Okay. And you looked at this survey  
 14 design --

15 A. I have.

16 Q. -- and you looked at the weighting?

17 A. I have. I've used the Cooperative  
 18 Congressional Election Study extensively in my  
 19 academic work.

20 Q. And you noted how they weighted it,  
 21 the YouGov people?

22 A. I do understand how they weight it,  
 23 yes.

24 Q. And who is YouGov?

25 A. As I said, YouGov is a commercial

1 C. WARSHAW  
 2 company that does both academic and commercial  
 3 surveys over the Internet.

4 Q. And who paid them?

5 A. In this case, the Cooperative  
 6 Congressional Election Study was funded by a  
 7 cooperative, which is the name of academic  
 8 organizations and colleges and universities. I  
 9 think around 50 or 60 colleges and universities  
 10 funded it. It also had a -- I believe it had a  
 11 grant from the National Science Foundation as  
 12 well that partially funded it.

13 Q. In your initial report you didn't  
 14 provide any margins of errors on your estimates,  
 15 right?

16 A. I didn't. Because to be frank, the  
 17 estimate -- the sample size here is enormous.  
 18 It's 55,000 or so people across the country. So  
 19 I think in Michigan there's a sample of 2,000  
 20 people. Which even when you incorporate the  
 21 design effect that inflates weights due to the  
 22 survey weighting, or inflates the standard errors  
 23 essentially, you still have a margin of error of  
 24 only three points. So it's a very small margin  
 25 of error on the estimates in Michigan.

1 C. WARSHAW

2 Q. Okay. If you could turn to your  
 3 rebuttal report, which I think is Exhibit 6.

4 A. But I think as I said in my rebuttal  
 5 report, I think, you know, that doesn't change my  
 6 results. But I think it's certainly reasonable  
 7 to include the confidence intervals, and it would  
 8 have been, you know, reasonable to include that.

9 Q. Do you have that in front of you?

10 A. My rebuttal report?

11 Q. Yeah. It's Exhibit 6.

12 A. I can find it. Sure. Looking at  
 13 page 9 and 10.

14 Q. And you claim that in Michigan there's  
 15 a 3 percent margin of error?

16 A. I think that's correct. That's what I  
 17 stated here.

18 Q. If you could look at this chart which  
 19 you've now provided to us.

20 A. Yes.

21 Q. What's the upper bound of the  
 22 confidence interval around Michigan?

23 A. I don't know. I can't tell based on  
 24 the printed version of the chart.

25 Q. You said the margin of error was about

Page 234

## 1 C. WARSHAW

2 3 percent and you said your initial estimate was  
3 23 percent Michigan?4 A. Sure. So you can just add the two,  
5 and it would be about 26 percent would be the  
6 upper bound.7 Q. That doesn't seem to correlate to this  
8 chart. Where is the upper bound of the  
9 confidence interval?10 A. I think about 26 -- Again, it's hard  
11 to tell based on the chart, but the lower part of  
12 the confidence level is around 20 percent, so  
13 that's right.14 MR. YEAGER: Counsel, I have the  
15 electronic version if you would like me to  
16 show it to him. It will be a better copy.17 MR. CARVIN: I don't know. This is  
18 what I got.19 MR. YEAGER: This is not what we sent  
20 you. He's asking about the resolution.  
21 It's up to you. I'm just offering.22 THE WITNESS: It's hard to tell based  
23 on the printed copy, but I will assert that  
24 I believe it's true that the chart  
25 represents the confidence interval that I

Page 235

## 1 C. WARSHAW

2 cite in the text.

3 BY MR. CARVIN:

4 Q. I'm happy with that. So it could be  
5 26 percent in Michigan and nationwide it's  
6 30 percent?7 A. Yes. But the confidence interval is  
8 not saying it's equally likely that it would take  
9 any value within that confidence interval.10 Q. But you can't state to a reasonable  
11 degree of professional certainty that it's not  
12 26 percent, right?13 A. It's more likely that it's 23 percent  
14 than 26 percent.15 Q. That's true. But you can't state to a  
16 reasonable degree of professional certainty that  
17 it's not 26 percent, correct?18 A. There's a 95 percent chance that the  
19 true value is between 20 and 26 percent.

20 Q. That's exactly right.

21 And so there is a 4 percent difference  
22 between Michigan and the rest of the country.  
23 What's the confidence interval on the 30 percent?24 A. I didn't show that here, but it would  
25 be very, very small. Probably a percentage

Page 236

## 1 C. WARSHAW

2 point.

3 Q. If it was two percentage points, then  
4 you couldn't rule out the possibility that it's  
5 28 percent nationwide, right?6 A. That would be true. Although I'm  
7 almost certain that it's less than 2 percent;  
8 that it would be closer to 1 percent than 2  
9 percent.10 Q. So you don't know if the confidence  
11 intervals overlap between Michigan and the  
12 nationwide average?13 A. No. With a reasonable degree of  
14 professional certainty I would be -- I'm  
15 comfortable saying that confidence intervals do  
16 not overlap between Michigan and the national  
17 average.18 Q. And you didn't do the margin of error  
19 for the national average?20 A. I didn't because again it's going to  
21 be very, very small. The survey sample here is  
22 56,000 people. So it will be a very small  
23 sampling error on the national level.24 Q. Why do you only list about, at most,  
25 20 states here?

Page 237

## 1 C. WARSHAW

2 A. Because as in elsewhere in my report,  
3 I focus on the states with an efficiency gap --  
4 or sorry, with more than six congressional  
5 districts because those are the ones where I  
6 think the efficiency gap can be estimated more  
7 reliably. So I take the exact same approach here  
8 that I take elsewhere in the report in terms of  
9 the states that I analyzed.10 Q. But you did all the state  
11 legislatures, right?12 A. Correct. Because all state  
13 legislatures have more than six seats.14 Q. Right. But you didn't look at the  
15 efficiency gap of state legislatures and that  
16 correlation to this 30 percent about whether you  
17 trust your representatives, right?18 A. That's true. This question was  
19 focused on congressional representatives. It was  
20 not referencing state representatives.21 And, you know, I would say as a  
22 political scientist, it's not clear to me  
23 that people are -- I do think people are very  
24 aware of what Congress is doing, at least at a  
25 general level, and certainly the partisanship of

Page 238

## 1 C. WARSHAW

2 their member of Congress. I think at the state  
 3 legislative people are probably less aware of the  
 4 details of what's happening.

5 Q. Right.

6 A. So I don't know that I would  
 7 necessarily want to do this analysis at the state  
 8 legislative level. I would have to think about  
 9 that more.

10 Q. Where is New York on this map?

11 A. I don't know. It should be there,  
 12 though. It probably looks like -- I see an N.  
 13 It looks like a V, but that must be a Y, on the  
 14 left.

15 Q. So that's not Nevada?

16 A. I don't think so, because Nevada only  
 17 has I think -- it doesn't have seven  
 18 congressional seats in Nevada, I don't believe.

19 Q. So you didn't do this analysis  
 20 relative to efficiency gaps in state  
 21 legislatures --

22 A. I did not.

23 Q. -- and its alleged correlation between  
 24 trusting your representative?

25 A. I didn't. Because, again, the

Page 239

## 1 C. WARSHAW

2 question asked about trust in your  
 3 representative, which referred to the U.S. House.  
 4 It wasn't asking about the state legislature.  
 5 You know, if I had had data on the state  
 6 legislature, I could have looked at that. But I  
 7 think probably there's going to be a smaller  
 8 relationship just because people in general are  
 9 not as aware of what their state governments are  
 10 doing as they are of Congress.

11 Q. And then on page 40 of your report --

12 MR. YEAGER: 40?

13 MR. CARVIN: Yeah.

14 THE WITNESS: Sure.

15 BY MR. CARVIN:

16 Q. These estimates referenced in that  
 17 table indicate that state years in which the  
 18 efficiency gap was more pro-Republican than  
 19 average for that state also tended to have more  
 20 conservative roll call voting behavior in the  
 21 state house?

22 A. Yes.

23 Q. What's a state year?

24 A. Oh, just Michigan, you know, in 2013.  
 25 That's it. A state year is Michigan in -- So I

Page 240

## 1 C. WARSHAW

2 measured -- the data here varies across --  
 3 includes both cross-sectional and temporal  
 4 variation.

5 Q. What's a non-state year?

6 A. Well, I think what it's trying to make  
 7 clear is that I'm not averaging across time. I'm  
 8 not pooling, like, 1972 and 2016 within Michigan.  
 9 I'm not saying that's all one row in my -- in a  
 10 regression.

11 Q. So you're looking at the efficiency  
 12 gap in Michigan in 2013?

13 A. Correct.

14 Q. How can there be an efficiency gap in  
 15 2013 when there were no elections in 2013?

16 A. I'm looking at the preceding year.  
 17 Sorry. So for policy here, I lagged the  
 18 efficiency gap. So it's looking at the effect of  
 19 the efficiency gap in 2012 on the ideological  
 20 composition of the legislature and the policies  
 21 that it produces the following year.

22 Q. All right. So you would compare the  
 23 efficiency gap in 2012 to the roll call votes in  
 24 2013?

25 A. Correct.

Page 241

## 1 C. WARSHAW

2 Q. And you would compare -- What would  
 3 you do with 2014?

4 A. I can't remember precisely what I did.  
 5 I think that's probably not in the analysis for  
 6 the reason that you suggested, that there's no  
 7 efficiency gap to be calculated in 2013.

8 Q. Well, wouldn't it be the same  
 9 legislators?

10 A. It would. So I probably could have  
 11 done that and I wouldn't expect materially  
 12 different results. But I don't think that's what  
 13 I did. I think I did it the way you suggested  
 14 initially, or you implicitly suggested, which is  
 15 I only used the efficiency gap in years where  
 16 there had been elections. But I could  
 17 double-check that. It would be in my replication  
 18 code.

19 Q. Okay. And you say that the median  
 20 ideology of the Michigan state house which had a  
 21 12 percent pro-Republican efficiency gap in 2012  
 22 would shift nearly half a standard deviation to  
 23 the left if it adopted a districting plan with no  
 24 efficiency advantage to either party?

25 A. Correct. I believe that's what I say

## C. WARSHAW

1 here.

2 Q. What does that mean in the real world?  
 3 What's half a standard deviation to the left in  
 4 terms of policy?

5 A. Well, I think here I'm focused on the  
 6 roll call voting behavior, so it's not policy.  
 7 But I think I do link it to policy in the next  
 8 section where --

9 Q. All right. Well, let's do this one  
 10 first, though.

11 A. Half a standard deviation is quite  
 12 consequential. I mean, I think I show in  
 13 Figure 17 --

14 Q. Yeah.

15 A. In Figure 17, it shows that the  
 16 difference between Democrats and Republicans in  
 17 Michigan is about two standard deviations.

18 Q. What does that mean?

19 A. It's summarizing the roll call voting  
 20 behavior. So two standard deviations --

21 Q. Does it mean taxes are going up? Pot  
 22 is being legalized? Same-sex marriage?

23 MR. YEAGER: Wait. Whoa, whoa.

24 MR. CARVIN: I'm just trying to

## C. WARSHAW

1 explain what my question is.

2 BY MR. CARVIN:

3 Q. How do I know what a standard  
 4 deviation in ideology means in the real world?

5 MR. YEAGER: Objection. Which one of  
 6 those questions do you want him to answer?  
 7 You had a speech, then you had some  
 8 questions, and then you had another  
 9 question. It's compound. It's  
 10 argumentative. If you want to tell him  
 11 which question to answer, that's fine.  
 12 Otherwise I object.

13 BY MR. CARVIN:

14 Q. Can you put some meat on the bones of  
 15 what two standard deviations means in the real  
 16 world so that I and the court can understand what  
 17 you're talking about?

18 A. Well, I don't have an analogous graph  
 19 in the report for state legislatures. But for  
 20 Congress, where I think the substantive meaning  
 21 is similar, we can analogize it in Figure 7,  
 22 which shows that in recent years in Congress  
 23 Republicans are about 60 percent more likely at  
 24 any given vote to vote in a conservative

## C. WARSHAW

1 direction than Democrats. There's a  
 2 60 percentage point difference between the  
 3 conservative voting behavior of Democrats and  
 4 Republicans. Which means roughly that, like, a  
 5 Republican might vote in a conservative  
 6 direction -- actually, I think it's more like  
 7 65 percent.

8 So a Republican might vote in a  
 9 conservative direction, you know, 85 percent of  
 10 the time and a Democrat would only vote in a  
 11 conservative direction 20 percent of the time.

12 So I think that's one more intuitive  
 13 way of putting it, which is why I like the Fowler  
 14 and Hall approach. It's a little bit more  
 15 understandable than the Nominate scores or the  
 16 Shor-McCarty scores that I use for state  
 17 legislators.

18 But anyway, I think that's very  
 19 similar for state legislatures. I will assert  
 20 that two standard deviations for state  
 21 legislatures means something similar to here,  
 22 which is that Republican state legislators are  
 23 about 60 percentage points or so more likely to  
 24 cast a conservative vote than Democrats.

## C. WARSHAW

1 Q. And this is true in every state?

2 A. That's true on average across states.  
 3 To the extent there's differences across states  
 4 it's going to be more so in Michigan because, as,  
 5 I show in Figure 17, Michigan in fact is more  
 6 polarized than the average state legislature. So  
 7 the consequences in Michigan, to the extent they  
 8 vary from the national average, are likely to be  
 9 even larger.

10 Q. But I'm talking about the connection  
 11 between the pro-Republican shift and the  
 12 efficiency gap. What if it was Massachusetts and  
 13 there was a pro-Republican shift but the  
 14 legislature still remained firmly in Democratic  
 15 hands? Do you think there would be a change in  
 16 the median ideology of the roll call votes?

17 A. Yes, I do think there would be a  
 18 change in the ideological composition of the  
 19 legislature. And I also think there would be a  
 20 change in the policies that government passes,  
 21 although I do think that the change -- the policy  
 22 effects are larger when it affects the partisan  
 23 control of the legislature.

24 So if there's no chance that it would

## 1 C. WARSHAW

2 change the partisan control of the legislature,  
 3 then the policy consequences will be smaller than  
 4 in a situation where it changed the partisan  
 5 control. And I show that in my 2017 Election Law  
 6 Journal article.

7 Q. But you're saying even if control of  
 8 the legislature doesn't change hands, Democrats  
 9 will be more conservative and Republicans will be  
 10 more liberal?

11 A. No, I'm not asserting that it's going  
 12 to change within party composition.

13 Q. The roll call votes will become more  
 14 conservative in a liberal state and more liberal  
 15 in a conservative state depending on the  
 16 efficiency gap?

17 A. Yes. Because what that means is if  
 18 you have a more, say, pro-Democratic efficiency  
 19 gap, that implies you're electing more Democrats.

20 Q. Right.

21 A. And the consequence of electing more  
 22 Democrats is having a more liberal legislature  
 23 which then leads to more liberal policies.

24 Q. So what you're telling us is the more  
 25 Democratic the legislature is the more liberal

## 1 C. WARSHAW

2 they're going to be, and the more Republican the  
 3 legislature is the more conservative they're  
 4 going to be. And you reduced that to standard  
 5 deviations?

6 A. That's exactly right.

7 Q. All right. Then you say, page 39, the  
 8 fourth sentence in the first paragraph: "But the  
 9 magnitude of changes in the position of the  
 10 median voter is not clear a priori. That depends  
 11 on whether additional members of the majority  
 12 party tend to be moderate (because they are  
 13 winning closer districts) or typical for their  
 14 party (when parties are polarized)."

15 So you do think that people tend to be  
 16 more moderate when their districts are closer?

17 A. I think that's possible theoretically,  
 18 although empirically there's no evidence for  
 19 that.

20 Q. So why did you say "because they are  
 21 winning closer districts"?

22 MR. YEAGER: Objection. Completely  
 23 and inaccurately summarizes the document.

24 You may answer.

25 THE WITNESS: What I'm stating here is

## 1 C. WARSHAW

2 that we don't know a priori. So I think  
 3 theoretically there's reasons to think, as  
 4 perhaps you're suggesting that, you know,  
 5 the median voter theorem certainly suggests  
 6 that in a competitive district you should  
 7 moderate your position in order to win  
 8 elections.

9 But in fact the empirical evidence  
 10 suggests that that's generally not the case,  
 11 particularly in the modern era, that  
 12 legislators don't actually take much more  
 13 moderate positions in moderate districts  
 14 than they do in very extreme districts.

15 BY MR. CARVIN:

16 Q. You had said, I think in the beginning  
 17 of your report, that while there was a slight  
 18 Republican lean in the 2001 redistricting, it was  
 19 not nearly as extreme as this efficiency gap  
 20 after 2011?

21 A. Can you repeat back the question to  
 22 me?

23 Q. At the beginning of your report you  
 24 were comparing the --

25 A. Where are you quoting from in my

## 1 C. WARSHAW

2 report?

3 Q. I'm not. I can go get it for you.

4 A. I don't know that I state that.

5 MR. YEAGER: Let him ask a question.

6 MR. CARVIN: I just thought I would  
 7 try and save some time.

8 BY MR. CARVIN:

9 Q. Is it not your opinion that the  
 10 efficiency gap in 2001 in Michigan was not that  
 11 extreme while it was extreme after 2011?

12 A. I don't know that I formed a precise  
 13 opinion about the 2000 -- the magnitude of the  
 14 partisan bias in 2002. I haven't looked at all  
 15 the different metrics, for instance, for 2002.  
 16 But certainly the chart in Figure 5 and  
 17 perhaps -- both Figure 5 and Figure 15 suggest  
 18 that the partisan bias in 2012 is much larger  
 19 than in 2002.

20 Q. Go to page 17.

21 A. Great.

22 Q. You say: "From about 2002 through  
 23 2010 Republicans had a modest advantage in the  
 24 efficiency gap. However, the 2011 redistricting  
 25 plan led to a large Republican advantage in

Page 250

1 C. WARSHAW

2 Michigan congressional elections." Correct?

3 A. Yes.

4 Q. And then what were you saying,  
5 Figure 9 has the similar analysis for the state?

6 A. Figure 15 on page 34.

7 Q. What was your conclusion about the  
8 2000 efficiency gap?

9 A. Again, I don't state a conclusion  
10 about that in my report. But just eyeballing  
11 these numbers, certainly I don't think the  
12 efficiency gap on its own indicates any kind of a  
13 large partisan bias in the elections.

14 Q. And you did an article analyzing  
15 Michigan policy initiatives in 2011 and 2012,  
16 right? In your article you discussed that issue  
17 specifically?

18 A. Correct. I looked at -- Policy  
19 initiative is not exactly right. I looked at the  
20 policies that the Michigan state government  
21 passed.

22 Q. And you pointed out that they had a  
23 large spending cut, higher taxes on pensions, and  
24 lower taxes on corporations. All those are  
25 conservative positions?

Page 251

1 C. WARSHAW

2 A. I believe that's true. I would have  
3 to look at the article to reference them for  
4 sure.

5 Q. And that they banned benefits for  
6 same-sex partners of government employees, gay  
7 government employees?

8 A. Again, I don't have the article in  
9 front of me. I would want to look at the article  
10 to see.

11 Q. And it became a right-to-work state in  
12 2011 and 2012?

13 A. That sounds right. I couldn't say for  
14 sure. I would want to look at the article.

15 Q. I'm happy to show you the article.  
16 But didn't all those changes happen prior to the  
17 2012 redistricting and the people who were  
18 elected under that extreme pro-Republican bias,  
19 or do you recall?

20 A. I would want to look at the article.  
21 I don't remember what I said precisely in the  
22 article.

23 MR. CARVIN: Could you mark that,  
24 please.

25 (Exhibit 9 marked for identification

Page 252

1 C. WARSHAW

2 and attached hereto.)

3 THE WITNESS: Yes. So I'm looking at  
4 page 467. We did talk about those being  
5 implemented in 2011 and '12.

6 BY MR. CARVIN:

7 Q. Has there been anything comparably  
8 conservative post the large increase in the  
9 efficiency gap in the years following 2012?

10 A. I couldn't say for sure. I just don't  
11 remember. I would have to have my data in front  
12 of me. It's been a while since I've looked at  
13 it.

14 Q. But it is true that the most  
15 conservative things happened in a legislature  
16 where the efficiency gap was not that different  
17 from the norm?

18 A. I'm sorry. Repeat that.

19 Q. It happened under the 2001  
20 redistricting, which we agreed was not nearly as  
21 extreme as the pro-Republican efficiency gap in  
22 2012 and not that different from the norm?

23 A. Yes. In this case, the efficiency gap  
24 was pro-Republican but it was not as extreme as  
25 we've seen after the 2011 went into place.

Page 253

1 C. WARSHAW

2 Q. So you haven't asked or followed up on  
3 the question on whether the Michigan legislature  
4 has pursued more conservative policies after this  
5 dramatic shift in the pro-Republican efficiency  
6 gap since 2012 than they did prior to 2012?

7 A. I have not. I think that would be a  
8 reasonable question to examine, but I have not  
9 looked at it.

10 Q. And you have no reason to believe that  
11 they've enacted policies as conservative as were  
12 enacted in 2011 and 2012?

13 A. I have no opinion either way. I just  
14 don't know.

15 MR. CARVIN: I don't have any  
16 additional questions.

17 MR. YEAGER: Let's take a break, and  
18 then we'll come back and tell you whether  
19 there's more or not.

20 (Recess taken.)

21 MR. YEAGER: We're done.

22 (Deposition adjourned at 3:37 p.m.)

Page 254

1 C. WARSHAW  
 2 ACKNOWLEDGMENT OF DEONENT  
 3

4 I, CHRISTOPHER WARSHAW, Ph.D., have read or  
 5 have had the foregoing testimony read to me and  
 6 hereby certify that it is a true and correct  
 7 transcription of my testimony with the exception  
 8 of any attached corrections or changes.  
 9

10  
 11 CHRISTOPHER WARSHAW, Ph.D.  
 12

13 [ ] No corrections  
 14 [ ] Correction sheet(s) enclosed  
 15

16 SUBSCRIBED AND SWORN TO BEFORE ME, the  
 17 undersigned authority, by the witness,  
 18 CHRISTOPHER WARSHAW, Ph.D., on this the \_\_\_\_\_ day  
 19 of \_\_\_\_\_, \_\_\_\_\_.  
 20

21  
 22  
 23  
 24  
 25 Page 256

1 C. WARSHAW  
 2 EXAMINATION INDEX  
 3

4 WITNESS PAGE  
 5 CHRISTOPHER WARSHAW, Ph.D.  
 6 Examination by Mr. Carvin 4  
 7 \* \* \*

8  
 9 EXHIBIT INDEX  
 10 PAGE

11 Exhibit 1 ..... 6  
 12 Expert Report of Christopher Warshaw  
 13 Exhibit 2 ..... 31  
 14 Stephanopoulos & McGhee reference  
 15 Exhibit 3 ..... 34  
 16 Krasno, et al., reference  
 17 Exhibit 4 ..... 55  
 18 Best, et al., reference  
 19 Exhibit 5 ..... 73  
 20 Stephanopoulos & McGhee reference  
 21 Exhibit 6 ..... 86  
 22 Rebuttal Report of Christopher Warshaw  
 23 Exhibit 7 ..... 94  
 24 McGhee reference  
 25

Page 255

1 C. WARSHAW  
 2 CERTIFICATE  
 3

4 DISTRICT OF COLUMBIA

5 I, JOHN L. HARMONSON, a Notary Public  
 6 within and for the District of Columbia, do  
 7 hereby certify:

8 That CHRISTOPHER WARSHAW, the witness  
 9 whose deposition is hereinbefore set forth, was  
 10 duly sworn or affirmed by me and that such  
 11 deposition is a true record of the testimony  
 12 given by such witness.

13 That if the foregoing pertains to a  
 14 federal case, before completion of the  
 15 proceedings, review and signature of the  
 16 transcript [X] was [ ] was not requested.

17 I further certify that I am not related  
 18 to any of the parties to this action by blood or  
 19 marriage; and that I am in no way interested in  
 20 the outcome of this matter.

21 IN WITNESS WHEREOF, I have hereunto set  
 22 my hand this 15th day of August, 2018.

23  
 24  
 25 JOHN L. HARMONSON, RPR

Page 257

1 C. WARSHAW	2 EXHIBITS (Cont'd)	3 PAGE
4 Exhibit 8 .....	5 Krasno, et al., reference	6 161
5 Exhibit 9 .....	6 Caughey, et al., reference	7 251